

PREFACE

This document is a revised edition of the Engineering, Geological and Geophysical Professions Act, Regulations (including the Code of Ethics) and By-Laws, incorporating all amendments up to October 2009. The Act and Regulations are printed with the permission of the Government of the Province of Alberta.

The Act, (Revised Statutes of Alberta. Chapter E-11.1), is a government consolidation of the 1981 Act, the 1984 Amendment Act and other subsequent amendments. The amendments proclaimed in 2009 create ASET as a professional regulatory organization. The General Regulation is a consolidation of the 1981 Regulation and amendments to the Regulation approved in December 1985, July 1990, April 1996, July 1999 (AR 150/99), February 2003 (AR 37/2003), April 2007 (AR 72/2007), and October 2009 (AR 281/2009). A regulation providing for registration of professional technologists (AR 283/2009), and a regulation respecting the operation of ASET (AR 282/2009) has been added as well as (AR 346/2009) respecting mobility rights. All persons making use of this consolidation are reminded that it has no legislative sanction, that the amendments have been embodied for convenience of reference only, and that the original Act, Regulations and amending Acts and Regulations be consulted for all purposes of interpreting and applying the law.

The By-Laws incorporate the amendments which were approved at the APEGGA Annual General Meetings of June 1983, June 1987, April 1991, April 1993, April 1996, April 1997, April 1998, April 1999, April 2000, April 2001, April 2002, April 2004, and April 2005. Consequential amendments to Bylaws taking effect in October 2009 with the latest amendments to Act and Regulations are included. These are the latest amendments.

An index is provided for convenient reference to any or all of the three documents.

THE ENGINEERING, GEOLOGICAL AND
GEOPHYSICAL PROFESSIONS ACT

Being Chapter E-11.1
Revised Statutes of Alberta, 2000

Printed January 2010

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HER MAJESTY, by and with the advice and consent of the Legislative Assembly of Alberta, enacts as follows:

Definitions

1 In this Act,

- (a) “Appeal Board” means the Appeal Board established under section 18;
- (a.1) “ASET Council” means the Council continued under section 87;
- (b) “Association” means the Association of Professional Engineers, Geologists and Geophysicists of Alberta;
- (c) “Board of Examiners” means the Board of Examiners established under section 30;
- (d) “certificate holder” means
 - (i) a joint firm, and
 - (ii) a restricted practitioner;
- (e) “Council” means the Council of the Association;
- (f) “Court” means the Court of Queen’s Bench;
- (g) “Discipline Committee” means the Discipline Committee established under section 45;
- (h) “Investigative Committee” means the Investigative Committee established by the Council pursuant to section 46;
- (i) “Joint Board” means the Joint Board of Practice under section 1 of Schedule 8 to the *Government Organization Act*;
- (i.1) “Joint Councils Committee” means the committee established by section 1.1;
- (j) “joint firm” means a firm to which a certificate of authorization has been issued under section 35;
- (k) “licensee” means an individual who holds a licence under this Act but does not include a professional licensee;
- (l) “member of the Association” means a person who is registered as a professional member or a member of a class or category of membership established under this Act;
- (m) “member of the public” means, in sections 14, 15 and 30, a person who is
 - (i) a Canadian citizen or who is lawfully admitted to Canada for permanent residence,
 - (ii) a resident of Alberta, and
 - (iii) not a professional member of the Association;
- (n) “member-in-training” means engineer-in-training, geologist-in-training or geophysicist-in-training, as the case may be;
- (o) “Minister” means the Minister determined under section 16 of the *Government Organization Act* as the Minister responsible for this Act;
- (p) “permit holder” means a partnership or other association of persons or a corporation that holds a permit under this Act but does not include an ASET permit holder as defined in section 86.4;
- (q) “practice of engineering” means
 - (i) reporting on, advising on, evaluating, designing, preparing plans and specifications for or directing the construction, technical inspection, maintenance or operation of any structure, work or process

- (A) that is aimed at the discovery, development or utilization of matter, materials or energy or in any other way designed for the use and convenience of humans, and
 - (B) that requires in that reporting, advising, evaluating, designing, preparation or direction the professional application of the principles of mathematics, chemistry, physics or any related applied subject, or
- (ii) teaching engineering at a university;
- (r) “practice of geology” means
 - (i) reporting, advising, evaluating, interpreting, geological surveying, sampling or examining related to any activity
 - (A) that is aimed at the discovery or development of oil, natural gas, coal, metallic or non-metallic minerals, precious stones, other natural resources or water or that is aimed at the investigation of geological conditions, and
 - (B) that requires in that reporting, advising, evaluating, interpreting, geological surveying, sampling or examining, the professional application of the principles of the geological sciences, or
 - (ii) teaching geology at a university;
- (s) “practice of geophysics” means
 - (i) reporting on, advising on, acquiring, processing, evaluating or interpreting geophysical data, or geophysical surveying that relates to any activity
 - (A) that is aimed at the discovery or development of oil, natural gas, coal, metallic or non-metallic minerals or precious stones or other natural resources or water or that is aimed at the investigation of sub-surface conditions in the earth, and
 - (B) that requires in that reporting, advising, evaluating, interpreting, or geophysical surveying, the professional application of the principles of the geophysical sciences, or
 - (ii) teaching geophysics at a university;
- (t) “Practice Review Board” means the Practice Review Board established under section 15;
- (u) “profession” means the profession of engineering, geology or geophysics, as the case may be;
- (v) “professional engineer” means an individual who holds a certificate of registration to engage in the practice of engineering under this Act but does not include
 - (i) a professional licensee (engineering), or
 - (ii) a professional technologist as defined in section 86.4(1);
- (w) “professional geologist” means an individual who holds a certificate of registration to engage in the practice of geology under this Act but does not include
 - (i) a professional licensee (geological), or
 - (ii) a professional technologist as defined in section 86.4(1);
- (x) “professional geophysicist” means an individual who holds a certificate of registration to engage in the practice of geophysics under this Act but does not include
 - (i) a professional licensee (geophysical), or
 - (ii) a professional technologist as defined in section 86.4(1);

- (x.1) “professional licensee” means a professional licensee (engineering), a professional licensee (geological) or a professional licensee (geophysical);
- (x.2) “professional licensee (engineering)” means an individual who holds a certificate of registration and an annual licence to engage in the practice of engineering within the scope of practice specified by the Board of Examiners;
- (x.3) “professional licensee (geological)” means an individual who holds a certificate of registration and an annual licence to engage in the practice of geology within the scope of practice specified by the Board of Examiners;
- (x.4) “professional licensee (geophysical)” means an individual who holds a certificate of registration and an annual licence to engage in the practice of geophysics within the scope of practice specified by the Board of Examiners;
- (y) “professional member” means a professional engineer, professional geologist or professional geophysicist;
- (z) “Registrar” means the Registrar appointed under section 13;
- (aa) “restricted practitioner” means a registered architect under the *Architects Act* who holds a certificate of authorization under this Act.

RSA 2000 cE-11 s1;2007 c13 s2

Joint Councils Committee

1.1(1) There is established a Joint Councils Committee composed of an equal number of members from the Executive Committees of the Council and ASET Council appointed respectively by the Council and ASET Council.

(2) The purposes of the Joint Councils Committee are to

- (a) discuss proposed amendments to this Act and new or proposed amendments to any regulations made under this Act;
- (b) provide a forum for discussion, collaboration and co-ordination with respect to matters of mutual interest to APEGGA and ASET;
- (c) perform any other function prescribed by this Act or any regulation made under this Act, or by agreement of the Council and ASET Council.

(3) The Joint Councils Committee shall meet and its procedure shall be governed by rules established by agreement of the Council and ASET Council.

(4) The Council and ASET Council may, by agreement, alter the composition of the Joint Councils Committee described in subsection (1).

2007 13 s3

Part 1 Scope of Practice

Exclusive scope of the practice of engineering

2(1) Except as otherwise provided in this Act, no individual, corporation, partnership or other entity, except a professional engineer, a licensee so authorized in the licensee’s licence, a permit holder so authorized in its permit or a certificate holder so authorized in the certificate holder’s certificate, shall engage in the practice of engineering.

(2) No individual, corporation, partnership or other entity, shall engage in both the practice of engineering and the practice of architecture as defined in the *Architects Act*, or hold out that it is entitled to engage in both the practice of engineering and the practice of architecture unless it holds a certificate of authorization under this Act or the *Architects Act* permitting it to do so.

(3) A professional engineer, licensee, permit holder or joint firm may engage in the practice of surveying other than land surveying as defined in the *Land Surveyors Act*.

(4) Subsection (1) does not apply to the following:

- (a) a person engaged in the execution or supervision of the construction, maintenance, operation or inspection of any process, system, work, structure or building in the capacity of contractor, superintendent, foreman or inspector or in any similar capacity, when the process, system, work, structure or building has been designed by and the execution or supervision is being carried out under the supervision and control of a professional engineer or licensee;
 - (b) a person engaged in the practice of engineering as an engineer-in-training or engineering technologist in the course of being employed or engaged and supervised and controlled by a professional engineer, licensee, permit holder or certificate holder;
 - (c) repealed 2007 c13 s4;
 - (d) a person who in accordance with an Act or regulation in respect of mines, minerals, pipelines, boilers and pressure vessels, building codes or safety codes for buildings is engaged in any undertaking or activity required under or pursuant to that Act or the regulations under that Act;
 - (e) a person who, on the person's own property and for the person's sole use or the use of the person's domestic establishment, carries out any work that does not involve the safety of the public;
 - (f) a member of the Canadian Forces while actually employed on duty with the Forces;
 - (g) a person engaged or employed by a university whose practice of the profession consists exclusively of teaching engineering at the university.
- (5) A restricted practitioner is not authorized by the operation of subsection (1) to engage in the practice of engineering beyond the scope of the practice that is specified in the register.
- (6) Subsection (1) does not apply to a person if the person engages in
- (a) planning, designing or giving advice on the design of or on the erection, construction or alteration of or addition to,
 - (b) preparing plans, drawings, detail drawings, specifications or graphic representations for the design of or for the erection, construction or alteration of or addition to, or
 - (c) inspecting work or assessing the performance of work under a contract for the erection, construction or alteration of or addition to
- a building set out in subsection (7).
- (7) The buildings referred to in subsection (6) are the following:
- (a) a building, 3 storeys or less in height, for assembly occupancy or institutional occupancy that,
 - (i) in the case of a single storey building, has a gross area of 300 square metres or less,
 - (ii) in the case of a 2 storey building, has a gross area of 150 square metres or less on each floor, or
 - (iii) in the case of a 3 storey building, has a gross area of 100 square metres or less on each floor;
 - (b) a building for residential occupancy that
 - (i) is a single family dwelling, or
 - (ii) is a multiple family dwelling, containing 4 dwelling units or less;
 - (c) a building, 3 storeys or less in height, for residential occupancy as a hotel, motel or similar use that,
 - (i) in the case of a single storey building, has a gross area of 400 square metres or less,
 - (ii) in the case of a 2 storey building, has a gross area of 200 square metres or less on each floor, or

- (iii) in the case of a 3 storey building, has a gross area of 130 square metres or less on each floor;
- (d) a building, 3 storeys or less in height, for warehouse, business and personal services occupancy, for mercantile occupancy or for industrial occupancy that,
 - (i) in the case of a single storey building, has a gross area of 500 square metres or less,
 - (ii) in the case of a 2 storey building, has a gross area of 250 square metres or less on each floor, or
 - (iii) in the case of a 3 storey building, has a gross area of 165 square metres or less on each floor;
- (e) a building that is a farm building not for public use;
- (f) a relocatable industrial camp building.

RSA 2000 cE-11 s2;2007 c13 s4

Exclusive use of name engineer

3(1) No individual, corporation, partnership or other entity, except a professional engineer, licensee or permit holder entitled to engage in the practice of engineering, shall

- (a) use
 - (i) the title “professional engineer”, the abbreviation “P. Eng.” or any other abbreviation of that title,
 - (i.1) the title “professional licensee (engineering)” or any abbreviation of that title, or
 - (ii) the word “engineer” in combination with any other name, title, description, letter, symbol or abbreviation that represents expressly or by implication that the individual, corporation, partnership or other entity is a professional engineer, licensee or permit holder,
- or
- (b) represent or hold out, expressly or by implication, that the individual, corporation, partnership or other entity
 - (i) is entitled to engage in the practice of engineering, or
 - (ii) is a professional engineer, licensee or permit holder.

(2) No individual, corporation, partnership or other entity, except a professional engineer, licensee or permit holder entitled to engage in the practice of engineering, shall affix the stamp or seal of a professional engineer or licensee or the permit number of a permit holder or allow that stamp, seal or permit number to be affixed to a plan, drawing, detail drawing, specification or other document or a reproduction of any of them unless

- (a) that plan, drawing, detail drawing, specification, other document or reproduction was prepared by or under the supervision and control of, and
- (b) the stamp, seal or permit number is affixed with the knowledge and consent or in accordance with the direction of

the professional engineer or licensee to whom or the permit holder to which the stamp, seal or permit number was issued.

(3) Notwithstanding subsection (2), a professional engineer, licensee or permit holder may affix a stamp, seal or permit number, as the case may be, to a plan, drawing, detail drawing, specification, other document or reproduction prepared by other persons if the professional engineer, licensee or permit holder completes a thorough review of and accepts professional responsibility for that plan, drawing, detail drawing, specification, other document or reproduction.

RSA 2000 cE-11 s3;2007 c13 s5

Holding out by joint firm

4 A joint firm

- (a) may hold itself out as “engineers and architects” or “architects and engineers” only if it has both professional engineers and registered architects as partners or shareholders in an arrangement that is satisfactory to the Council and the council of The Alberta Association of Architects;
- (b) shall not hold itself out as “engineers and architects” or “architects and engineers” if the registered architects or professional engineers are employees only and not partners or shareholders, or if the partnership or shareholding arrangement is not satisfactory to the Council or the council of The Alberta Association of Architects.

1981 cE-11.1 s4

Exclusive scope of the practice of geology

5(1) Subject to subsection (2), no individual, corporation, partnership or other entity, except a professional geologist, a licensee so authorized in the licensee’s licence and a permit holder so authorized in the permit, shall engage in the practice of geology.

(2) Subsection (1) does not apply to the following:

- (a) a person engaged in the execution or supervision of the construction, maintenance, operation or inspection of any geological investigation, study, work or instrumentation in the capacity of contractor, superintendent, foreman or inspector, or in any similar capacity, when the investigation, study, work or instrumentation has been designed by and the execution or supervision is being carried out under the supervision and control of a professional geologist or licensee;
- (b) a person engaged in the practice of geology as a geologist-in-training or geological technologist in the course of being employed or engaged and supervised and controlled by a professional geologist, licensee or permit holder;
- (c) repealed 2007 c13 s6;
- (d) a person who, as a prospector, is engaged in any activities that are normally associated with the business of prospecting;
- (e) a member of the Canadian Forces while actually employed on duty with the Forces;
- (f) a person engaged or employed by a university whose practice of the profession consists exclusively of teaching geology at the university.

RSA 2000 cE-11 s5;2007 c13 s6

Exclusive use of name geologist

6(1) No individual, corporation, partnership or other entity, except a professional geologist or a licensee or permit holder entitled to engage in the practice of geology, shall

- (a) use
 - (i) the title “professional geologist”, the abbreviation “P. Geol.” or any other abbreviation of that title,
 - (i.1) the title “professional licensee (geological)” or any abbreviation of that title, or
 - (ii) the word “geologist” in combination with any other name, title, description, letter, symbol or abbreviation that represents expressly or by implication that the individual, corporation, partnership or other entity is a professional geologist, licensee or permit holder,
- or
- (b) represent or hold out, expressly or by implication, that the individual, corporation, partnership or other entity
 - (i) is entitled to engage in the practice of geology, or
 - (ii) is a professional geologist, licensee or permit holder.

(2) No individual, corporation, partnership or other entity, except a professional geologist, licensee or permit holder entitled to engage in the practice of geology, shall affix the stamp or seal of a professional geologist or licensee or the

permit number of a permit holder or allow that stamp, seal or permit number to be affixed to a map, geological cross-section, specification, report or other document or a reproduction of any of them unless

- (a) that map, geological cross-section, specification, report, other document or reproduction was prepared by or under the supervision and control of, and
- (b) the stamp, seal or permit number is affixed with the knowledge and consent or in accordance with the direction of

the professional geologist or licensee to whom or the permit holder to which the stamp, seal or permit number was issued.

(3) Notwithstanding subsection (2), a professional geologist, licensee or permit holder may affix a stamp, seal or permit number, as the case may be, to a map, geological cross-section, specification, report, other document or reproduction prepared by other persons if the professional geologist, licensee or permit holder completes a thorough review of and accepts professional responsibility for that map, geological cross-section, specification, report, other document or reproduction.

RSA 2000 cE-11 s6;2007 c13 s7

Exclusive scope of the practice of geophysics

7(1) Subject to subsection (2), no individual, corporation, partnership or other entity, except a professional geophysicist, a licensee so authorized in the licensee's licence or a permit holder so authorized in the permit, shall engage in the practice of geophysics.

(2) Subsection (1) does not apply to the following:

- (a) a person engaged in the execution or supervision of the construction, maintenance, operation or inspection of any geophysical process, system, work or instrumentation in the capacity of contractor, superintendent, foreman or inspector, or in any similar capacity, when the process, system, work or instrumentation has been designed by and the execution or supervision is being carried out under the supervision and control of a professional geophysicist or licensee;
- (b) a person engaged in the practice of geophysics as a geophysicist-in-training or geophysical technologist in the course of being employed or engaged and supervised and controlled by a professional geophysicist, licensee or permit holder;
- (c) repealed 2007 c13 s8;
- (d) a person who is engaged in the routine maintenance of geophysical equipment, or if carried out under the supervision and control of a professional geophysicist, the routine operation, reduction or plotting of geophysical observations;
- (e) a member of the Canadian Forces while actually employed on duty with the Forces;
- (f) a person engaged or employed by a university whose practice of the profession consists exclusively of teaching geophysics at the university.

RSA 2000 cE-11 s7;2007 c13 s8

Exclusive use of name geophysicist

8(1) No individual, corporation, partnership or other entity, except a professional geophysicist or a licensee or permit holder entitled to engage in the practice of geophysics, shall

- (a) use
 - (i) the title "professional geophysicist", the abbreviation "P. Geoph." or any other abbreviation of that title,
 - (i.1) the title "professional licensee (geophysical)" or any abbreviation of that title, or
 - (ii) the word "geophysicist" in combination with any other name, title, description, letter, symbol or abbreviation that represents expressly or by implication that the individual, corporation, partnership or other entity is a professional geophysicist, licensee or permit holder,

or

- (b) represent or hold out, expressly or by implication, that the individual, corporation, partnership or other entity
 - (i) is entitled to engage in the practice of geophysics, or
 - (ii) is a professional geophysicist, licensee or permit holder.

(2) No individual, corporation, partnership or other entity, except a professional geophysicist, licensee or permit holder entitled to engage in the practice of geophysics, shall affix the stamp or seal of a professional geophysicist or licensee or the permit number of a permit holder or allow that stamp, seal or permit number to be affixed to a map, geophysical cross-section, specification, report or other document or a reproduction of any of them unless

- (a) that map, geophysical cross-section, specification, report, other document or reproduction was prepared by or under the supervision and control of, and
- (b) the stamp, seal or permit number is affixed with the knowledge and consent or in accordance with the direction of

the professional geophysicist or licensee to whom or the permit holder to which the stamp, seal or permit number was issued.

(3) Notwithstanding subsection (2), a professional geophysicist, licensee or permit holder may affix a stamp, seal or permit number, as the case may be, to a map, geophysical cross-section, specification, report, other document or reproduction prepared by other persons if the professional geophysicist, licensee or permit holder completes a thorough review of and accepts professional responsibility for that map, geophysical cross-section, specification, report, other document or reproduction.

RSA 2000 cE-11 s8;2007 c13 s9

Injunction

9 The Court, on application by the Council by way of originating notice, may grant an injunction enjoining any person from doing any act or thing that contravenes this Part, notwithstanding any penalty that may be provided by this Act or the regulations in respect of that act or thing.

1981 cE-11.1 s9

Part 2 Association

Association of Professional Engineers, Geologists and Geophysicists

10(1) The Association of Professional Engineers, Geologists and Geophysicists of Alberta shall be continued as a corporation.

(2) The abbreviated form of the name of the Association shall be A.P.E.G.G.A. or APEGGA.

(3) No person other than the Association shall use the abbreviated form of the name of the Association or any other abbreviation alone or in combination with any other word or name in a way that represents expressly or by implication that the person is a member of or connected in any way with the Association.

1981 cE-11.1 s10

Capacity and powers

11 The Association has the capacity and, subject to this Act, the rights, powers and privileges of a natural person.

RSA 2000 cE-11 s11;2007 c13 s10

Council

12(1) There is hereby established a governing body of the Association called the Council.

(2) The Council shall manage and conduct the business and affairs of the Association and exercise the powers of the Association in the name of and on behalf of the Association.

(3) The Council shall submit annually to the Minister in a form satisfactory to the Minister a report on those matters of the business and affairs of the Association that the Minister requires.

(4) The Minister shall, on receipt of the annual report of the Association, lay it before the Legislative Assembly if it is then sitting, and if it is not then sitting, within 15 days after the commencement of the next sitting.

1981 cE-11.1 s12

Registrar

13 The Council shall appoint a Registrar for the purposes of this Act.

1981 cE-11.1 s13

Council members

14(1) Subject to subsection (2), the Council shall include the president, 2 vice-presidents, the immediate past-president and at least 12 other professional members, the number of which shall be prescribed by the bylaws, each of whom shall be elected by the professional members at the time, in the manner and for the period provided for in the bylaws.

(2) The Council shall consist of

(a) at least 16 professional members among whom there shall be not less than

(i) 2 professional engineers,

(ii) 2 professional geologists, and

(iii) one professional geophysicist, and

(b) when the total number of elected professional members does not exceed 20, 3 members of the public, who shall be appointed by the Minister, after consultation with the Association, for a 3-year term of office.

(3) For each 10 elected professional members by which the membership of the Council exceeds 20, an additional member of the public shall be appointed by the Minister, after consultation with the Association, for a 3-year term of office.

(4) A member of the Council appointed under subsection (2)(b) continues to hold office after the expiry of the member's term of office until the member is reappointed or the member's successor is appointed.

(5) The Minister may, after consultation with the Council, revoke the appointment of a member of the Council made under subsection (2)(b).

(6) The Minister may pay to a member of the Council appointed under subsection (2)(b) travelling and living expenses incurred by that member for the member's attendance at any meeting of the Council while away from the member's usual place of residence and fees in an amount prescribed by the Minister.

(7) The powers, duties and operations of the Council under this Act, the regulations and the bylaws are not affected by

(a) the fact that no member of the public is appointed as a member of the Council pursuant to subsection (2)(b),

(b) the revocation under subsection (5) of the appointment of a member of the public, or

(c) the resignation from the Council of a member of the public.

(8) The failure of a member of the public appointed under subsection (2)(b) to attend a meeting of the Council shall not be construed to affect or restrict the Council from exercising at that meeting any powers or performing any duties under this Act, the regulations or the bylaws.

1981 cE-11.1 s14;1995 c14 s4

Practice Review Board

15(1) There is hereby established a board called the Practice Review Board consisting of not less than 5 members as follows:

- (a) the Council shall appoint not less than 4 professional members who have a combination of knowledge and experience suitable for determining the academic qualifications and experience necessary for a person to continue to engage in the practice of the profession of engineering, geology or geophysics;
 - (b) the Minister shall appoint one member of the public nominated by the Council.
- (2) If the Council fails, within a reasonable period of time after being requested to do so by the Minister, to make a nomination for the purposes of subsection (1)(b), the Minister may appoint a member of the public to the Practice Review Board without the Council's nomination.
- (3) The Minister may pay to the member of the Board appointed under subsection (1)(b) travelling and living expenses incurred by that member for the member's attendance at a hearing of the Board while away from the member's usual place of residence and fees in an amount prescribed by the Minister.
- (4) The Minister may, after consultation with the Council, revoke the appointment under subsection (1)(b) of a member of the public.
- (5) The powers, duties and operations of the Board under this Act, the regulations and the bylaws are not affected by
- (a) the fact that no member of the public is appointed as a member of the Board pursuant to subsection (1)(b),
 - (b) the revocation under subsection (4) of the appointment of a member of the public, or
 - (c) the resignation as a member of the Board of a member of the public.
- (6) The failure of a member of the public appointed under subsection (1)(b) to attend a meeting of the Board shall not be construed to affect or restrict the Board from exercising at that meeting any powers or performing any duties under this Act, the regulations or the bylaws.

RSA 2000 cE-11 s15;2001 c10 s3

Powers of the Practice Review Board

16(1) The Practice Review Board

- (a) shall, on its own initiative or at the request of the Council, inquire into
 - (i) the assessment of existing and the development of new educational standards and experience requirements that are conditions precedent to obtaining and continuing registration under this Act,
 - (ii) the evaluation of desirable standards of competence of professional members, licensees, permit holders and certificate holders generally,
 - (iii) the practice of the profession by professional members, licensees, permit holders or certificate holders generally, and
 - (iv) any other matter that the Council from time to time considers necessary or appropriate in connection with the exercise of its powers and the performance of its duties in relation to competence in the practice of the profession under this Act and the regulations, and
 - (b) may conduct a review of the practice of a professional member, licensee, permit holder or certificate holder in accordance with this Act and the regulations.
- (2) The Board shall report to and advise the Council with respect to any matter dealt with by it pursuant to subsection (1).
- (3) A person requested to appear at an inquiry under this section by the Board is entitled to be represented by counsel.
- (4) The Board may, after a review under this section with respect to an individual practitioner, make any order that the Discipline Committee may make under section 63 or 64.

- (5) The provisions of Part 5 with respect to an investigation by the Investigative Committee apply to a review of an individual practitioner by the Practice Review Board.
- (6) The Board may at any time during an inquiry or review under this section recommend to the Investigative Committee that the inquiry or review be conducted by the Investigative Committee pursuant to Part 5.
- (7) On receiving a recommendation under subsection (6), the Investigative Committee may proceed with an investigation under Part 5 as if the recommendation were a written complaint.
- (8) After each inquiry under this section, the Board shall make a written report to the Council on the inquiry and may make any recommendations to the Council that the Board considers appropriate in connection with the matter inquired into, with reasons for the recommendations.
- (9) The Council may, if it considers it to be in the public interest to do so, direct that the whole or any portion of an inquiry by the Board under this section shall be held in camera.

1981 cE-11.1 s16;1995 c14 s6;1998 c14 s5

Appeal to Appeal Board

17 A professional member, licensee, permit holder or certificate holder who is the subject of a hearing or a review by the Practice Review Board may appeal any decision or order of the Board to the Appeal Board as if it were a decision or order of the Discipline Committee under Part 5.

1981 cE-11.1 s17;1995 c14 s7

Appeal Board

18(1) There is hereby established an Appeal Board consisting of

- (a) the professional members appointed by the Council in accordance with the regulations, and
 - (b) one member of the public appointed by the Minister, after consultation with the Association, for a 3-year term of office.
- (2) A member of the Appeal Board appointed under subsection (1)(b) continues to hold office after the expiry of the member's term of office until the member is reappointed or the member's successor is appointed.
- (3) The Minister may, after consultation with the Appeal Board, revoke the appointment of a member of the Appeal Board made under subsection (1)(b).
- (4) The Minister may pay to a member of the Appeal Board appointed under subsection (1)(b) travelling and living expenses incurred by that member for the member's attendance at any meeting of the Appeal Board while away from the member's usual place of residence and fees in an amount prescribed by the Minister.
- (5) The powers, duties and operations of the Appeal Board under this Act, the regulations and the bylaws are not affected by
- (a) the fact that no member of the public is appointed as a member of the Appeal Board pursuant to subsection (1)(b),
 - (b) the revocation of the appointment of a member of the public, or
 - (c) the resignation from the Appeal Board of a member of the public.
- (6) The failure of a member of the public appointed pursuant to subsection (1)(b) to attend a meeting of the Appeal Board shall not be construed to affect or restrict the Appeal Board from exercising any powers or performing any duties under this Act, the regulations or the bylaws at that meeting.

1995 c14 s8

Part 3 Regulations and Bylaws

Regulations

19(1) The Council may make regulations

- (a) respecting the establishment of categories of and conditions respecting the enrolment of engineers-in-training, geologists-in-training, geophysicists-in-training, examination candidates and students;
- (b) respecting the academic qualifications of and experience requirements for applicants for registration as professional engineers, geologists or geophysicists;
- (c) governing the evaluation by the Council, the Board of Examiners, the Practice Review Board, the Appeal Board or a committee established by any of them of the academic qualifications of and experience requirements for applicants for registration to engage in the practice of engineering, geology or geophysics and the examination of those applicants with respect to those qualifications or requirements;
- (d) respecting the eligibility of applicants generally for registration to engage in the practice of engineering, geology or geophysics;
- (e) respecting the powers, duties and functions of the Practice Review Board, including but not limited to the referral of matters by that Board to the Council or the Investigative Committee and appeals from decisions of that Board;
- (f) respecting the appointment of members of the Appeal Board, other than the public member;
- (g) prescribing the number of members that constitutes a quorum of the Council, the Investigative Committee, the Appeal Board, the Practice Review Board, the Board of Examiners or the Discipline Committee;
- (h) governing the establishment of boards or committees of professional members and respecting the delegation of powers of the Council to those boards or committees or the Practice Review Board;
- (i) prescribing technical standards for the practice of the profession;
- (j) establishing and providing for the publication of a code of ethics respecting the practice of the profession, the maintenance of the dignity and honour of the profession and the protection of the public interest;
- (k) governing the names under which professional members, licensees, permit holders and certificate holders may engage in the practice of the profession;
- (l) governing, subject to this Act, the operation and proceedings of the Appeal Board, the Board of Examiners and the Practice Review Board, the designation of chair and vice-chair, the appointment of acting members and the procedures for filling vacancies in the offices of chair and vice-chair and in the membership of any of those Boards, and the appointment to any of those Boards of members by virtue of their office and prescribing their powers, duties and functions;
- (m) respecting the procedures of the Discipline Committee, of the Practice Review Board, of the Investigative Committee and of the Appeal Board in matters relating to the conduct or practice of professional members, licensees, permit holders or certificate holders, whether or not a complaint has been made;
- (n) respecting the establishment by the Council of a compulsory continuing education program for professional members and licensees;
- (o) governing the publication of a notice of the suspension or cancellation of the registration of a professional member, licensee, permit holder or certificate holder in a form and manner prescribed by the Council;
- (p) respecting committees of inquiry for reinstatement under Part 5;
- (q) - (w) repealed 2007 c13 s11;

- (x) establishing classes or categories of professional engineers, geologists or geophysicists and licensees or permit holders and prescribing the restrictions of practice and the privileges and obligations of the classes or categories so established;
 - (y) respecting the academic and other qualifications and the experience required of the classes or categories established under clause (x);
 - (z) respecting the use of stamps, seals and permit numbers;
 - (aa) governing the eligibility for registration of persons, firms, partnerships and other entities as permit holders or certificate holders;
 - (bb) governing the operation of permit holders or certificate holders;
 - (cc) governing the publication of information with respect to the profession, including but not limited to the publication of surveys of fees;
 - (dd) respecting registration, licensing, the issuing of permits and certificates, disciplinary matters and the practice of engineering, geology and geophysics generally;
 - (ee) respecting the service on any person of a document or notice required to be served under this Act.
- (2) Repealed 2007 c13 s11.
- (3) A regulation under subsection (1) may be made only by the Council.
- (4) A regulation must be approved in principle by a majority of the professional members
- (a) present and voting at a special meeting called for that purpose,
 - (b) voting by a mail vote or a vote authorized by bylaw that is conducted by electronic, telecommunication or other appropriate means, or
 - (c) at the annual general meeting following the Council's adoption of the regulation.
- (5) The Council may change the text of a regulation that was approved in principle under subsection (4) if the change
- (a) is consistent with the approval in principle, and
 - (b) is made before the regulation is submitted to the Lieutenant Governor in Council for approval.
- (6) A regulation made under this section does not come into force unless it has been approved by the Lieutenant Governor in Council.

RSA 2000 cE-11 s19;2007 c13 s11

Bylaws

20(1) The Council may make bylaws

- (a) for the government of the Association and the management and conduct of its affairs;
- (b) determining the location of the head office of the Association;
- (c) respecting the calling of and conduct of meetings of the Association and the Council;
- (d) respecting the nomination, election, number and term of office of Council members and officers of the Association and the appointment of individuals as members of the Council by virtue of their office, the Discipline Committee, the Practice Review Board, the Appeal Board, the Board of Examiners and any other committee established by the Council and prescribing their powers, duties and functions;
- (e) prescribing those areas of the professions of engineering, geology and geophysics from which members of the Board of Examiners shall be appointed by the Council;

- (f) respecting the appointment, functions, duties and powers of an Executive Director of the Association;
 - (g) respecting the establishment of districts and branches of the Association and their operation;
 - (h) providing for the division of Alberta into electoral districts and prescribing the number of Council members to be elected from each district;
 - (i) providing for the appointment of a Deputy Registrar who has all of the powers and can perform all of the duties of the Registrar under this Act, the regulations and the bylaws when the Registrar is absent, or unable to act or when there is a vacancy in the office of Registrar;
 - (j) establishing classes or categories of membership in the Association in addition to professional engineers, professional geologists and professional geophysicists and prescribing the rights, privileges and obligations of the classes or categories of membership so established;
 - (k) providing for the appointment of acting members of the Council and procedures for the election or appointment of professional members to fill vacancies on the Council;
 - (l) prescribing the number of professional members that constitutes a quorum at meetings of the Association;
 - (m) governing the establishment, operation and proceedings of committees, the appointment of members of committees, the appointment of acting members and procedures for filling vacancies on committees and the delegation of any powers or duties of the Council under this Act, the regulations or the bylaws to a committee established by the Council or under this Act;
 - (n) prescribing fees and expenses payable to members of the Association for attending to the business of the Association;
 - (o) respecting the establishment and payment of sums of money for scholarships, fellowships and any other educational incentive or benefit program that the Council considers appropriate;
 - (p) governing the information to be engraved on stamps and seals issued to professional members, licensees and restricted practitioners;
 - (q) respecting permit numbers issued to permit holders;
 - (r) respecting the fixing of fees, dues and levies payable to the Association;
 - (s) respecting the costs payable by any person on the conclusion of a hearing or review by the Practice Review Board or under Part 5;
 - (t) respecting the establishment, content and maintenance of registers of professional members, licensees, permit holders and certificate holders and of records of other classes or categories of membership to be kept by the Registrar;
 - (u) respecting the removal from the registers and records of any memorandum or entry made in them under this Act or the bylaws;
 - (v) requiring professional members, licensees, permit holders and certificate holders to inform the Registrar in writing of their current mailing addresses and of any change of address forthwith after the change occurs;
 - (w) prescribing the form of a certificate of registration, a licence, a permit, a certificate of authorization and an annual certificate;
 - (x) respecting the expiry of annual certificates, annual licences, permits and other authorizations.
- (2) The Council may make bylaws respecting the holding of mail votes or votes conducted by electronic, telecommunication or other appropriate means on any matter relating to the Association, but a bylaw under this subsection does not come into force unless it is approved by a majority of professional members of the Association present and voting at a general meeting.

(3) A bylaw under subsection (1) does not come into force unless it is approved by a majority of the professional members

- (a) present and voting at a general meeting, or
- (b) voting by a mail vote or vote conducted by electronic, telecommunication or other appropriate means conducted in accordance with the bylaws.

(4) The *Regulations Act* does not apply to bylaws of the Association made under this section.

RSA 2000 cE-11 s20;2007 c13 s12

Part 4 Registration

Registers and membership records

21(1) The Registrar shall maintain, in accordance with the bylaws and subject to the direction of the Council, a register for each of the following:

- (a) professional engineers;
- (b) professional geologists;
- (c) professional geophysicists;
- (d) licensees to engage in the practice of
 - (i) professional engineering,
 - (ii) professional geology, or
 - (iii) professional geophysics;
- (e) permit holders to engage in the practice of
 - (i) professional engineering,
 - (ii) professional geology, or
 - (iii) professional geophysics;
- (f) joint firms;
- (g) restricted practitioners.

(2) The Registrar shall enter in the appropriate register the name of a person who has paid the fee prescribed under the bylaws, and

- (a) whose registration to engage in the practice of
 - (i) engineering, as a professional engineer or licensee,
 - (ii) geology, as a professional geologist or licensee, or
 - (iii) geophysics, as a professional geophysicist or licenseehas been approved by the Board of Examiners,
- (b) whose registration to engage in the practice of the profession as a permit holder has been approved by the Council, or
- (c) whose registration to engage in the practice of engineering has been approved

- (i) in the case of a joint firm, by the Council, or
- (ii) in the case of a restricted practitioner, in accordance with section 37.

(3) The Registrar shall maintain, in accordance with the bylaws and subject to the direction of the Council, a membership record of the members in each class or category of membership established under the regulations and the bylaws.

1981 cE-11.1 s20

Registration as professional member

22 The Board of Examiners shall approve for registration as a professional engineer, professional geologist or professional geophysicist an individual who has applied to the Board and is eligible in accordance with this Act and the regulations to become a professional engineer, geologist or geophysicist, as the case may be.

1981 cE-11.1 s21

Registration as licensee

23 The Board of Examiners shall approve the registration as a licensee of an individual who has applied to the Board of Examiners and is eligible in accordance with this Act and the regulations to become registered to engage in the practice of engineering, geology or geophysics as a licensee.

1981 cE-11.1 s22

Registration of permit holders

24(1) The Council shall approve the registration as a permit holder of a partnership or other association of persons, or of a corporation incorporated or registered under the *Companies Act* or continued, incorporated or registered under the *Business Corporations Act*, that has applied to the Council and is eligible under this section and the regulations to become registered to engage in the practice of engineering, geology or geophysics as a permit holder.

(2) A partnership or other association of persons or a corporation that applies to the Council is eligible to become registered as a permit holder entitled to engage in the practice of engineering, geology or geophysics if it satisfies the Council that it complies with the Act and the regulations.

1981 cE-11.1 s23;1984 c17 s10

Evidence of registration

25(1) On entering the name of a professional engineer, geologist or geophysicist in the register, the Registrar shall issue to the professional engineer, geologist or geophysicist

- (a) a certificate of registration, and
- (b) a stamp or seal engraved as prescribed in the bylaws.

(2) On entering the name of a licensee in the register, the Registrar shall issue to the licensee

- (a) a licence to engage in the practice of engineering, geology or geophysics as a licensee as authorized in the licence, and
- (b) a stamp or seal engraved as prescribed in the bylaws.

(3) On entering the name of a permit holder in the register, the Registrar shall issue to the permit holder

- (a) a permit to engage in the practice of engineering, geology or geophysics as a permit holder as authorized in the permit, and
- (b) a permit number as prescribed in the bylaws.

(4) On entering the name of a joint firm in the register, the Registrar shall issue to the joint firm

- (a) a certificate of authorization to engage in the practice of engineering and architecture, and
- (b) a permit number as prescribed in the bylaws.

(5) On entering the name of a restricted practitioner in the register, the Registrar shall issue to that individual a certificate of authorization to engage in the restricted scope of the practice of engineering that is specified in the certificate.

(6) A certificate of registration, a licence, a permit or a certificate of authorization issued under this section entitles the holder to engage in the practice of engineering, geology or geophysics, as the case may be, subject to this Act, the regulations and the bylaws.

1981 cE-11.1 s24;1995 c14 s11;1998 c14 s8

Annual certificate

26(1) A professional member, licensee, permit holder or certificate holder engaged in the practice of engineering, geology or geophysics shall pay to the Association the annual fee prescribed under the bylaws.

(2) The Registrar shall issue an annual certificate in accordance with the bylaws to a professional member, licensee, permit holder or certificate holder

- (a) whose registration is not under suspension, and
- (b) who has paid the annual fee.

(3) Subject to this Act, an annual certificate entitles the professional member, licensee, permit holder or certificate holder to engage in the practice of engineering, geology or geophysics, as the case may be, during the year for which the annual certificate is issued.

(4) Repealed 2007 c13 s13.

RSA 2000 cE-11 s26;2007 c13 s13

Entries in registers

27(1) The registration of a professional member, licensee, permit holder or certificate holder is suspended when the decision to suspend the registration is made in accordance with this Act.

(2) The Registrar shall enter a memorandum of suspension of a registration in the appropriate register indicating

- (a) the duration of the suspension, and
- (b) the reason for the suspension.

(3) The registration of a professional member, licensee, permit holder or certificate holder is cancelled when the decision to cancel the registration is made in accordance with this Act.

(4) The Registrar shall enter a memorandum of cancellation of a registration in the appropriate register.

(5) The Registrar shall not remove from the registers any memorandum made by the Registrar under this section, except in accordance with the bylaws.

1981 cE-11.1 s26

List of registrants open to the public

28 The Registrar shall maintain and, during regular office hours, permit any person to inspect a list of all the professional members, licensees, permit holders and certificate holders in good standing.

1981 cE-11.1 s27

Cancellation on request

29(1) The Registrar shall not cancel the registration of a professional member, licensee, permit holder or certificate holder at that person's request unless the request for the cancellation has been approved by the Council.

(2) When a request for cancellation of a registration is approved by the Council

- (a) the Registrar shall cancel that registration, and

- (b) the professional member, licensee, permit holder or restricted practitioner requesting the cancellation shall, on being notified of the approval,
 - (i) immediately surrender to the Registrar
 - (A) the certificate of registration, licence and the stamp or seal, in the case of a professional member, licensee or restricted practitioner, or
 - (B) the permit and annual certificate, in the case of a permit holder,
 - and
 - (ii) cease using the permit number, in the case of a permit holder.
 - (3) The Council may direct the Registrar to reinstate in the applicable register a registration that was cancelled under this section, subject to any conditions that the Council may prescribe, and to reissue the certificate of registration, licence or permit and the stamp, seal or permit number.
 - (4) Notwithstanding subsection (3), if a person whose registration is cancelled applies to be reinstated more than 7 years after the date of the cancellation, the application for reinstatement must be referred to the Board of Examiners.
- 1981 cE-11.1 s28;1995 c14 s12;1998 c14 s9

Board of Examiners

- 30(1)** The Council shall establish a Board of Examiners in accordance with the regulations.
- (2) The Minister shall appoint as members of the Board of Examiners 3 persons from a list of members of the public nominated by the Council.
 - (3) If the Council fails, within a reasonable period of time after being requested to do so by the Minister, to make nominations for the purposes of subsection (2), the Minister may appoint 3 members of the public to the Board of Examiners without the Council's nomination.
 - (4) The Minister may pay to a member of the Board appointed under subsection (2) travelling and living expenses incurred by that member for the member's attendance at a hearing of the Board while away from the member's usual place of residence and fees in an amount prescribed by the Minister.
 - (5) The Minister may, after consultation with the Council, revoke the appointment under subsection (2) of a member of the public.
 - (6) The powers, duties and operations of the Board under this Act, the regulations and the bylaws are not affected by
 - (a) the fact that no member of the public is appointed as a member of the Board pursuant to subsection (2),
 - (b) the revocation under subsection (5) of the appointment of a member of the public, or
 - (c) the resignation as a member of the Board of a member of the public.
 - (7) The failure of a member of the public appointed under subsection (2) to attend a meeting of the Board is not to be construed to affect or restrict the Board from exercising at that meeting any powers or performing any duties under this Act, the regulations or the bylaws.
 - (8) The Board of Examiners shall consider applications for the registration of applicants as professional members or licensees in accordance with this Part, the regulations and the bylaws and may
 - (a) approve the registration,
 - (b) refuse the registration, or
 - (c) defer the approval of registration until it is satisfied that the applicant has complied with a requirement made under this section.

- (9) The Board of Examiners may, in its discretion, require an applicant for registration
- (a) to pass one or more examinations set by the Board,
 - (b) to obtain more experience of a kind satisfactory to the Board for a period set by the Board, or
 - (c) to pass one or more examinations and obtain more experience

before it approves the registration.

1981 cE-11.1 s29;1984 c17 s11;1995 c14 s13

Approval by the Board of Examiners

31(1) The Board of Examiners shall approve the registration as a professional member of a person who proves to the satisfaction of the Board that

- (a) the person is of good character and reputation,
- (b) the person is a Canadian citizen or lawfully admitted to Canada for permanent residence, and
- (c) the person meets the requirements of the regulations.

(2) If an applicant for registration as a licensee is not a Canadian citizen or lawfully admitted to Canada for permanent residence but otherwise complies, to the satisfaction of the Board of Examiners, with subsection (1), the Board shall approve the registration.

1981 cE-11.1 s30;1984 c17 s12;1995 c14 s14

Review by the Appeal Board

32(1) The Board of Examiners shall send a written notice of any decision made by it under this Part to the applicant.

(2) If the decision made by the Board is to refuse or defer registration of the applicant, reasons for the decision shall be sent in writing to the applicant.

(3) If the decision made by the Board is to approve the registration, the Registrar shall publish a notice of approval in accordance with the bylaws.

(4) An applicant whose application for registration has been refused by the Board of Examiners may, within 30 days after receiving a notice of refusal and the reasons for refusal, appeal the decision to the Appeal Board by serving a notice of appeal on the Registrar.

(5) On receiving a notice of appeal, the Registrar shall set a date, time and place for the hearing of the appeal and notify the appellant, in writing, of the date, time and place.

(6) The appellant may appear with counsel and make representations to the Appeal Board.

(7) On concluding the hearing, the Appeal Board may make any decision the Board of Examiners was authorized to make.

1981 cE-11.1 s31;1995 c14 s15

Joint firms

33(1) In this section and sections 34 and 35,

- (a) “Architects Association” means The Alberta Association of Architects under the *Architects Act*;
- (b) “architects firm” means a partnership or corporation
 - (i) that
 - (A) confines its practice to providing architectural consulting services, or

- (B) if it does not confine its practice to providing architectural consulting services, engages in a practice satisfactory to the Joint Board,
 - and
 - (ii) in which registered architects
 - (A) hold a majority interest, and
 - (B) control the partnership or corporation,
 - and that is otherwise entitled to engage in the practice of architecture under the *Architects Act*;
 - (c) “engineers firm” means a partnership or corporation
 - (i) that
 - (A) confines its practice to providing engineering consulting services, or
 - (B) if it does not confine its practice to providing engineering consulting services, engages in a practice satisfactory to the Joint Board,
 - and
 - (ii) in which professional engineers
 - (A) hold a majority interest, and
 - (B) control the partnership or corporation,
 - and that is otherwise entitled to engage in the practice of engineering under this Act;
 - (d) “proposed engineers and architects firm” means a partnership or corporation
 - (i) that
 - (A) proposes to confine its practice to providing engineering consulting services and architectural consulting services, or
 - (B) if it does not propose to confine its practice to providing engineering consulting services and architectural consulting services, proposes to engage in a practice satisfactory to the Joint Board,
 - and
 - (ii) in which professional engineers and registered architects
 - (A) hold a majority interest, and
 - (B) control the partnership or corporation,
 - and that is otherwise entitled to engage in the practice of engineering under this Act or the practice of architecture under the *Architects Act*.
- (2) An application for a certificate of authorization may be made by the following:
- (a) a professional engineer;
 - (b) a registered architect;
 - (c) an engineers firm;
 - (d) an architects firm;

- (e) a proposed engineers and architects firm;
 - (f) a partnership or corporation that is not referred to in clause (c), (d) or (e) that the Joint Board considers a suitable applicant for a certificate of authorization.
- (3) An applicant under subsection (2) shall
- (a) if its prime activity is the provision of engineering consulting services, apply to the Council, and
 - (b) if its prime activity is the provision of architectural consulting services, apply to the council of the Architects Association.

1981 cE-11.1 s32

Approval by Joint Board

34(1) Every application under section 33 shall be referred to the Joint Board by the council to which it was made, with or without comment from that council.

- (2) The Joint Board shall consider with respect to each application referred to it whether
- (a) the applicant is eligible to apply under section 33(2);
 - (b) the applicant has at least one full-time employee who is a professional engineer who shall take responsibility for the engineering work of the applicant and at least one full-time employee who is a registered architect who shall take responsibility for the architectural work of the applicant;
 - (c) the presence of any ownership interests in the applicant will give rise to conflicts with the professional responsibilities of the firm;
 - (d) the granting of a certificate of authorization to the applicant will give rise to unauthorized practice or otherwise lead to circumvention of this Act or the *Architects Act*;
 - (e) any detriment to the public would result from the applicant becoming entitled to engage in the practice of both engineering and architecture.
- (3) After considering an application for a certificate of authorization referred to it, the Joint Board shall recommend
- (a) in the case of an application by a registered architect or an architects firm, to the Council,
 - (b) in the case of an application by a professional engineer or an engineers firm, to the council of the Architects Association, or
 - (c) in the case of an application by a proposed engineers and architects firm or other applicant, to the Council and to the council of the Architects Association,

whether or not to grant a certificate of authorization, based on the criteria considered by it under subsection (2).

1981 cE-11.1 s33

Registration of joint firm

35(1) On receipt of a recommendation of the Joint Board under section 34, the Council may approve the registration of a proposed engineers and architects firm if that firm is eligible to become registered under the regulations.

(2) When recommendations are made by the Joint Board to both the Council and the council of the Architects Association with respect to an application for a certificate of authorization, both councils must agree that the certificate should be issued and both shall sign the certificate before it is issued.

(3) Subject to subsection (2), an applicant is entitled to be registered as a joint firm when the Council approves its registration.

1981 cE-11.1 s34

Duties of joint firm

36(1) A joint firm may engage in the practice of both engineering and architecture in

- (a) the names of the individuals who are its partners,
- (b) its corporate name, or
- (c) any other name that is approved by the Council pursuant to the bylaws.

(2) A joint firm shall advise the Registrar in writing of

- (a) the names of the individual shareholders, directors and officers of the firm,
- (b) the names of the employees who are professional engineers and registered architects, and
- (c) of any change in those shareholders, directors, officers or employees forthwith after the change occurs.

(3) When a joint firm causes plans, drawings, detail drawings and specifications prepared in its practice of engineering, or prepared by other persons and reviewed by the professional members in its practice of engineering, to be signed by its proper officers and affixed with the permit number issued to the firm, it shall also cause them to be signed by and imprinted with the stamp or seal of the professional engineer who

- (a) had supervision and control over their preparation, or
- (b) reviewed and assumed professional responsibility for them.

1981 cE-11.1 s35;1998 c14 s10

Restricted practitioner

37(1) The Joint Board may recommend to the Council that a certificate of authorization be issued to an individual who is a registered architect who

- (a) has historically competently provided a service in the practice of professional engineering in Alberta, and
- (b) applied for the certificate before October 1, 1982.

(2) On receipt of a recommendation under subsection (1), the Council may approve the registration of an individual who has applied to the Council and is eligible under the bylaws to become registered as a restricted practitioner.

(3) If the Council approves the registration of an individual as a restricted practitioner, it shall specify in the certificate and in the register the restricted scope of the practice of engineering in which the individual is permitted to engage.

1981 cE-11.1 s36;1983 cD-25.5 s23

Exemption from stamp or seal requirement

38 On the recommendation of the Joint Board, the Council may authorize an individual who is a registered architect under the *Architects Act* to apply for a permit authorized by the regulations under the *Safety Codes Act* without the final design drawings and specifications of the building having the stamp or seal of a professional engineer.

1981 cE-11.1 s37;1991 cS-0.5 s70;1995 c14 s16

Cancellation

39(1) The Council may direct the Registrar to cancel the registration of

- (a) a professional member, licensee or permit holder who is in default of payment of annual fees or any other fees, dues or levies payable under this Act, or
- (b) a permit holder if it no longer has employees in compliance with this Act,

after the expiration of 30 days following the service on the professional member, licensee or permit holder of a written notice by the Council pursuant to subsection (2), unless the professional member, licensee or permit holder on whom the notice is served complies with the notice.

- (2) The notice under subsection (1) shall state that the Registrar may cancel the registration unless
- (a) the fees, dues or levies are paid as indicated in that notice, or
 - (b) evidence satisfactory to the Council has been received by it within the time prescribed in the notice indicating that the permit holder has employees in compliance with this Act.
- (3) The Council may direct the Registrar to cancel the registration of a professional member, licensee or permit holder that was entered in error in the register.
- (4) If the registration of a professional member or licensee has been cancelled under this section, the professional member or licensee shall forthwith surrender to the Registrar any certificate of registration, licence, stamp or seal issued to the professional member or licensee.
- (5) If the registration of a permit holder has been cancelled under this section, the permit holder shall immediately surrender the permit to the Registrar and cease to use the permit number issued to that permit holder.
- (6) If a registration has been cancelled pursuant to subsection (1), the Council may direct the Registrar, subject to any conditions that the Council may prescribe, to reinstate that registration in the applicable register and to reissue the certificate of registration, licence or permit and the stamp, seal or permit number.
- (7) Notwithstanding subsection (6), if a person whose registration is cancelled applies to be reinstated more than 7 years after the date of the cancellation, the application for reinstatement must be referred to the Board of Examiners.

1981 cE-11.1 s38;1995 c14 s17;1998 c14 s11

Cancellation of a joint firm

40(1) The Council may direct the Registrar to cancel the registration of a joint firm that

- (a) is in default of payment of annual fees or any other fees, dues or levies payable under this Act, or
- (b) ceases to have at least one professional engineer and at least one registered architect to take the responsibility referred to in section 34(2)(b),

after the expiration of one month following the service on the joint firm of a written notice that the Council intends to cancel the registration, unless the joint firm on which the notice is served complies with the notice.

- (2) The notice under subsection (1) shall state that the Registrar may cancel the registration unless
- (a) the fees, dues or levies are paid as indicated in the notice, or
 - (b) the joint firm has at least one professional engineer and at least one registered architect to take the responsibility referred to in section 34(2)(b).
- (3) If the registration of a joint firm has been cancelled under this section, the joint firm shall forthwith surrender to the Registrar the certificate of authorization and the stamp issued to it.
- (4) The Council may direct the Registrar, subject to any conditions that the Council may prescribe, to reinstate the joint firm in the applicable register and to reissue the certificate of authorization and the stamp.

1981 cE-11.1 s39

Cancellation of restricted practitioners

41(1) The Council may direct the Registrar to cancel the registration of a restricted practitioner who

- (a) is in default of payment of annual fees or any other fees, dues or levies payable under this Act, or
- (b) who is not a registered architect in good standing under the *Architects Act*,

after the expiration of one month following the service on the restricted practitioner of a written notice that the Council intends to cancel the registration, unless the restricted practitioner on whom the notice is served complies with the notice.

- (2) The notice under subsection (1) shall state that the Registrar may cancel the registration unless
- (a) the fees, dues or levies are paid as indicated in the notice, or
 - (b) the restricted practitioner is a registered architect in good standing under the *Architects Act*.
- (3) If the registration of a restricted practitioner has been cancelled under this section, the restricted practitioner shall forthwith surrender to the Registrar the certificate of authorization and the stamp issued to it.
- (4) The Council may direct the Registrar, subject to any conditions that the Council may prescribe, to reinstate the restricted practitioner in the applicable register and to reissue the certificate of authorization and the stamp.

1981 cE-11.1 s40

Part 5 Discipline

Definitions

42 In this Part,

- (a) “conduct” includes an act or omission;
- (b) “investigated person” means a professional member, licensee, permit holder, certificate holder or member-in-training with respect to whose conduct an investigation is held under this Part;
- (c) “practice of the profession” means practice of engineering, practice of geology or practice of geophysics, as the case may be.

1981 cE-11.1 s41

Complaints

43(1) A person may complain to the Registrar, or to a person who is authorized in writing by the Registrar to receive complaints, about the conduct of a professional member, licensee, permit holder, certificate holder or member-in-training, and the complaint shall be dealt with in accordance with this Part and the regulations.

(2) A complaint must be in writing.

(3) A complaint respecting the conduct of a professional member, licensee, permit holder or certificate holder whose registration was cancelled pursuant to this Act may, notwithstanding the cancellation, be dealt with within 2 years following the date of cancellation of the registration as if the cancellation had not occurred.

(4) Notwithstanding section 47, a person designated by the Registrar as a mediator may assist in settling a complaint if the complainant and the person about whose conduct the complaint was made agree, but if within 30 days from the date of receipt of the complaint, or a longer period agreed to by those persons, a settlement of the complaint between those persons does not occur, or in the mediator’s opinion is not likely to occur, the complaint shall be referred forthwith by the Registrar to the Investigative Committee.

(5) If a complaint is settled with the assistance of a mediator, any agreement that is reached by the complainant and the person about whose conduct the complaint was made must be reviewed by the Investigative Committee, and that Committee may

- (a) approve the agreement, or
- (b) proceed with a preliminary investigation in accordance with section 47.

1981 cE-11.1 s42;1984 c17 s13;1995 c14 s18

Determination of unprofessional conduct and unskilled practice

44(1) Any conduct of a professional member, licensee, permit holder, certificate holder or member-in-training that in the opinion of the Discipline Committee or the Appeal Board

- (a) is detrimental to the best interests of the public,
- (b) contravenes a code of ethics of the profession as established under the regulations,
- (c) harms or tends to harm the standing of the profession generally,
- (d) displays a lack of knowledge of or lack of skill or judgment in the practice of the profession, or
- (e) displays a lack of knowledge of or lack of skill or judgment in the carrying out of any duty or obligation undertaken in the practice of the profession,

whether or not that conduct is disgraceful or dishonourable, constitutes either unskilled practice of the profession or unprofessional conduct, whichever the Discipline Committee or the Appeal Board finds.

(2) If an investigated person fails to comply with or contravenes this Act, the regulations or the bylaws, and the failure or contravention is, in the opinion of the Discipline Committee, of a serious nature, the failure or contravention may be found by the Discipline Committee to be unprofessional conduct whether or not it would be so found under subsection (1).

1981 cE-11.1 s43;1995 c14 s19

Discipline Committee

45(1) The Council shall establish a Discipline Committee, the members of which shall be appointed in accordance with the regulations.

(2) The Council shall make regulations governing, subject to this Part, the operation and proceedings of the Discipline Committee, the designation of a chair, the appointment of acting members and the procedures for filling vacancies in the offices of the chair and the membership and the appointment of members by virtue of their office, and prescribing their powers, duties and functions.

(3) The Council may make regulations respecting the hearing of a matter under this Part by a panel of the Discipline Committee.

(4) A regulation made under subsection (2) or (3) does not come into force unless it has been approved by the Lieutenant Governor in Council.

1981 cE-11.1 s44;1984 c17 s14

Investigative Committee

46(1) The Council shall establish an Investigative Committee, the members of which shall be appointed in accordance with the regulations.

(2) The Council shall make regulations governing, subject to this Part, the operation and proceedings of the Investigative Committee, the designation of a chair, the appointment of members, acting members and members by virtue of their office and the procedures for filling vacancies in the offices of the chair and the membership, and prescribing their powers, duties and functions.

(3) A regulation made under subsection (2) does not come into force unless it has been approved by the Lieutenant Governor in Council.

1995 c14 s20

Investigation panel

47 When a complaint is referred to the Investigative Committee under section 43, the Investigative Committee shall appoint an investigation panel from among its members to conduct a preliminary investigation.

1981 cE-11.1 s45;1995 c14 s21

Notice of preliminary investigation

48 The Registrar shall forthwith send notice in writing to the investigated person that a preliminary investigation is being conducted.

1981 cE-11.1 s46

Evidence for preliminary investigation

49(1) An investigation panel may

- (a) require the investigated person or any other member of the Association to produce any plans, drawings, detailed drawings, specifications, reports, books, papers or other documents or records in that person's possession or control, and
- (b) copy and keep copies for the purposes of this Part of any thing that is produced under clause (a).

(2) An investigation panel may investigate any other matter regarding the conduct of the investigated person that arises in the course of the investigation.

1981 cE-11.1 s47;1995 c14 s22

Report to Investigative Committee

50 On concluding a preliminary investigation, the investigation panel shall report its findings to the Investigative Committee.

1981 cE-11.1 s48;1995 c14 s23

Termination of investigation

51(1) The Investigative Committee may terminate an investigation at any time if it is of the opinion that

- (a) the complaint is frivolous or vexatious, or
- (b) there is insufficient evidence of unskilled practice of the profession or unprofessional conduct.

(2) On terminating an investigation, the Investigative Committee shall direct the Registrar to serve on the investigated person and on the complainant, if any, a notice in accordance with the bylaws that the investigation has been terminated.

(3) A complainant who is served with a notice under subsection (2) informing the complainant that the investigation has been terminated may, by notice in writing to the Registrar within 30 days after receipt of the notice under subsection (2), appeal that decision to the Appeal Board.

(4) On an appeal under subsection (3), the Appeal Board shall

- (a) uphold the decision of the Investigative Committee to terminate the investigation if, in the opinion of the Appeal Board,
 - (i) the complaint is frivolous or vexatious, or
 - (ii) there is insufficient evidence of unskilled practice of the profession or unprofessional conduct,or
- (b) refer the matter to the Discipline Committee for a formal hearing.

(5) The Appeal Board shall notify the complainant, the investigated person and the Investigative Committee in writing of its decision under subsection (4).

1981 cE-11.1 s49;1984 c17 s15;1995 c14 s24;1998 c14 s12

Power of Investigative Committee to recommend an order

52(1) If an investigation is not terminated under section 51, the Investigative Committee may

- (a) if the investigated person has admitted to conduct that constitutes unskilled practice of the profession or to unprofessional conduct, recommend, in accordance with subsection (2), any order that the Investigative Committee considers appropriate, or
- (b) refer the matter to the Discipline Committee for a formal hearing.

(2) An order recommended by the Investigative Committee must be provided to a member of the Discipline Committee who has been designated by that Committee to act as a case manager.

(3) If the case manager agrees with the order recommended by the Investigative Committee, the case manager must discuss the order with the investigated person and, if the investigated person agrees with the order, the order has the same force and effect as an order made by the Discipline Committee following a formal hearing.

(4) If the case manager or the investigated person rejects the order recommended by the Investigative Committee, the matter must be referred to the Discipline Committee for a formal hearing.

1995 c14 s25

Duty of Discipline Committee

53(1) On the referral of a matter to the Discipline Committee for a formal hearing, the Discipline Committee shall hold the hearing forthwith.

(2) Notwithstanding subsection (1), if proceedings in respect of the same circumstances or events are commenced in Provincial Court or the Court of Queen's Bench, the Discipline Committee may adjourn the hearing.

(3) The Registrar shall serve on the investigated person and on the complainant, if any, a notice of hearing stating the date, time and place at which the Discipline Committee will hold the hearing and giving reasonable particulars of the conduct or complaint in respect of which the hearing will be held.

1981 cE-11.1 s50;1984 c17 s16;1995 c14 s26

Further investigation

54(1) The Discipline Committee may also investigate and hear any other matter concerning the conduct of the investigated person that arises in the course of the hearing, but in that event the Committee shall declare its intention to investigate and hear the further matter and shall permit the person sufficient opportunity to prepare the person's answer to the further matter.

(2) Sections 56 to 62 apply to an investigation and hearing of a further matter under subsection (1).

1981 cE-11.1 s51

Suspension pending investigation and hearing

55(1) Notwithstanding anything in this Act, the Investigative Committee may suspend the registration of a professional member, licensee, permit holder, certificate holder or member-in-training pending a preliminary investigation or a decision of the Discipline Committee.

(2) A person whose registration is suspended under subsection (1) may, by filing an originating notice with the Court and serving a copy on the Registrar, apply for an order of the Court staying the suspension.

1981 cE-11.1 s52;1984 c17 s17;1995 c14 s27

Right to counsel and to appearance

56 The Investigative Committee and the investigated person may appear and be represented by counsel at a hearing before the Discipline Committee.

1981 cE-11.1 s53;1984 c17 s18;1995 c14 s28

Public hearings

57 All hearings before the Discipline Committee and the Appeal Board under this Part are open to the public unless that Committee or Board orders otherwise.

1981 cE-11.1 s54;1995 c14 s29

Evidence

58(1) Evidence may be given before the Discipline Committee in any manner that the Committee considers appropriate, and the Committee is not bound by the rules of law respecting evidence applicable to judicial proceedings.

(2) For the purposes of an investigation, hearing or review under this Act, any member of the Appeal Board, the Discipline Committee or the Practice Review Board is conferred with the power of a commissioner for oaths under the *Commissioners for Oaths Act*.

1981 cE-11.1 s55;1995 c14 s30

Witnesses and documents

59(1) The investigated person and any other person who in the opinion of the Discipline Committee has knowledge of the complaint or any conduct being investigated are compellable witnesses in any proceeding under this Part.

(2) A witness may be examined on oath on all matters relevant to the investigation or hearing and is not to be excused from answering any question on the ground that the answer might

- (a) tend to incriminate the witness,
- (b) subject the witness to punishment under this Part, or
- (c) tend to establish the witness's liability
 - (i) to a civil proceeding at the instance of the Crown or of any other person, or
 - (ii) to prosecution under any Act or regulations under any Act,

but if the answer so given tends to incriminate the witness, subjects the witness to punishment or tends to establish the witness's liability, it shall not be used or received against the witness in any civil proceedings, in a prosecution under Part 7 or in any proceeding under any other Act, except in a prosecution for or proceedings in respect of perjury or the giving of contradictory evidence.

(3) For the purpose of obtaining the testimony of a witness who is out of Alberta, a judge of the Court on an application ex parte by the Association may direct the issuing of a commission for the obtaining of the evidence of the witness, and the commission is to be issued and the evidence taken pursuant to the *Alberta Rules of Court*.

1981 cE-11.1 s56;1985 c15 s11

Enforcement of attendance and production of documents

60(1) The attendance of witnesses before the Discipline Committee and the production of plans, drawings, detail drawings, specifications, reports, books, papers and other documents or records may be enforced by a notice issued by the Registrar requiring the witness to attend and stating the date, time and place at which the witness is to attend and the plans, drawings, detail drawings, specifications, reports, books, papers and other documents or records, if any, the witness is required to produce.

(2) On the written request of the investigated person or of the investigated person's counsel or agent, the Registrar shall without charge issue and deliver to that person or that person's counsel or agent any notices that that person or that person's counsel or agent may require for the attendance of witnesses or the production of documents or records.

(3) A witness other than the investigated person who has been served with a notice to attend or a notice for the production of documents or records under subsection (1) or (2) is entitled to be paid the same fees as are payable to witnesses in an action in the Court.

1981 cE-11.1 s57

Failure to give evidence

61(1) Proceedings for civil contempt of court may be brought against a witness

- (a) who fails
 - (i) to attend before the Discipline Committee in compliance with a notice to attend,
 - (ii) to produce any books, papers or other documents or records in compliance with a notice to produce them, or
 - (iii) in any way to comply with either notice,

or

- (b) who refuses to be sworn or to answer any question directed to be answered by the Discipline Committee.
- (2) If the witness referred to in subsection (1) is the investigated person, the witness's failure or refusal may be held to be unprofessional conduct.
- (3) The Discipline Committee, on proof of service of the notice of investigation on the investigated person and the complainant, if any, may
- (a) proceed with the investigation in the absence of either or both of those persons, and
 - (b) act on the matter being investigated in the same way as though either or both of those persons were in attendance.

1981 cE-11.1 s58

Finding by the Discipline Committee

62(1) The Discipline Committee may find that the conduct of an investigated person constitutes neither unskilled practice of the profession nor unprofessional conduct.

(2) The Discipline Committee may find that the conduct of an investigated person constitutes unskilled practice of the profession or unprofessional conduct, or both, and shall deal with the investigated person in accordance with this Part.

1981 cE-11.1 s59

Powers of the Discipline Committee

63 If the Discipline Committee finds that the conduct of the investigated person is unprofessional conduct or unskilled practice of the profession, or both, the Discipline Committee may make any one or more of the following orders:

- (a) reprimand the investigated person;
- (b) suspend the registration of the investigated person for a specified period;
- (c) suspend the registration of the investigated person either generally or from any field of practice until
 - (i) the investigated person has completed a specified course of studies or obtained supervised practical experience, or
 - (ii) the Discipline Committee is satisfied as to the competence of the investigated person generally or in a specified field of practice;
- (d) accept in place of a suspension the investigated person's undertaking to limit the investigated person's practice;
- (e) impose conditions on the investigated person's entitlement to engage in the practice of the profession generally or in any field of the practice, including the conditions that the investigated person
 - (i) practise under supervision,
 - (ii) not engage in sole practice,
 - (iii) permit periodic inspections by a person authorized by the Discipline Committee, or
 - (iv) report to the Discipline Committee on specific matters;
- (f) direct the investigated person to pass a particular course of study or satisfy the Discipline Committee as to the investigated person's practical competence generally or in a field of practice;
- (g) direct the investigated person to satisfy the Discipline Committee that a disability or addiction can be or has been overcome, and suspend the person until the Discipline Committee is so satisfied;
- (h) require the investigated person to take counselling or to obtain any assistance that in the opinion of the Discipline Committee is appropriate;

- (i) direct the investigated person to waive, reduce or repay a fee for services rendered by the investigated person that, in the opinion of the Discipline Committee, were not rendered or were improperly rendered;
- (j) cancel the registration of the investigated person;
- (k) any other order that it considers appropriate in the circumstances.

1981 cE-11.1 s60

Order to pay costs or a fine

64(1) The Discipline Committee may, in addition to or instead of dealing with the investigated person in accordance with section 63, order that the investigated person pay

- (a) all or part of the costs of the hearing in accordance with the bylaws,
- (b) a fine not exceeding \$10 000 to the Association, or
- (c) both the costs under clause (a) and a fine under clause (b),

within the time fixed by the order.

(2) If the investigated person ordered to pay a fine, costs, or both, under subsection (1) fails to pay the fine, costs, or both, within the time ordered, the Discipline Committee may suspend the registration of that person until the person has paid the fine, costs or both.

(3) A fine or costs ordered to be paid to the Association under this section is a debt due to the Association and may be recovered by the Association by civil action for debt.

1981 cE-11.1 s61;1984 c17 s19

Service of written decision

65(1) The Discipline Committee shall, within a reasonable time after the conclusion of a hearing, make a written decision on the matter, in which it shall

- (a) describe each finding made in accordance with this Part,
- (b) state the reasons for each finding made, and
- (c) state any order made under this Part.

(2) The Discipline Committee shall immediately forward to the Registrar

- (a) the decision, and
- (b) the record of the hearing, consisting of all evidence presented before it, including
 - (i) all exhibits,
 - (ii) all documents and records, and
 - (iii) a transcript of all testimony given before it, whether recorded electronically, mechanically or in handwritten form.

(3) The Registrar shall, immediately on receiving the decision and the record of the hearing referred to in subsection (2), serve

- (a) a copy of the decision on the investigated person and the Investigative Committee, and
- (b) a notice of the nature of the decision on the complainant, if any.

(4) The investigated person or the investigated person's counsel or agent may examine the record or any part of the record of the proceedings and hear any recording or examine any mechanical or handwritten form of record of any testimony.

1981 cE-11.1 s62;1995 c14 s31

Suspension or cancellation pending appeal

66(1) Notwithstanding an appeal under this Part, the Discipline Committee may order that its decision remain in effect until the Appeal Board or the Court of Appeal, as the case may be, makes its decision on the appeal.

(2) An investigated person may, by filing an originating notice with the Court and serving a copy on the Registrar, apply for an order of the Court staying the decision of the Discipline Committee pending the determination of the appeal.

(3) The Court may hear an application made under this section not less than 10 days after the originating notice has been served on the Registrar.

(4) On hearing an application made under this section the Court may, subject to any conditions that it considers proper, stay the decision of the Discipline Committee pending the determination of the appeal.

1981 cE-11.1 s63;1984 c17 s20;1995 c14 s32

Appeal to Appeal Board

67(1) The Investigative Committee or the investigated person may appeal to the Appeal Board any finding or order of the Discipline Committee.

(2) An appeal under subsection (1) shall be commenced by a written notice of appeal that shall

- (a) describe the finding or order appealed from,
- (b) state the reasons for the appeal, and
- (c) be served on the Registrar not more than 30 days after the date that the decision of the Discipline Committee was served on the investigated person.

(3) On receiving a notice of appeal from the Investigative Committee, the Registrar shall forthwith provide a copy to the investigated person and make the record of the hearing available to the investigated person.

(4) On receiving a notice of appeal, the Registrar shall provide a copy to the Appeal Board and make the record of the hearing available to each member of the Appeal Board.

1981 cE-11.1 s64;1984 c17 s21;1995 c14 s33

Time of appeal

68(1) The Appeal Board, on receiving a notice of appeal under section 67, shall serve on the investigated person and the Investigative Committee a notice of hearing of an appeal stating the date, time and place that the Appeal Board will hear the matters appealed.

(2) The Appeal Board shall hear an appeal forthwith.

1981 cE-11.1 s65;1995 c14 s34

Powers of the Appeal Board on appeal

69(1) The Appeal Board on an appeal may do any or all of the following:

- (a) grant adjournments of the proceedings or reserve the determination of the matters before it for a future meeting of the Appeal Board;
- (b) receive further evidence on granting special leave for that purpose;
- (c) draw inferences of fact and make a determination or finding that in its opinion ought to have been made by the Discipline Committee;
- (d) order that the matter be referred back to the Discipline Committee.

- (2) Sections 56 to 62, 65 and 66 apply to the hearing of an appeal by the Appeal Board.
- (3) The Appeal Board shall forthwith after the date of the conclusion of all proceedings before it,
- (a) make any finding as to the conduct of the investigated person that in its opinion ought to have been made by the Discipline Committee,
 - (b) quash, confirm or vary the finding or order of the Discipline Committee or substitute or make a finding or order of its own, or
 - (c) refer the matter back to the Discipline Committee for further consideration in accordance with any direction that the Appeal Board may make.
- (4) The Appeal Board may order the investigated person to pay all or part of the costs of the appeal determined in accordance with the bylaws.

1981 cE-11.1 s66;1984 c17 s22;1995 c14 s35

Appeal to the Court of Appeal

70(1) An investigated person may appeal to the Court of Appeal any finding or order made by the Appeal Board under section 69.

(2) The Appeal Board shall be the respondent in an appeal under subsection (1) and may make representations to the Court of Appeal.

(3) An appeal under this section shall be commenced

- (a) by filing a notice of appeal with the Registrar of the Court of Appeal in Edmonton or Calgary, and
- (b) by serving a copy of the notice of appeal on the Registrar,

both within 30 days from the date on which the decision of the Appeal Board is served on the investigated person.

1981 cE-11.1 s68;1984 c17 s24;1995 c14 s36

Order for stay pending appeal

71 The appellant may, after commencing an appeal and on notice to the Registrar, apply to the Court of Appeal for an order staying all or any part of the order or decision of the Appeal Board appealed.

1981 cE-11.1 s69;1984 c17 s25;1995 c14 s37

Material in support of appeal

72(1) An appeal under section 70 shall be supported by copies, certified by the Registrar, of the decision of the Appeal Board and the record of the appeal before the Appeal Board.

(2) The Registrar, on being paid any disbursements and expenses in connection with a request made by the appellant or the appellant's solicitor or agent, shall furnish to the appellant or the appellant's solicitor or agent the number of copies so requested of the documents mentioned in subsection (1).

1981 cE-11.1 s70;1984 c17 s26;1995 c14 s37

Power of the court on appeal

73(1) The Court of Appeal on hearing the appeal may do any or all of the following:

- (a) make any finding that in its opinion ought to have been made;
- (b) quash, confirm or vary the order or decision of the Appeal Board or any part of it;
- (c) refer the matter back to the Appeal Board for further consideration in accordance with any direction of the Court of Appeal;

- (d) direct that a new trial of any mixed questions of law and fact relating to a finding or order, or to both a finding and an order of the Appeal Board made under section 69, be held before the Court.
- (2) The Court of Appeal may make any award as to the costs of an appeal to it that it considers appropriate.
1981 cE-11.1 s71;1984 c17 s27;1995 c14 s37

Fraudulent registration

74(1) If the Council is satisfied, after a hearing on the matter, that a person whose registration is entered in the register obtained registration by means of any false or fraudulent representation or declaration, either oral or written, the Council shall order that the person's registration be cancelled.

- (2) The provisions of this Part respecting the procedures of the Discipline Committee apply to a hearing held by the Council under subsection (1).

1981 cE-11.1 s72

Surrender of certificates

75(1) If the registration of a professional member, licensee or restricted practitioner has been cancelled or suspended under this Part, the professional member, licensee or restricted practitioner shall immediately surrender any certificate, stamp or seal to the Registrar.

- (2) If the registration of a permit holder or joint firm has been cancelled or suspended under this Part, the permit holder or joint firm shall immediately

- (a) surrender the permit or certificate of authorization to the Registrar, and
- (b) cease using the permit number issued by the Registrar.

(3) If the registration of a professional member, licensee, permit holder or certificate holder has been cancelled under this Part, the registration shall not be reinstated in the register except by order of the Council, the Court or the Court of Appeal.

- (4) No order shall be made under subsection (3) within one year after

- (a) the date on which the registration was cancelled, or
- (b) if an order was granted staying the imposition of a punishment imposed by the Council and the punishment is later confirmed by the Court or the Court of Appeal, the date on which the Court or the Court of Appeal made its order confirming the punishment.

(5) A member of the Council who is a member of a committee of inquiry appointed pursuant to the regulations to consider an application under this Part for reinstatement of registration may participate in or vote at any proceedings of the Council under this section, and the Registrar and the Association's solicitor may participate in those proceedings.

1981 cE-11.1 s73;1998 c14 s13

Misrepresentation of status

76 The conduct of a person who is or was registered as a professional member, licensee, permit holder or certificate holder who represents or holds out that the person is registered and in good standing while the person's registration is suspended or cancelled may be dealt with as being unprofessional conduct in accordance with this Part.

1981 cE-11.1 s74

Publication

77 After a finding or order is made by the Discipline Committee, the Council, the Appeal Board, the Court or the Court of Appeal under this Part, the name of the investigated person may be published in accordance with the regulations.

1981 cE-11.1 s75;1995 c14 s38

Part 6 General

Use of stamps, seal, permit number

78(1) A professional member, licensee or restricted practitioner shall, in accordance with the regulations,

- (a) sign documents or records, and
- (b) stamp or seal documents or records.

(2) A permit holder shall affix its permit number on documents or records in accordance with the regulations.

1981 cE-11.1 s76;1984 c17 s28;1998 c14 s14

Exemption from municipal licence

79 No municipality has the power to require

- (a) any professional member, licensee, permit holder or certificate holder to obtain a licence from the municipality to engage in the practice of engineering, the practice of geology or the practice of geophysics, or
- (b) any member-in-training to obtain a licence from the municipality for or in connection with the performance of any acts or services authorized by this Act to be performed by a member-in-training.

1998 c36 s3

Liability to others

80(1) The relationship between a permit holder or certificate holder engaged in the practice of engineering, geology or geophysics and a person receiving the professional services of the permit holder or certificate holder is subject to this Act, the regulations and any other law applicable to the relationship between a professional member and the professional member's client.

(2) The relationship of a professional member or licensee to a permit holder, whether as member, shareholder or employee of the permit holder, does not affect, modify or diminish the application of this Act, the regulations and the bylaws

- (a) to the professional member or licensee personally as a professional member or licensee, or
- (b) to the relationship between the professional member or licensee and the professional member's or licensee's client.

1981 cE-11.1 s77;1984 c17 s29

Registrar's certificate

81(1) A certificate purporting to be signed by the Registrar and stating that a named person was or was not, on a specified day or during a specified period,

- (a) a professional member, licensee or certificate holder, or
- (b) an officer of the Association or a member of the Council

shall be admitted in evidence as proof, in the absence of evidence to the contrary, of the facts stated in it without proof of the Registrar's appointment or signature.

(2) A certificate purporting to be signed by the Registrar and stating that a named corporation, partnership or other association of persons was or was not, on a specified day or during a specified period, a permit holder or certificate holder shall be admitted in evidence as proof, in the absence of evidence to the contrary, of the facts stated in it without proof of the Registrar's appointment or signature.

1981 cE-11.1 s78

Protection from liability

82(1) No action lies against

- (a) any person conducting a preliminary investigation, a member of the Discipline Committee, the Practice Review Board, the Investigative Committee, the Appeal Board, the Council or the Board of Examiners, the Registrar, the Association or any person acting on the instructions of any of them, or
- (b) any member, officer or employee of the Association

for anything done by that person or body in good faith and in purporting to act under this Act, the regulations or the bylaws.

(2) No action for defamation may be founded on a communication that consists of or pertains to a complaint regarding the conduct of a professional member, licensee, permit holder, certificate holder or member-in-training, if the communication is published to or by

- (a) the Association,
- (b) a member of the Council, the Discipline Committee, the Practice Review Board, the Investigative Committee, the Appeal Board or the Board of Examiners,
- (c) a person conducting a preliminary investigation,
- (d) an officer or employee of the Association, or
- (e) a person acting on the instructions of any of them

in good faith in the course of investigating the complaint or in the course of any proceedings under Part 5 relating to the complaint.

1981 cE-11.1 s79;1995 c14 s39

Part 7 Professional Licensees

Scope of practice

83 No professional licensee shall engage in the practice of engineering, geology or geophysics except within the scope of practice specified by the Board of Examiners.

2007 c13 s14

Prohibition on holding out

83.1 No individual, corporation, partnership or other entity, except a professional licensee, shall represent or hold out, expressly or by implication, that the person is a professional licensee.

2007 c13 s14

Stamp or seal of professional licensee (engineering)

83.2(1) No individual, corporation, partnership or other entity, except a professional licensee (engineering), shall affix the stamp or seal of a professional licensee (engineering) or allow that stamp or seal to be affixed to a plan, drawing, detail drawing, specification or other document or a reproduction of any of them unless

- (a) that plan, drawing, detail drawing, specification, other document or reproduction was prepared by or under the supervision and control of, and
- (b) the stamp or seal is affixed with the knowledge and consent or in accordance with the direction of

the professional licensee (engineering) to whom the stamp or seal was issued.

(2) Notwithstanding subsection (1), a professional licensee (engineering) may affix a stamp or seal to a plan, drawing, detail drawing, specification, other document or reproduction prepared by other persons if the professional licensee

(engineering) completes a thorough review of and accepts professional responsibility for that plan, drawing, detail drawing, specification, other document or reproduction.

2007 c13 s14

Stamp or seal of professional licensee (geological) and professional licensee (geophysical)

83.3(1) No individual, corporation, partnership or other entity, except a professional licensee (geological) or professional licensee (geophysical), shall affix the stamp or seal of a professional licensee (geological) or professional licensee (geophysical), or allow that stamp or seal to be affixed, to a map, geophysical cross-section, specification, report or other document or a reproduction of any of them unless

- (a) that map, geophysical cross-section, specification, report, other document or reproduction was prepared by or under the supervision and control of, and
- (b) the stamp or seal is affixed with the knowledge and consent or in accordance with the direction of

the professional licensee (geological) or professional licensee (geophysical), as the case may be, to whom the stamp or seal was issued.

(2) Notwithstanding subsection (1), a professional licensee (geological) or professional licensee (geophysical) may affix a stamp or seal to a map, geophysical cross-section, specification, report or other document or reproduction prepared by other persons if the professional licensee (geological) or professional licensee (geophysical), as the case may be, completes a thorough review of and accepts professional responsibility for that map, geophysical cross-section, specification, report, other document or reproduction.

2007 c13 s14

Non-application of provisions to professional licensees

83.4(1) Sections 2(1) and 3(1)(b)(i) do not apply to a professional licensee (engineering) engaged in the practice of engineering within the scope of practice specified by the Board of Examiners.

(2) Sections 5(1) and 6(1)(b)(i) do not apply to a professional licensee (geological) engaged in the practice of geology within the scope of practice specified by the Board of Examiners.

(3) Sections 7(1) and 8(1)(b)(i) do not apply to a professional licensee (geophysical) engaged in the practice of geophysics within the scope of practice specified by the Board of Examiners.

2007 c13 s14

Register for professional licensees

83.5(1) The Registrar shall maintain, in accordance with the bylaws and subject to the direction of the Council, a register for each category of professional licensee.

(2) The Registrar shall enter in the appropriate register the name of a person who has paid the fee prescribed under the bylaws and whose registration to engage in the practice of engineering, geology or geophysics as a professional licensee has been approved by the Board of Examiners.

2007 c13 s14

Eligibility for registration

83.6 A person who meets the requirements of this Act and the regulations and applies to the Registrar for registration is entitled to become registered as a professional licensee.

2007 c13 s14

Application for registration

83.7(1) The Board of Examiners shall consider applications for the registration of applicants as professional licensees in accordance with this Act, the regulations and the bylaws, and may

- (a) approve the registration,

- (b) refuse the registration, or
 - (c) defer the approval of registration until it is satisfied that the applicant has complied with a requirement made under this section.
- (2) The Board of Examiners may, at its discretion, require an applicant for registration
- (a) to pass one or more examinations set by the Board,
 - (b) to obtain more experience of a kind satisfactory to the Board for a period set by the Board, or
 - (c) to pass one or more examinations and obtain more experience

before it approves the registration.

(3) If the Board of Examiners approves the registration of an individual as a professional licensee, it shall specify in the certificate and in the register the scope of practice of engineering, geology or geophysics in which the individual is permitted to engage.

2007 c13 s14

Registration as professional licensee

83.8 The Board of Examiners shall approve for registration as a professional licensee an individual who has applied to the Board and is eligible in accordance with this Act and the regulations to engage in the practice of engineering, geology or geophysics within the scope of practice specified by the Board of Examiners.

2007 c13 s14

Evidence of registration

84 On entering the name of a professional licensee in the register, the Registrar shall issue to that individual

- (a) a certificate of registration to engage in the practice of engineering, geology or geophysics, as the case may be, within the scope of practice specified by the Board of Examiners, and
- (b) a stamp or seal engraved as prescribed in the bylaws.

2007 c13 s14

Regulation-making authority

85 The Council may make regulations or bylaws applicable to professional licensees in respect of any of the matters under sections 19 and 20.

2007 c13 s14

Fees

86 Fees prescribed under the bylaws in respect of professional licensees must not be greater than the corresponding fees fixed in respect of professional members.

2007 c13 s14

Annual licence

86.1(1) A professional licensee engaged in the practice of engineering, geology or geophysics shall pay to the Association the annual fee prescribed in the bylaws.

(2) The Registrar shall issue an annual licence in accordance with the bylaws to a professional licensee

- (a) whose registration is not under suspension, and
- (b) who has paid the annual fee.

(3) Subject to this Act, an annual licence entitles the professional licensee to engage in the practice of engineering, geology or geophysics within the scope of practice specified by the Board of Examiners during the year for which the annual licence is issued.

2007 c13 s14

Application of Act

86.2(1) Sections 14, 15, 16, 17, 19(4), 20(3), 24, 27, 28, 29, 31, 39, 78, 79, 80(2), 81 and 82(2) apply to a professional licensee as if the professional licensee were a professional member.

(2) Section 2(4)(a) applies to a professional licensee (engineering) practising within the scope of practice specified by the Board of Examiners as if that professional licensee (engineering) were a professional engineer or licensee.

(3) Section 5(2)(a) applies to a professional licensee (geological) practising within the scope of practice specified by the Board of Examiners as if that professional licensee (geological) were a professional geologist or licensee.

(4) Section 7(2)(a) applies to a professional licensee (geophysical) practising within the scope of practice specified by the Board of Examiners as if that professional licensee (geophysical) were a professional geophysicist or licensee.

(5) Section 32 applies to a professional licensee as if the professional licensee were an applicant.

(6) Part 5 applies to a professional licensee as if the professional licensee were a professional member.

2007 c13 s14

Practice prohibitions

86.3(1) A person whose registration as a professional licensee is cancelled or suspended under this Act shall not, without the consent of the Council, engage in the practice of engineering, geology or geophysics or directly or indirectly associate with any professional member, professional licensee, licensee, permit holder or certificate holder in the practice of engineering, geology or geophysics.

(2) No professional licensee shall, in the practice of engineering, geology or geophysics, except with the permission of the Council, directly or indirectly associate with or employ in connection with the professional licensee's practice a person whose registration has been cancelled or suspended under this Act.

2007 c13 s14

Part 8 ASET, Joint Boards and Committees, Professional Technologists and Other ASET Members

Definitions

86.4 In this Part,

- (a) "ASET" means the Association of Science and Engineering Technology Professionals of Alberta continued under section 86.5;
- (b) "ASET bylaws" means bylaws enacted under section 87.4;
- (c) "ASET member" has the meaning given to it in ASET bylaws;
- (d) "ASET permit holder" means a partnership, other association of persons or corporation registered under section 90.4 and to which a permit is issued in accordance with ASET bylaws;
- (e) "ASET Registrar" means the individual appointed as ASET Registrar under section 87.1;
- (f) "ASET regulations" means regulations enacted under section 87.3;
- (g) "Joint Appeal Board" means the Joint Appeal Board established by section 87.5;
- (h) "Joint Board of Examiners" means the Joint Board of Examiners established by section 87.5;

- (i) “Joint Discipline Committee” means the Joint Discipline Committee established by section 87.5;
- (j) “Joint Investigative Committee” means the Joint Investigative Committee established by section 87.5;
- (k) “Joint Practice Review Board” means the Joint Practice Review Board established by section 87.5;
- (l) “JPT Regulations Committee” means the Joint Professional Technologists Regulations Committee established by section 87.5;
- (m) “professional technologist” means an individual who is issued a certificate of registration by the ASET Registrar in accordance with this Act to engage in the practice of engineering, geology or geophysics within the scope of practice specified by the Joint Board of Examiners;
- (n) “professional technologist regulations” means regulations enacted under section 88.5 or 88.6;
- (o) “regulated member” has the meaning given to it in ASET regulations.

2007 c13 s14

Division 1 ASET

Corporation continued

86.5(1) The Association of Science and Engineering Technology Professionals of Alberta, previously established as a society under the *Societies Act*, is continued as a corporation with that name under this Act.

(2) The abbreviated form of the name of the Association of Science and Engineering Technology Professionals of Alberta is ASET or A.S.E.T.

(3) No person other than the Association of Science and Engineering Technology Professionals of Alberta shall use the abbreviated form of the name of ASET or any other abbreviation alone or in combination with any other word or name in a way that represents expressly or by implication that the person is a member of or connected in any way with ASET.

2007 c13 s14

Capacity and powers

86.6 ASET has the capacity and, subject to this Act, the rights, powers and privileges of a natural person.

2007 c13 s14

ASET Council

87(1) The governing body of ASET continues to be its Council.

(2) ASET Council shall manage and conduct the business and affairs of ASET and exercise the powers of ASET in the name of and on behalf of ASET.

(3) ASET Council shall submit annually to the Minister, in a form satisfactory to the Minister, a report on those matters of the business and affairs of ASET that the Minister requires.

(4) The Minister shall, on receipt of the annual report of ASET, lay it before the Legislative Assembly if it is then sitting, and if it is not then sitting, within 15 days after the commencement of the next sitting.

2007 c13 s14

ASET Registrar

87.1 ASET Council shall appoint a Registrar for the purposes of this Part, ASET regulations and ASET bylaws.

2007 c13 s14

ASET Council members

87.2(1) Subject to subsection (2), ASET Council shall include

- (a) a president,
- (b) 2 vice-presidents and the past president, and
- (c) other members, the number of which shall be prescribed by ASET bylaws,

each of whom shall be elected by ASET members at the time, in the manner and for the period provided for in the bylaws.

(2) ASET Council

- (a) shall include, when the total number of elected members does not exceed 20, 3 members of the public appointed by the Minister, after consultation with ASET Council, for a 3-year term of office, and
- (b) may also include non-voting members of ASET Council determined by ASET bylaws.

(3) For each 10 elected members by which the membership of ASET Council exceeds 20, an additional member of the public shall be appointed by the Minister, after consultation with ASET Council, for a 3-year term of office.

(4) A member of ASET Council appointed under subsection (2)(a) continues to hold office after the expiry of the member's term of office until the member is reappointed or the member's successor is appointed.

(5) The Minister may, after consultation with ASET Council, revoke the appointment of a member of ASET Council made under subsection (2)(a).

(6) The Minister may pay to a member of ASET Council appointed under subsection (2)(a) travelling and living expenses incurred by that member for the member's attendance at any meeting of ASET Council while away from the member's usual place of residence and fees in an amount prescribed by the Minister.

(7) The powers, duties and operations of ASET Council under this Act, ASET regulations and ASET bylaws are not affected by

- (a) the fact that no member of the public is appointed as a member of ASET Council pursuant to subsection (2)(a),
- (b) the revocation under subsection (5) of the appointment of a member of the public, or
- (c) the resignation from ASET Council of a member of the public.

(8) The failure of a member of the public appointed under subsection (2)(a) to attend a meeting of ASET Council shall not be construed to affect or restrict ASET Council from exercising any powers or performing any duties under this Act, ASET regulations or ASET bylaws at that meeting.

2007 c13 s14

ASET regulations

87.3(1) ASET Council may make regulations

- (a) respecting the establishment of categories of, and conditions respecting the enrolment of, regulated members, regulated members in training, examination candidates and students;
- (b) respecting the academic qualifications of and experience requirements for applicants for registration as regulated members;
- (c) governing the evaluation by ASET Council, the ASET Board of Examiners, the ASET Practice Review Board or the ASET Appeal Board, or a committee established by any of them, of the academic qualifications of and experience requirements for applicants for registration to engage in practice as regulated members and the examination of those applicants with respect to those qualifications or requirements;
- (d) respecting generally the eligibility of applicants for registration to engage in practice as regulated members;
- (e) respecting the powers, duties and functions of the ASET Practice Review Board, including but not limited to the referral of matters by that Board to ASET Council or the ASET Investigative Committee and appeals from decisions of that Board;

- (f) respecting the appointment of members of the ASET Appeal Board;
 - (g) prescribing the number of members that constitutes a quorum of ASET Council, the ASET Investigative Committee, the ASET Appeal Board, the ASET Practice Review Board, the ASET Board of Examiners or the ASET Discipline Committee;
 - (h) governing the establishment of boards or committees and respecting the delegation of powers of ASET Council to those boards or committees or to the ASET Practice Review Board;
 - (i) prescribing technical standards of practice for regulated members;
 - (j) establishing and providing for the publication of a code of ethics respecting the practice of regulated members and the protection of the public interest;
 - (k) governing the names under which regulated members may engage in practice;
 - (l) governing, subject to this Act, the operation and proceedings of the ASET Appeal Board, the ASET Board of Examiners and the ASET Practice Review Board, the designation of chair and vice-chair, the appointment of acting members and the procedures for filling vacancies in the offices of chair and vice-chair and in the membership of any of those boards, and the appointment to any of those boards of members by virtue of their office, and prescribing their powers, duties and functions;
 - (m) respecting the procedures of the ASET Discipline Committee, of the ASET Practice Review Board, of the ASET Investigative Committee and of the ASET Appeal Board in matters relating to the conduct or practice of regulated members, whether or not a complaint has been made;
 - (n) respecting the establishment by ASET Council of a compulsory continuing education program for regulated members;
 - (o) governing the publication of a notice of the suspension or cancellation of the registration of a regulated member in a form and manner prescribed by ASET Council;
 - (p) respecting committees of inquiry for reinstatement;
 - (q) respecting the titles that may be used by regulated members and the circumstances and conditions under which the titles may be used;
 - (r) establishing classes or categories of regulated members and prescribing the restrictions of practice and the privileges and obligations of the classes or categories so established;
 - (s) respecting the academic and other qualifications and the experience required of the classes or categories established in addition to regulated members;
 - (t) governing the eligibility of persons, firms, partnerships and other entities for registration as ASET permit holders or certificate holders under this Part;
 - (u) governing the operation of ASET permit holders or certificate holders under this Part;
 - (v) governing the publication of information with respect to ASET and ASET members;
 - (w) respecting registration, licensing, the issuing of permits and certificates, disciplinary matters and the practice of regulated members generally;
 - (x) respecting the service on any person of a document or notice required to be served under this Act;
 - (y) defining “regulated member” for the purposes of all or any provision of this Act, ASET regulations and ASET bylaws.
- (2) A regulation under subsection (1) may be made only by ASET Council.
- (3) A regulation must be approved in principle by a majority of the ASET members

- (a) present and voting at a special meeting called for that purpose,
 - (b) voting by a mail vote or a vote authorized by bylaw that is conducted by electronic, telecommunication or other appropriate means, or
 - (c) at the annual general meeting following ASET Council's adoption of the regulation.
- (4) ASET Council may change the text of a regulation that has been approved in principle under subsection (3) if the change
- (a) is consistent with the approval in principle, and
 - (b) is made before the regulation is submitted to the Lieutenant Governor in Council for approval.
- (5) A regulation under this section does not come into force unless it has been approved by the Lieutenant Governor in Council.

2007 c13 s14

ASET bylaws**87.4(1)** ASET Council may make bylaws

- (a) for the government of ASET and the management and conduct of its affairs;
- (b) determining the location of the head office of ASET;
- (c) respecting the calling of and conduct of meetings of ASET members and ASET Council;
- (d) respecting the nomination, election, number and term of office of ASET Council members and officers of ASET and the appointment of individuals as members of ASET Council by virtue of their office, the ASET Discipline Committee, the ASET Practice Review Board, the ASET Appeal Board, the ASET Board of Examiners and any other committee established by ASET Council and prescribing their powers, duties and functions;
- (e) prescribing those areas of practice from which members of the ASET Board of Examiners shall be appointed by ASET Council;
- (f) respecting the appointment, functions, duties and powers of an Executive Director of ASET;
- (g) respecting the establishment of districts and branches of ASET and their operation;
- (h) providing for the division of Alberta into electoral districts and prescribing the number of ASET Council members to be elected from each district;
- (i) providing for the appointment of a Deputy Registrar of ASET who has all of the powers and may perform all of the duties of the ASET Registrar under this Act, ASET regulations and ASET bylaws when the ASET Registrar is absent or unable to act or when there is a vacancy in the office of the ASET Registrar;
- (j) establishing classes or categories of membership in ASET in addition to regulated members and professional technologists and prescribing the rights, privileges and obligations of the classes or categories of membership so established;
- (k) providing for the appointment of acting members of ASET Council and procedures for an election or appointment to fill vacancies on ASET Council;
- (l) prescribing the quorum at meetings of ASET members;
- (m) governing the establishment, operation and proceedings of committees, the appointment of members of committees, the appointment of acting members and procedures for filling vacancies on committees and the delegation of any powers or duties of ASET Council under this Act, ASET regulations or ASET bylaws to a committee established by ASET Council or under this Act;
- (n) prescribing fees and expenses payable to members of ASET for attending to the business of ASET;

- (o) respecting the establishment and payment of sums of money for scholarships, fellowships and any other educational incentive or benefit program that ASET Council considers appropriate;
 - (p) respecting the issuing of permit numbers to ASET permit holders;
 - (q) respecting the fixing of fees, dues and levies payable to ASET;
 - (r) respecting the costs payable by any person on the conclusion of a hearing or review;
 - (s) respecting the establishment, contents and maintenance of registers of members and of records of other classes or categories of membership to be kept by the ASET Registrar;
 - (t) respecting the removal from the registers and records of any memorandum or entry made in them;
 - (u) requiring members to inform the ASET Registrar in writing of their current mailing addresses and of any change of address forthwith after the change occurs;
 - (v) prescribing the form of a certificate of registration, a permit, a certificate of authorization and an annual certificate;
 - (w) defining "ASET members" for the purposes of this Act and ASET regulations and ASET bylaws;
 - (x) respecting the expiry of annual certificates, annual licences, permits and other authorizations.
- (2) ASET Council may make bylaws respecting the holding of mail votes and votes conducted by electronic, telecommunication or other appropriate means on any matter relating to ASET, but a bylaw under this subsection does not come into force unless it is approved by a majority of ASET members present and voting at a general meeting.
- (3) A bylaw under subsection (1) does not come into force unless it is approved by a majority of ASET members
- (a) present and voting at a general meeting, or
 - (b) voting by a mail vote or vote conducted by electronic, telecommunication or other appropriate means conducted in accordance with ASET bylaws.
- (4) The *Regulations Act* does not apply to ASET bylaws made under this section.

2007 c13 s14

Division 2 Joint Boards and Committees

Establishment of joint boards and committees

87.5(1) The following joint boards and committees are established:

- (a) Joint Practice Review Board;
 - (b) Joint Appeal Board;
 - (c) Joint Professional Technologists Regulations Committee;
 - (d) Joint Discipline Committee;
 - (e) Joint Board of Examiners;
 - (f) Joint Investigative Committee.
- (2) Each joint board and committee is, subject to this Act, to be composed of
- (a) an equal number of appointees of the Council and ASET Council, and
 - (b) one member of the public appointed by the Minister, from one or more nominees of the Joint Councils Committee, for a term determined by the Minister.

(3) Every member of a joint board or committee must act solely in the interest of the public and for the safety of the public.

2007 c13 s14

Public appointees to joint boards and committees

87.6(1) The Minister may pay to a member of the public appointed to a joint board or committee by the Minister travelling and living expenses incurred by that member for the member's attendance at a meeting or hearing of the joint board or committee while away from the member's usual place of residence and fees in an amount prescribed by the Minister.

(2) The Minister may, after consultation with the Joint Councils Committee, revoke the appointment of a member of the public appointed to a joint board or committee.

(3) The powers, duties and operations of a joint board or committee under this Act, the regulations and the bylaws are not affected by

- (a) the fact that no member of the public is appointed as a member of the joint board or committee,
- (b) the revocation of the appointment of a member of the public, or
- (c) the resignation as a member of the joint board or committee of a member of the public.

(4) The failure of a member of the public appointed under this section to attend a meeting of a joint board or committee shall not be construed to affect or restrict the joint board or committee from exercising any powers or performing any duties under this Act or the professional technologist regulations at that meeting.

2007 c13 s14

Governance of joint boards and committees

88(1) After consultation with the Council and ASET Council, the Minister shall, by order, prescribe, subject to any provision to the contrary in this Act, with respect to each joint board and committee established under section 87.5,

- (a) the number of members, and
- (b) the governance and operation of the committee or board, including
 - (i) the method of appointment and term of the chair,
 - (ii) the quorum, and
 - (iii) procedural matters or delegating to the board or committee the authority to make decisions about procedural matters.

(2) Section 20(7) and (8) of the *Interpretation Act* apply to the joint boards and committees.

(3) The *Regulations Act* does not apply to an order made under this section, but the order must be published in Part I of The Alberta Gazette.

2007 c13 s14

Powers of the Joint Practice Review Board

88.1(1) The Joint Practice Review Board

- (a) shall, on its own initiative or at the request of either the Council or ASET Council, inquire into
 - (i) the assessment of existing and the development of new educational standards and experience requirements that are to be conditions precedent to obtaining and continuing registration under this Act as a professional technologist,
 - (ii) the evaluation of desirable standards of competence of professional technologists generally,

- (iii) the practice of professional technologists generally, and
 - (iv) any other matter that the Council or ASET Council from time to time considers necessary or appropriate in connection with the exercise of its powers and the performance of its duties in relation to competence in the practice of professional technologists under this Act and the professional technologist regulations,
- and
- (b) may conduct a review of the practice of a professional technologist in accordance with this Act and the professional technologist regulations.
- (2) The Joint Practice Review Board shall report to and advise the Council and ASET Council with respect to any matter dealt with by it pursuant to subsection (1).
- (3) A person requested to appear at an inquiry under this section by the Joint Practice Review Board is entitled to be represented by counsel.
- (4) The Joint Practice Review Board may, after a review under this section with respect to a professional technologist, make any order that the Joint Discipline Committee may make under section 63 or 64.
- (5) The provisions of Part 5 with respect to an investigation by the Joint Investigative Committee apply to a review of an individual professional technologist by the Joint Practice Review Board.
- (6) The Joint Practice Review Board may at any time during an inquiry or review under this section recommend to the Joint Investigative Committee that the inquiry or review be conducted by the Joint Investigative Committee pursuant to Part 5.
- (7) On receiving a recommendation under subsection (6), the Joint Investigative Committee may proceed with an investigation under Part 5 as if the recommendation were a written complaint.
- (8) After each inquiry under this section, the Joint Practice Review Board shall make a written report to the Council and ASET Council on the inquiry and may make any recommendations to the Council or ASET Council, or both, that the Joint Board considers appropriate in connection with the matter inquired into, with reasons for the recommendations.
- (9) The Joint Practice Review Board, if it considers it to be in the public interest to do so, may direct that the whole or any portion of an inquiry by the Joint Practice Review Board under this section shall be held in camera.

2007 c13 s14

Joint Practice Review Board appointees

88.2 The individuals appointed to the Joint Practice Review Board by the Council and ASET Council must each have a combination of knowledge and experience suitable for determining the academic qualifications and experience necessary for a person to continue to engage in practice as a professional technologist.

2007 c13 s14

Appeal to Joint Appeal Board

88.3 A professional technologist who is the subject of a hearing or a review by the Joint Practice Review Board may appeal any decision or order of the Joint Practice Review Board to the Joint Appeal Board as if it were a decision or order of the Joint Discipline Committee under Part 5.

2007 c13 s14

Joint Appeal Board

88.4 The Joint Appeal Board has all the powers, duties and functions with respect to a professional technologist that the Appeal Board has with respect to professional members.

2007 c13 s14

JPT Regulations Committee authority

88.5(1) The JPT Regulations Committee may make regulations

- (a) respecting the eligibility of applicants for registration as professional technologists;
 - (b) respecting the academic and other qualifications and the experience required of an applicant for registration as a professional technologist, and the evaluation of applicants, and their qualifications and experience, by the Joint Board of Examiners;
 - (c) respecting the examination of applicants for registration as professional technologists;
 - (d) respecting the establishment and administration of a register of professional technologists and the functions, duties and responsibilities of the ASET Registrar, including the removal of entries from the register;
 - (e) respecting the stamps or seals issued to professional technologists, the use of stamps and seals, and the circumstances under which a stamp or seal is to be surrendered to the ASET Registrar;
 - (f) governing the names under which professional technologists may engage in practice;
 - (g) establishing a continuing education program for professional technologists;
 - (h) establishing and providing for the publication of a code of ethics for professional technologists respecting the practice of the profession, the maintenance of the dignity and honour of the profession and the protection of the public interest;
 - (i) respecting notices of suspension or cancellation, and reinstatement committees;
 - (j) respecting technical standards of practice for professional technologists;
 - (k) respecting eligibility of professional technologists for registration as ASET permit holders;
 - (l) respecting registration, and the issue and use of permits, permit numbers and certificates for professional technologists and respecting the discipline, practice review and the practice of professional technologists generally;
 - (m) respecting service of documents;
 - (n) requiring professional technologists to notify the ASET Registrar of name and address changes, and requiring them to keep contact information up to date;
 - (o) prescribing forms for use under this Act and regulations made under this section, or delegating that function;
 - (p) respecting the expiry of annual certificates, permits and other authorizations issued to professional technologists.
- (2) A regulation under subsection (1) does not come into force unless
- (a) the regulation is approved by the Council and by ASET Council, and
 - (b) the regulation is approved by the Lieutenant Governor in Council.

2007 c13 s14

Resolving disputes

88.6(1) If after 2 meetings at which a matter has been discussed the JPT Regulations Committee has not resolved the matter, the Committee may refer the matter to the Joint Councils Committee in accordance with rules established by agreement of the Council and ASET Council.

- (2) On receipt of a reference, the Joint Councils Committee may do either or both of the following:
- (a) refer the matter, or any aspect of it, back to the JPT Regulations Committee with suggestions or directions about how to resolve it;
 - (b) resolve the matter, or any aspect of it.

- (3) If the Joint Councils Committee fully resolves the matter, the JPT Regulations Committee shall, if required, implement the resolution of the Joint Councils Committee.
- (4) If the Joint Councils Committee does not fully resolve the matter and does not refer the matter back to the JPT Regulations Committee under subsection (2), the Joint Councils Committee may, or if 120 days has passed from the date of receipt of the reference from the JPT Regulations Committee, the Joint Councils Committee shall, refer the matter, or any aspect of it that remains unresolved, to arbitration under the *Arbitration Act*.
- (5) The Joint Councils Committee may extend the 120-day period if it considers an extension warranted.
- (6) The *Arbitration Act* applies to an arbitration under this section with the following change, that the arbitration shall be heard and decided by an arbitration tribunal composed of 3 persons, appointed as follows:
- (a) one person shall be appointed by the Council;
 - (b) one person shall be appointed by ASET Council;
 - (c) one person shall be appointed as chair of the arbitration tribunal by the Minister.
- (7) In addition to the authority of the arbitration tribunal under the *Arbitration Act*, the arbitration tribunal may, as the circumstances require, do either or both of the following:
- (a) make a decision binding on the Council, ASET Council and the JPT Regulations Committee;
 - (b) make a new regulation or amend a regulation respecting professional technologists, and for that purpose the arbitration tribunal has the same authority to make or amend regulations as the JPT Regulations Committee has under section 88.5.
- (8) A regulation or amendment made by the arbitration tribunal under subsection (7) does not come into force unless it is approved by the Lieutenant Governor in Council.

2007 c13 s14

Joint Board of Examiners

89 The Joint Board of Examiners has all the powers, duties and functions with respect to professional technologists that the Board of Examiners has with respect to professional members.

2007 c13 s14

Joint Discipline Committee

89.1 The Joint Discipline Committee has all the powers, duties and functions with respect to professional technologists that the Discipline Committee has with respect to professional members.

2007 c13 s14

Joint Investigative Committee

89.2 The Joint Investigative Committee has all the powers, duties and functions with respect to professional technologists that the Investigative Committee has with respect to professional members.

2007 c13 s14

Division 3 Professional Technologists

Scope of practice

89.3 No professional technologist shall engage in the practice of engineering, geology or geophysics except within the scope of practice specified by the Joint Board of Examiners.

2007 c13 s14

Use of title

89.4(1) No individual, corporation, partnership or other entity, except a professional technologist, shall use

- (a) the title “professional technologist”, the abbreviation “P. Tech” or any other abbreviation of that title,
 - (b) the title “professional technologist (engineering)”, the abbreviation “P. Tech (eng)” or any other abbreviation of that title,
 - (c) the title “professional technologist (geological)”, the abbreviation “P. Tech (geol)” or any other abbreviation of that title, or
 - (d) the title “professional technologist (geophysical)”, the abbreviation “P. Tech (geoph)” or any other abbreviation of that title.
- (2) No individual, corporation, partnership or other entity, except a professional technologist, shall represent or hold out, expressly or by implication, that the person is a professional technologist.

2007 c13 s14

Stamp or seal

89.5(1) No individual, corporation, partnership or other entity, except a professional technologist (engineering), shall affix the stamp or seal of a professional technologist (engineering) or allow that stamp or seal to be affixed to a plan, drawing, detail drawing, specification or other document or a reproduction of any of them unless

- (a) that plan, drawing, detail drawing, specification, other document or reproduction was prepared by or under the supervision and control of, and
- (b) the stamp or seal is affixed with the knowledge and consent or in accordance with the direction of

the professional technologist (engineering) to whom the stamp or seal was issued.

(2) Notwithstanding subsection (1), a professional technologist (engineering) may affix a stamp or seal to a plan, drawing, detail drawing, specification, other document or reproduction prepared by other persons if the professional technologist (engineering) completes a thorough review of and accepts professional responsibility for that plan, drawing, detail drawing, specification, other document or reproduction.

(3) No individual, corporation, partnership or other entity, except a professional technologist (geological) or professional technologist (geophysical) entitled to engage in the practice of geology or geophysics, as the case may be, shall affix the stamp or seal of a professional technologist (geological) or professional technologist (geophysical) or allow that stamp or seal to be affixed to a map, geological cross-section, specification, report or other document or a reproduction of any of them unless

- (a) that map, geological cross-section, specification, report, other document or reproduction was prepared by or under the supervision and control of, and
- (b) the stamp or seal is affixed with the knowledge and consent or in accordance with the direction of

the professional technologist (geological) or professional technologist (geophysical) to whom the stamp or seal was issued.

(4) Notwithstanding subsection (3), a professional technologist (geological) or professional technologist (geophysical) may affix a stamp or seal to a map, geological cross-section, specification, report, other document or reproduction prepared by other persons if the professional technologist (geological) or professional technologist (geophysical) completes a thorough review of and accepts professional responsibility for that map, geological cross-section, specification, report, other document or reproduction.

2007 c13 s14

Non-application of provisions to professional technologists

89.6 Sections 2(1), 3(1)(b)(i), 5(1), 6(1)(b)(i), 7(1) and 8(1)(b)(i) do not apply to a professional technologist engaged in the practice of engineering, geology or geophysics within the scope of practice specified by the Joint Board of Examiners.

2007 c13 s14

Register for professional technologists

90(1) The ASET Registrar shall maintain, in accordance with the professional technologist regulations, a register for professional technologists.

(2) The ASET Registrar shall enter in the register the name of a person who has paid the fee prescribed by ASET bylaws and whose registration to engage in the practice of engineering, geology or geophysics as a professional technologist has been approved by the Joint Board of Examiners.

2007 c13 s14

Registration as professional technologist and scope of practice

90.1(1) The Joint Board of Examiners shall approve for registration as a professional technologist an individual who is eligible in accordance with this Act and the professional technologist regulations to engage in the practice of engineering, geology or geophysics within the scope of practice specified by the Joint Board of Examiners.

(2) When the Joint Board of Examiners specifies the scope of practice for a professional technologist, it must specify a scope of practice that is the routine application of industry recognized codes, standards, procedures and practices using established engineering or applied science principles and methods of problem solving.

(3) For the purposes of subsection (2), the Joint Board of Examiners may

- (a) define or describe “routine application”;
- (b) define or describe “industry recognized”;
- (c) specify or describe the codes, standards, procedures and practices applicable;
- (d) define or describe all or any of the following terms: “engineering or applied science principles” and “methods of problem solving”.

2007 c13 s14

Evidence of registration

90.2 On entering the name of a professional technologist in the register, the ASET Registrar shall issue to that individual

- (a) a certificate of registration to engage in the practice of engineering, geology or geophysics, as the case requires, within the scope of practice specified by the Joint Board of Examiners, and
- (b) a stamp or seal engraved as prescribed in the professional technologist regulations.

2007 c13 s14

Joint Board of Examiners to consider applications

90.3(1) The Joint Board of Examiners shall consider applications for the registration of applicants as professional technologists in accordance with this Act and the professional technologist regulations and may

- (a) approve the registration,
- (b) refuse the registration, or
- (c) defer the approval of registration until it is satisfied that the applicant has complied with a requirement made under this section.

(2) The Joint Board of Examiners may, in its discretion, require an applicant for registration

- (a) to pass one or more examinations set by the Joint Board of Examiners,
- (b) to obtain more experience of a kind satisfactory to the Joint Board of Examiners for a period set by the Joint Board of Examiners, or

- (c) to pass one or more examinations and obtain more experience

before it approves the registration.

2007 c13 s14

Registration of ASET permit holders

90.4(1) ASET Council shall approve the registration as an ASET permit holder of a partnership or other association of persons, or of a corporation incorporated or registered under the *Companies Act* or continued, incorporated or registered under the *Business Corporations Act*, that has applied to ASET Council and is eligible under this section and the professional technologist regulations to become registered to engage in the practice of engineering, geology or geophysics as an ASET permit holder within the scope of practice of those professional technologists who are responsible for the practice of the ASET permit holder.

(2) A partnership or other association of persons or a corporation that applies to ASET Council is eligible to become registered as an ASET permit holder under subsection (1) if it satisfies ASET Council that it complies with this Act and the professional technologist regulations.

2007 c13 s14

Annual certificate

90.5(1) A professional technologist or ASET permit holder engaged in the practice of engineering, geology or geophysics shall pay to ASET the annual fee prescribed under ASET bylaws.

(2) The ASET Registrar shall issue an annual certificate in accordance with ASET bylaws to a professional technologist

- (a) whose registration is not under suspension, and
- (b) who has paid the annual fee.

(3) Subject to this Act, an annual certificate entitles the professional technologist or ASET permit holder to engage in the practice of engineering, geology or geophysics, as the case may be, during the year for which the annual certificate is issued within the scope of practice of the professional technologist or ASET permit holder.

2007 c13 s14

Entries in registers

90.6(1) The registration of a professional technologist or ASET permit holder is suspended when the decision to suspend the registration is made in accordance with this Act.

(2) The ASET Registrar shall enter a memorandum of suspension of a registration in the appropriate register indicating

- (a) the duration of the suspension, and
- (b) the reason for the suspension.

(3) The registration of a professional technologist or ASET permit holder is cancelled when the decision to cancel the registration is made in accordance with this Act.

(4) The ASET Registrar shall enter a memorandum of cancellation of a registration in the appropriate register.

(5) The ASET Registrar shall not remove from the register any memorandum made by the ASET Registrar under this section except in accordance with the professional technologist regulations.

(6) The ASET Registrar shall provide the Registrar with access to the registers established for professional technologists.

2007 c13 s14

List of registrants open to the public

91 The ASET Registrar shall maintain and, during regular office hours, permit any person to inspect a list of all the professional technologists and ASET permit holders in good standing.

2007 c13 s14

Cancellation on request

91.1(1) The ASET Registrar shall not cancel the registration of a professional technologist or ASET permit holder at that person's request unless the request for cancellation has been approved by ASET Council.

(2) When a request for cancellation of a registration is approved by ASET Council,

- (a) the ASET Registrar shall cancel that registration, and
- (b) the professional technologist or ASET permit holder requesting the cancellation shall, on being notified of the approval,
 - (i) immediately surrender to the ASET Registrar
 - (A) the certificate of registration, annual certificate and stamp or seal, in the case of a professional technologist, or
 - (B) the permit and annual certificate, in the case of an ASET permit holder,
 - and
 - (ii) cease using the permit number, in the case of an ASET permit holder.

(3) ASET Council may direct the ASET Registrar to reinstate in the applicable register a registration that was cancelled under this section, subject to any conditions that ASET Council may prescribe, and to reissue the certificate of registration, annual certificate or permit and the stamp, seal or permit number.

(4) Notwithstanding subsection (3), if a person whose registration is cancelled applies to be reinstated more than 7 years after the date of the cancellation, the application for reinstatement must be referred to the Joint Board of Examiners.

2007 c13 s14

Review by the Joint Appeal Board

91.2(1) The Joint Board of Examiners shall send a written notice of any decision made by it under this Part to the applicant.

(2) If the decision made by the Joint Board of Examiners is to refuse or defer registration of the applicant, reasons for the decision shall be sent in writing to the applicant.

(3) If the decision made by the Joint Board of Examiners is to approve the registration, the ASET Registrar shall publish a notice of approval in accordance with ASET bylaws.

(4) An applicant whose application for registration has been refused by the Joint Board of Examiners may, within 30 days after receiving a notice of refusal and the reasons for refusal, appeal the decision to the Joint Appeal Board by serving a notice of appeal on the ASET Registrar.

(5) On receiving a notice of appeal, the ASET Registrar shall set a date, time and place for the hearing of the appeal and notify the appellant, in writing, of the date, time and place.

(6) The appellant may appear with counsel and make representations to the Joint Appeal Board.

(7) On concluding the hearing, the Joint Appeal Board may make any decision the Joint Board of Examiners was authorized to make.

2007 c13 s14

Cancellation

91.3(1) ASET Council may direct the ASET Registrar to cancel the registration of

- (a) a professional technologist or ASET permit holder who is in default of payment of annual fees or any other fees, dues or levies payable under this Act, or

- (b) an ASET permit holder if it no longer has employees in compliance with the professional technologist regulations,

after the expiration of 30 days following the service on the professional technologist or ASET permit holder of a written notice by ASET Council pursuant to subsection (2), unless the professional technologist or ASET permit holder on whom the notice is served complies with the notice.

- (2) The notice under subsection (1) shall state that the ASET Registrar may cancel the registration unless
 - (a) the fees, dues or levies are paid as indicated in that notice, or
 - (b) evidence satisfactory to ASET Council has been received by it within the time prescribed in the notice indicating that the ASET permit holder has employees in compliance with the professional technologist regulations.
- (3) ASET Council may direct the ASET Registrar to cancel the registration of a professional technologist or ASET permit holder that was entered in error in the register.
- (4) If the registration of a professional technologist has been cancelled under this section, the professional technologist shall forthwith surrender to the ASET Registrar any certificate of registration, annual certificate and stamp or seal issued to the professional technologist.
- (5) If the registration of an ASET permit holder has been cancelled under this section, the ASET permit holder shall immediately surrender the permit to the ASET Registrar and cease to use the permit number issued to that ASET permit holder.
- (6) If a registration has been cancelled pursuant to subsection (1), ASET Council may direct the ASET Registrar, subject to any conditions that ASET Council may prescribe, to reinstate that registration in the applicable register and to reissue the certificate of registration, annual certificate or permit and the stamp, seal or permit number.
- (7) Notwithstanding subsection (6), if a person whose registration is cancelled applies to be reinstated more than 7 years after the date of the cancellation, the application for reinstatement must be referred to the Joint Board of Examiners.

2007 c13 s14

Application of disciplinary provisions

91.4(1) Part 5, except sections 45 and 46, applies to professional technologists, subject to the following changes:

- (a) “professional member” means a professional technologist;
- (b) “practice of the profession” means the practice of a professional technologist;
- (c) “Registrar” means the ASET Registrar;
- (d) “the regulations” means the professional technologist regulations and ASET regulations;
- (e) “Investigative Committee” means the Joint Investigative Committee;
- (f) “Discipline Committee” means the Joint Discipline Committee;
- (g) “Appeal Board” means the Joint Appeal Board;
- (h) “bylaws” means the professional technologist regulations and ASET bylaws;
- (i) “Council” means the JPT Regulations Committee;
- (j) “investigation panel” means an investigation panel established by the Joint Investigative Committee under section 47;
- (k) “Association” means ASET;
- (l) “Practice Review Board” means the Joint Practice Review Board.

(2) If the registration of a professional technologist has been cancelled under Part 5, the registration shall not be reinstated unless the Joint Board of Examiners approves.

2007 c13 s14

Use of stamps, seals and permit numbers

91.5(1) A professional technologist shall, in accordance with the professional technologist regulations,

- (a) sign documents or records, and
- (b) stamp or seal documents or records.

(2) An ASET permit holder shall affix its permit number on documents or records in accordance with the professional technologist regulations.

2007 c13 s14

Exemption from municipal licence

91.6 No municipality has the power to require any professional technologist or ASET permit holder to obtain a licence from the municipality to engage in the practice of engineering, the practice of geology or the practice of geophysics.

2007 c13 s14

Liability to others

92(1) The relationship between an ASET permit holder engaged in the practice of engineering, geology or geophysics and a person receiving the professional services of the ASET permit holder is subject to this Act, the professional technologist regulations and any other law applicable to the relationship between a professional technologist and a client.

(2) The relationship of a professional technologist to an ASET permit holder, whether as member, shareholder or employee of the ASET permit holder, does not affect, modify or diminish the application of this Act or the professional technologist regulations

- (a) to the professional technologist personally as a professional technologist, or
- (b) to the relationship between the professional technologist and the professional technologist's client.

2007 c13 s14

Division 4 Other ASET Members

Registers

92.1(1) In addition to the ASET Registrar's other responsibilities, the ASET Registrar shall maintain in accordance with ASET bylaws and subject to the direction of ASET Council, a register for

- (a) certified engineering technologists;
- (b) any other class or category of membership in ASET.

(2) The ASET Registrar shall maintain a register for registered engineering technologists in accordance with Part 9 of the *General Regulation* (AR 150/99).

(3) The ASET Registrar shall enter in the appropriate register the name of a person who has paid the fee prescribed in ASET bylaws and who

- (a) in the case of a certified engineering technologist, has been approved by the ASET Board of Examiners, or
- (b) in the case of any other class or category of regulated member, has been approved by ASET Council.

(4) The ASET Registrar shall maintain, in the case of regulated members, a membership record in accordance with ASET bylaws.

2007 c13 s14

Registration of regulated members

92.2(1) The ASET Board of Examiners shall approve for registration as a regulated member an individual who has applied to the ASET Board of Examiners and is eligible under this Act and ASET regulations to become a regulated member.

(2) Sections 2(1), 3(1)(b)(i), 5(1), 6(1)(b)(i), 7(1) and 8(1)(b)(i) do not apply to a regulated member engaged in the practice of engineering, geology or geophysics under the supervision of a professional member, a professional licensee or a professional technologist.

2007 c13 s14

Evidence of registration

92.3 On entering the name of a regulated member in the register, the ASET Registrar shall issue to the regulated member a certificate of registration.

2007 c13 s14

Annual certificate

92.4(1) A regulated member shall pay to ASET the annual fee prescribed under ASET bylaws.

(2) The ASET Registrar shall issue an annual certificate in accordance with ASET bylaws to a regulated member

- (a) whose registration is not under suspension, and
- (b) who has paid the annual fee.

(3) Subject to this Act, an annual certificate entitles the regulated member to use the title granted to the regulated member during the year for which the annual certificate is issued.

2007 c13 s14

Entries in registers

92.5(1) The registration of a regulated member is suspended when the decision to suspend the registration is made in accordance with this Act.

(2) The ASET Registrar shall enter a memorandum of suspension of a registration in the appropriate register indicating

- (a) the duration of the suspension, and
- (b) the reason for the suspension.

(3) The registration of a regulated member is cancelled when the decision to cancel the registration is made in accordance with this Act.

(4) The ASET Registrar shall enter a memorandum of cancellation of a registration in the appropriate register.

(5) The ASET Registrar shall not remove from the registers any memorandum made under this section, except in accordance with ASET bylaws.

2007 c13 s14

List of registrants open to the public

92.6 The ASET Registrar shall maintain and, during regular office hours, permit any person to inspect a list of all the regulated members in good standing.

2007 c13 s14

Cancellation on request

93(1) The ASET Registrar shall not cancel the registration of a regulated member at that person's request unless the request for cancellation has been approved by ASET Council.

(2) When a request for cancellation of a registration is approved by ASET Council,

- (a) the ASET Registrar shall cancel that registration, and
 - (b) the regulated member requesting the cancellation shall, on being notified of the approval, immediately surrender to the ASET Registrar
 - (i) the certificate of registration, and
 - (ii) the annual certificate.
- (3) ASET Council may direct the ASET Registrar to reinstate in the applicable register a registration that was cancelled under this section, subject to any conditions that ASET Council may prescribe, and to reissue the certificate of registration and annual certificate.
- (4) Notwithstanding subsection (3), if a person whose registration is cancelled applies to be reinstated more than 7 years after the date of the cancellation, the application for reinstatement must be referred to the ASET Board of Examiners.

2007 c13 s14

ASET Board of Examiners

93.1(1) ASET Council shall establish a board of examiners in accordance with ASET regulations to be known as the ASET Board of Examiners.

(2) The ASET Board of Examiners shall consider applications for the registration of applicants as regulated members in accordance with this Part, ASET regulations and ASET bylaws and may

- (a) approve the registration,
 - (b) refuse the registration, or
 - (c) defer the approval of registration until it is satisfied that the applicant has complied with a requirement made under this section.
- (3) The ASET Board of Examiners may, in its discretion, require an applicant for registration
- (a) to pass one or more examinations set by the ASET Board of Examiners,
 - (b) to obtain more experience of a kind satisfactory to the ASET Board of Examiners for a period set by the ASET Board of Examiners, or
 - (c) to pass one or more examinations and obtain more experience

before it approves the registration.

2007 c13 s14

Approval by the ASET Board of Examiners

93.2 The ASET Board of Examiners shall approve the registration as a regulated member of a person who proves to the satisfaction of the ASET Board of Examiners that

- (a) the person is of good character and reputation, and
- (b) the person meets the requirements of ASET regulations.

2007 c13 s14

Review by the ASET Appeal Board

93.3(1) The ASET Board of Examiners shall send a written notice of any decision made by it under this Division to the applicant.

(2) If the decision made by the ASET Board of Examiners is to refuse or defer registration of the applicant, reasons for the decision shall be sent in writing to the applicant.

- (3) If the decision made by the ASET Board of Examiners is to approve the registration, the ASET Registrar shall publish a notice of approval in accordance with ASET bylaws.
- (4) An applicant whose application for registration has been refused by the ASET Board of Examiners may, within 30 days after receiving a notice of refusal and the reasons for refusal, appeal the decision to the ASET Appeal Board by serving a notice of appeal on the ASET Registrar.
- (5) On receiving a notice of appeal, the ASET Registrar shall set a date, time and place for the hearing of the appeal and notify the appellant, in writing, of the date, time and place.
- (6) The appellant may appear with counsel and make representations to the ASET Appeal Board.
- (7) On concluding the hearing, the ASET Appeal Board may make any decision the ASET Board of Examiners was authorized to make.

2007 c13 s14

ASET Practice Review Board

93.4 There is hereby established a board called the ASET Practice Review Board consisting of not fewer than 4 members who have a combination of knowledge and experience suitable for determining the academic qualifications and experience necessary for a person to continue to engage in practice as a regulated member.

2007 c13 s14

Powers of the ASET Practice Review Board

93.5(1) The ASET Practice Review Board

- (a) shall, on its own initiative or at the request of ASET Council, inquire into
 - (i) the assessment of existing and the development of new educational standards and experience requirements that are to be conditions precedent to obtaining and continuing registration as a regulated member under this Act,
 - (ii) the evaluation of desirable standards of competence of regulated members generally,
 - (iii) the practice of regulated members generally, and
 - (iv) any other matter that ASET Council from time to time considers necessary or appropriate in connection with the exercise of its powers and the performance of its duties in relation to competence in practice of regulated members under this Act and ASET regulations,and
 - (b) may conduct a review of the practice of a regulated member in accordance with this Act and ASET regulations.
- (2) The ASET Practice Review Board shall report to and advise ASET Council with respect to any matter dealt with by it pursuant to subsection (1).
 - (3) A person requested to appear at an inquiry under this section by the ASET Practice Review Board is entitled to be represented by counsel.
 - (4) The ASET Practice Review Board may, after a review under this section with respect to a regulated member, make any order that the ASET Discipline Committee may make under section 63 or 64.
 - (5) The provisions of Part 5 with respect to an investigation by the ASET Investigative Committee apply to a review of a regulated member by the ASET Practice Review Board.
 - (6) The ASET Practice Review Board may at any time during an inquiry or review under this section recommend to the ASET Investigative Committee that the inquiry or review be conducted by the ASET Investigative Committee pursuant to Part 5.

(7) On receiving a recommendation under subsection (6), the ASET Investigative Committee may proceed with an investigation under Part 5 as if the recommendation were a written complaint.

(8) After each inquiry under this section, the ASET Practice Review Board shall make a written report to ASET Council on the inquiry and may make any recommendations to ASET Council that the ASET Practice Review Board considers appropriate in connection with the matter inquired into, with reasons for the recommendations.

(9) ASET Council may, if it considers it to be in the public interest to do so, direct that the whole or any portion of an inquiry by the ASET Practice Review Board under this section shall be held in camera.

2007 c13 s14

Appeal to ASET Appeal Board

94 A regulated member who is the subject of a hearing or a review by the ASET Practice Review Board may appeal any decision or order of the ASET Practice Review Board to the ASET Appeal Board as if it were a decision or order of the ASET Discipline Committee under Part 5.

2007 c13 s14

ASET Appeal Board

94.1(1) There is hereby established an ASET Appeal Board consisting of the regulated members appointed by ASET Council in accordance with ASET regulations.

(2) A member of the ASET Appeal Board appointed under subsection (1) continues to hold office after the expiry of the member's term of office until the member is reappointed or the member's successor is appointed.

2007 c13 s14

Division 5 Discipline of Regulated Members

ASET Discipline Committee

94.2(1) ASET Council shall establish a discipline committee, to be known as the ASET Discipline Committee, the members of which shall be appointed in accordance with ASET regulations.

(2) ASET Council shall make regulations governing, subject to this Part and Part 5, the operation and proceedings of the ASET Discipline Committee, the designation of a chair, the appointment of members, acting members and members by virtue of their office and the procedures for filling vacancies in the offices of the chair and the membership, and prescribing the powers, duties and functions of the chair and the members.

(3) ASET Council may make regulations respecting the hearing of a matter under Part 5 by a panel of the ASET Discipline Committee.

(4) A regulation made under subsection (2) or (3) does not come into force unless it has been approved by the Lieutenant Governor in Council.

2007 c13 s14

ASET Investigative Committee

94.3(1) ASET Council shall establish an investigative committee to be known as the ASET Investigative Committee, the members of which shall be appointed in accordance with ASET regulations.

(2) ASET Council shall make regulations governing, subject to this Part and Part 5, the operation and proceedings of the ASET Investigative Committee, the designation of a chair, the appointment of members, acting members and members by virtue of their office and the procedures for filling vacancies in the offices of the chair and the membership, and prescribing the powers, duties and functions of the chair and the members.

(3) A regulation made under subsection (2) does not come into force unless it has been approved by the Lieutenant Governor in Council.

2007 c13 s14

Application of Part 5 to regulated members of ASET

94.4 Part 5, except sections 45 and 46, applies to regulated members, subject to the following changes to Part 5:

- (a) “professional member” means a regulated member;
- (b) “practice of the profession” means the practice of a regulated member;
- (c) “Registrar” means the ASET Registrar;
- (d) “the regulations” means ASET regulations;
- (e) “Investigative Committee” means the ASET Investigative Committee;
- (f) “Discipline Committee” means the ASET Discipline Committee;
- (g) “Appeal Board” means the ASET Appeal Board;
- (h) “bylaws” means ASET bylaws;
- (i) “Council” means ASET Council;
- (j) “investigation panel” means an investigation panel established by the ASET Investigative Committee under section 47;
- (k) “Association” means ASET;
- (l) “Practice Review Board” means the ASET Practice Review Board;
- (m) “register” means the ASET register of regulated members.

2007 c13 s14

**Division 6
General****ASET Registrar’s certificate**

94.5(1) A certificate purporting to be signed by the ASET Registrar and stating that a named person was or was not, on a specified day or during a specified period,

- (a) a regulated member,
- (b) a professional technologist,
- (c) an officer of ASET or a member of ASET Council, or
- (d) a member of a joint board or committee that is established by this Act,

shall be admitted in evidence as proof, in the absence of evidence to the contrary, of the facts stated in it without proof of the ASET Registrar’s appointment or signature.

(2) A certificate purporting to be signed by the ASET Registrar and stating that a named corporation, partnership or other association of persons was or was not, on a specified day or during a specified period, an ASET permit holder shall be admitted in evidence as proof, in the absence of evidence to the contrary, of the facts stated in it without proof of the ASET Registrar’s appointment or signature.

2007 c13 s14

Protection from liability

95(1) No action lies against

- (a) any person conducting a preliminary investigation, the ASET Registrar, a member of the ASET Discipline Committee, the ASET Practice Review Board, the ASET Investigative Committee, the ASET Appeal Board, ASET Council, the ASET Board of Examiners or ASET or any person acting on the instructions of any of them,
- (b) any member, officer or employee of ASET, or
- (c) any person conducting a preliminary investigation, a member of the Joint Discipline Committee, the Joint Practice Review Board, the Joint Investigative Committee, the Joint Appeal Board, the JPT Regulations Committee or the Joint Board of Examiners or any person acting on the instructions of any of them

for anything done by that person or entity in good faith and in purporting to act under this Act, ASET regulations, ASET bylaws or the professional technologist regulations.

(2) No action for defamation may be founded on a communication that consists of or pertains to a complaint regarding the conduct of a regulated member or a professional technologist if the communication is published to or by

- (a) ASET,
- (b) a member of ASET Council, the ASET Discipline Committee, the ASET Practice Review Board, the ASET Investigative Committee, the ASET Appeal Board or the ASET Board of Examiners,
- (c) a person conducting a preliminary investigation,
- (d) an officer or employee of ASET,
- (e) a member of the JPT Regulations Committee, the Joint Discipline Committee, the Joint Practice Review Board, the Joint Investigative Committee, the Joint Appeal Board or the Joint Board of Examiners, or
- (f) a person acting on the instructions of any of the persons or entities referred to in clauses (a) to (e),

in good faith in the course of investigating the complaint or in the course of any proceedings under Part 5 relating to the complaint.

2007 c13 s14

Division 7 Prohibitions and Penalties

Practice prohibitions

95.1(1) A person whose registration as a professional technologist is cancelled or suspended under this Act shall not, without the consent of the Joint Board of Examiners, engage in the practice of engineering, geology or geophysics or directly or indirectly associate in the practice of engineering, geology or geophysics with any professional member, licensee, permit holder, certificate holder or professional technologist.

(2) No person, except a regulated member entitled to do so, shall

- (a) use the title “certified engineering technologist” or the abbreviation “C.E.T.” or other abbreviations of that title;
- (b) represent or hold out, expressly or by implication, that the person is entitled to use the title or abbreviation referred to in clause (a) as a certified engineering technologist;
- (c) use the title “registered engineering technologist” or the abbreviation “R.E.T.” or other abbreviations of that title.

(3) ASET may hold or continue to hold, and regulate the use of, the following trademarks:

- (a) certified engineering technologist and “C.E.T.”;
- (b) certified technician and “C. Tech”;
- (c) certified computer information technologist and “C.C.I.T.”;
- (d) applied science technologist and “A. Sc.T.”.

(4) ASET has no legal capacity to apply for, be granted, or to hold, a trademark for any name, title, designation, initials or abbreviation other than those specified in subsection (3) without the consent of the Lieutenant Governor in Council.

(5) No person may use the title “registered professional technologist”, “registered professional technologist (engineering)”, “registered professional technologist (geological)” or “registered professional technologist (geophysical)” or the abbreviation “R.P.T.”, “R.P.T. (eng)”, “R.P.T. (geo)”, “R.P.T. (geol)” or “R.P.T. (geoph)”.

2007 c13 s14

Injunction

96 The Court, on application by ASET Council by way of originating notice, may grant an injunction enjoining any person from

- (a) doing any act or thing that contravenes section 95.1(1), (2) or (5), or
- (b) using a title or abbreviation referred to in section 95.1(3) contrary to a use permitted by a trademark referred to in section 95.1(3),

notwithstanding any penalty that may be provided by this Act or under this Act in respect of that act, thing or use.

2007 c13 s14

Onus of proof

96.1 In a prosecution under this Act, the burden of proving that a person is a professional technologist or a regulated member is on the accused.

2007 c13 s14

Part 9 Prohibitions and Penalties

Practice prohibitions

97(1) A person whose registration as a professional engineer, professional geologist, professional geophysicist, licensee, permit holder or certificate holder is cancelled or suspended under this Act shall not, without the consent of the Council, engage in the practice of engineering, geology or geophysics, as the case may be, or directly or indirectly associate the person or itself in the practice of engineering, geology or geophysics with any other professional member, licensee, permit holder or certificate holder.

(2) No professional engineer, professional geologist, professional geophysicist, licensee, permit holder or certificate holder shall, except with the consent of the Council, associate in the practice of engineering, geology or geophysics, as the case may be, directly or indirectly with or employ in connection with that practice a person whose registration has been cancelled or suspended under this Act.

(3) The Council may permit a professional member, licensee, permit holder or certificate holder to employ in connection with the person’s practice a person whose registration has been cancelled or suspended under this Act, but the employment shall be in the capacity and subject to the terms and conditions that are prescribed by the Council.

1981 cE-11.1 s80

Penalties

98(1) Every person and every member, officer, employee or agent of a firm, partnership or other association of persons and of a corporation who contravenes Part 1, section 29(2)(b), section 39(4), section 84, 85 or 86, this Part or section 89.3, 89.4, 89.5 or 95.1 is guilty of an offence and liable

- (a) for the first offence, to a fine of not more than \$2000,
- (b) for the 2nd offence, to a fine of not more than \$4000, and
- (c) for the 3rd and each subsequent offence, to a fine of not more than \$6000 or to imprisonment for a term of not more than 6 months or to both a fine and imprisonment.

(2) Repealed 2007 c13 s15.

(3) A prosecution under this section may be commenced within 2 years after the commission of the alleged offence, but not afterwards.

RSA 2000 cE-11 s98;2007 c13 s15

Onus of proof

99 In a prosecution under this Act, the burden of proving that a person is a professional engineer, professional geologist, professional geophysicist, registered professional technologist (engineering), licensee, permit holder or certificate holder is on the accused.

1981 cE-11.1 s82;1999 c8 s5

Name change

100 If the name of the Alberta Society of Engineering Technologists is changed after April 29, 1999, the reference to the Alberta Society of Engineering Technologists is to be construed as a reference to the Alberta Society of Engineering Technologists by its new name.

1999 c8 s6

(NOTE: Section 16 of the Engineering, Geological and Geophysical Professions Amendment Act, 2007 (SA 2007 c13), proclaimed in force October 15, 2009, reads as follows:

16(1) When this subsection comes into force the person appointed as Registrar of ASET is to be considered for all purposes to have been appointed as ASET Registrar under section 87.1.

(2) Members of the Council of ASET elected or appointed before the coming into force of this subsection continue to hold office according to their terms unless they resign, die or are removed from office under this Act, ASET regulations or ASET bylaws.

(3) Subject to subsection (13), bylaws of ASET in effect when this subsection comes into force are repealed.

(4) When this subsection comes into force, a registered professional technologist (engineering) becomes a professional licensee (engineering).

(5) When this subsection comes into force, a registered professional technologist (geological) becomes a professional licensee (geological).

(6) When this subsection comes into force, a registered professional technologist (geophysical) becomes a professional licensee (geophysical).

(7) The Registrar shall make any necessary changes and take all necessary action to revise or create registers and records, and issue licences and other documentation to record and implement subsections (4), (5) and (6).

(8) For the purpose of making the General Regulation (AR 150/99) consistent with this Act and to implement the Memorandum of Understanding between APEGGA and ASET dated December 18, 2006, the Minister, with the approval of the Council, may amend the General Regulation (AR 150/99).

(9) The Registered Professional Technologist (Geological) and Registered Professional Technologist (Geophysical) Regulation (AR 36/2003) is repealed.

(10) No application by an individual for registration as a registered engineering technologist under section 66 of the General Regulation (AR 150/99) shall be made or accepted after the date this subsection comes into force.

(11) Subject to subsection (13), the Societies Act ceases to apply to ASET when this subsection comes into force.

(12) Every person who is a member of ASET when this subsection comes into force continues to be a member of ASET in the same class or category of membership.

(13) On the coming into force of this subsection, any complaint made or proceeding taken with respect to a complaint under the bylaws of ASET with respect to the conduct of a member of ASET that has not been concluded must be

concluded in accordance with the bylaws of ASET and the Societies Act, and for that purpose the bylaws of ASET and the Societies Act continue to apply.

(14) A complaint may be made under this Act in respect of conduct occurring before, on or after the date this subsection comes into force.

(15) A complaint with respect to a member of ASET made in respect of conduct occurring before this subsection comes into force must be dealt with under this Act and ASET regulations.

(16) An application for membership in ASET that was made before this subsection comes into force but that has not been concluded must be continued and concluded in accordance with this Act and ASET regulations.

(17) ASET Council may make regulations, subject to the approval of the Lieutenant Governor in Council,

- (a) respecting applications for and decisions about membership in ASET in process when subsection (16) comes into force;
- (b) respecting the transition from the bylaws of ASET and the Societies Act to ASET bylaws and ASET regulations under this Act;
- (c) to remedy any confusion, difficulty, inconsistency or impossibility resulting from the transition to this Act and ASET regulations and ASET bylaws from the bylaws of ASET and the Societies Act.

(18) In this section,

- (a) “bylaws of ASET” means the bylaws of ASET existing before the coming into force of subsection (3);
- (b) “ASET” and “ASET bylaws” have the same meaning as they have in Part 8;
- (c) “ASET regulations” means ASET regulations as defined in Part 8 and regulations made under subsection (17).)

THE ENGINEERING, GEOLOGICAL AND
GEOPHYSICAL PROFESSIONS ACT

REGULATIONS

GENERAL REGULATION

Consisting of Alberta Regulation
150/99 dated July 14, 1999,
37/2003 dated February 26, 2003,
72/2007 dated April 4, 2007,
281/2009 dated October 15, 2009,
and 346/2009 dated December 16, 2009

(Consolidated up to 346/2009)

**ALBERTA REGULATION 150/99
ENGINEERING, GEOLOGICAL AND GEOPHYSICAL PROFESSIONS ACT**

GENERAL REGULATIONS

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Definitions

1 In this Regulation,

- (a) “Act” means the *Engineering, Geological and Geophysical Professions Act*;
- (b) “confirmatory examination” means an examination required by the Board of Examiners for the purpose of assessing an engineering, geology or geophysics or related degree held by the applicant and not for a purpose related to the correction of perceived academic deficiencies;
- (c) “licensee” includes a provisional licensee except where this Regulation specifically provides to the contrary.

AR 150/99 s1;72/2007

Part 1 Membership in the Association

Division 1 General

Application

2(1) An application for registration must be submitted to the Registrar and must

- (a) be in a form acceptable to the Board of Examiners, and
- (b) be fully completed and accompanied by
 - (i) all required supporting documentation, and
 - (ii) any fees that are prescribed by the Council.

(2) The Registrar shall refer an application to the Board of Examiners if the application meets the requirements of subsection (1) and the Registrar is satisfied that the applicant meets the eligibility requirements for one of the categories of membership in the Association.

Annual fees

3 A person who is entered in a record or register under this Part shall pay any annual fees that are prescribed by the Council.

Non-payment

4 The Council may direct the Registrar to strike the name of a person from a record or register if the person fails to pay a prescribed fee.

Registers and records

5 The Registrar shall keep the following records and registers for the purposes of this Part:

- (a) a record of students;
- (b) a record of examination candidates;
- (c) a record of members-in-training.

Division 2 Students

Eligibility

6 A person who meets the following requirements and applies to the Registrar for registration is entitled to be enrolled as a student:

- (a) the applicant is of good character and reputation;
- (b) the applicant
 - (i) is enrolled in a full-time program at a university in Alberta that is recognized by the Board of Examiners as leading to a degree in engineering, geology or geophysics, or
 - (ii) has at least 2 years of post-secondary education acceptable to the Board of Examiners in areas that relate to the science or technology of engineering, geology or geophysics, together with
 - (A) one year of experience in work of an engineering, geological or geophysical nature acceptable to the Board of Examiners where the post-secondary education consists of an engineering, geological or geophysical technology program recognized by the Board of Examiners, or
 - (B) 3 years of such work experience, where the post-secondary education consists of education other than such a program.

Striking from record

7 The Council may direct the Registrar to strike the name of a student from the record of students

- (a) if the Council considers that the student has engaged in behaviour that constitutes unprofessional conduct,
- (b) if the student ceases to be enrolled in a program referred to in section 6(b)(i), or
- (c) if, in the opinion of the Board of Examiners, the student fails to make satisfactory progress toward registration as a professional member.

Division 3 Examination Candidates

Eligibility

8 A person who meets the following requirements and applies to the Registrar for registration is entitled to be admitted as an examination candidate:

- (a) the applicant is of good character and reputation;
- (b) the applicant is a graduate of
 - (i) a university program in engineering, geology or geophysics, or
 - (ii) a related academic program that is acceptable to the Board of Examiners,

but the Board of Examiners has required the applicant to complete one or more confirmatory examinations or examinations for the purpose of correcting a perceived academic deficiency.

Striking from record

9(1) The Council may direct the Registrar to strike the name of an examination candidate from the record of examination candidates

- (a) if the Council considers that the examination candidate has engaged in behaviour that constitutes unprofessional conduct, or
- (b) if, in the opinion of the Board of Examiners, the examination candidate fails to make satisfactory progress toward registration as a professional member.

(2) A person whose name is struck from the record is no longer eligible to proceed with the writing of examinations.

Division 4 Members-in-training

Eligibility

10(1) A person who meets the following requirements and applies to the Registrar for registration is entitled to be admitted as an engineer-in-training, geologist-in-training or geophysicist-in-training:

- (a) the applicant is of good character and reputation;
- (b) the applicant
 - (i) is a graduate of a university program in engineering, geology or geophysics or a related university program that is acceptable to the Board of Examiners, but does not have the work experience required for registration as a professional member, or
 - (ii) is registered as an examination candidate under section 8(b) and has completed the examinations referred to in that provision, but does not have the work experience required for registration as a professional member.

(2) Notwithstanding subsection (1), an applicant is entitled to be registered as a member-in-training if

- (a) the applicant is of good character and reputation, and
- (b) the applicant is registered and in good standing with a professional regulatory organization under an Act of another province or territory of Canada to perform an occupation that, in the opinion of the Board of Examiners, is substantially equivalent, in terms of scope of practice and competencies, to that of a member-in-training.

AR 150/99 s10;346/2009

Time limits

11(1) Subject to subsection (2), no person may remain in the record of members-in-training for more than 6 years.

(2) The Council may in particular cases extend the period referred to in subsection (1) to not more than 8 years.

Professionally qualified persons inadmissible

12 No person may be admitted as an engineer-in-training, geologist-in-training or geophysicist-in-training if at the time of application the person is qualified to be registered as a professional member or licensee.

Division 5 Professional Members

Eligibility

13(1) A person who meets the following requirements and applies to the Registrar for registration is entitled to be registered as a professional member:

- (a) the applicant is a Canadian citizen or is lawfully admitted to Canada for permanent residence;
- (b) the applicant is of good character and reputation;
- (c) the applicant has a knowledge of the Act and the regulations under the Act, and general knowledge related to the practice of engineering, geology or geophysics, which has been demonstrated by passing an examination that is prescribed by the Board of Examiners;
- (d) the applicant demonstrates to the Board of Examiners that the applicant has a proficiency in the English language that is sufficient to enable the applicant to responsibly practise the profession of engineering, geology or geophysics;
- (e) the applicant meets one of the following requirements:
 - (i) the applicant is admitted as a member-in-training and has obtained at least 4 years of experience in work of an engineering, geological or geophysical nature that is acceptable to the Board of Examiners;

- (ii) the applicant is enrolled as a student under section 6(b)(ii) and
 - (A) has completed all examinations prescribed by the Board of Examiners, and
 - (B) has obtained at least 8 years of experience in work of an engineering, geological or geophysical nature that is acceptable to the Board of Examiners, at least one year of which is obtained after completion of the examinations referred to in paragraph (A);
 - (iii) the applicant is admitted as an examination candidate and
 - (A) has completed the examinations referred to in section 8(b), and
 - (B) has obtained at least 4 years of experience in work of an engineering, geological or geophysical nature that is acceptable to the Board of Examiners;
 - (iv) the applicant is not in a register or record referred to in subclause (i), (ii) or (iii), but has the combined academic qualifications and experience acceptable to the Board of Examiners that would be required for registration as a professional member had the applicant progressed through one of those registers or records.
- (2) Notwithstanding subsection (1), an applicant is entitled to be registered as a professional member if
- (a) the applicant is of good character and reputation, and
 - (b) the applicant is registered and in good standing with a professional regulatory organization under an Act of another province or territory of Canada to perform an occupation that, in the opinion of the Board of Examiners, is substantially equivalent, in terms of scope of practice and competencies, to that of a professional member.

AR 150/99 s13;37/2003;346/2009

Division 6 Licensees

Registration as licensee

14(1) A person who meets all of the requirements of section 13 for registration as a professional member, except the requirement set out in section 13(1)(a), is entitled to be registered as a licensee.

(2) Notwithstanding subsection (1), an applicant is entitled to be registered as a licensee if

- (a) the applicant is of good character and reputation, and
- (b) the applicant is registered and in good standing with a professional regulatory organization under an Act of another province or territory of Canada to perform an occupation that, in the opinion of the Board of Examiners, is substantially equivalent, in terms of scope of practice and competencies, to that of a licensee.

AR 150/99 s14;72/2007;346/2009

Registration as a provisional licensee

14.1(1) The Board of Examiners may approve the registration of a person as a provisional licensee if

- (a) the person is legally entitled to work in Canada, whether or not the person meets the requirements of section 13(1)(a),
- (b) the person meets all of the other requirements of section 13 for registration as a professional member, except the requirement set out in section 13(1)(e),
- (c) the person has academic qualifications and experience in work of an engineering, geological or geophysical nature that is acceptable to the Board of Examiners, and
- (d) the Board of Examiners considers that it is appropriate to register the person as a provisional licensee.

(1.1) Notwithstanding subsection (1), an applicant is entitled to be registered as a provisional licensee if

- (a) the applicant is of good character and reputation, and
 - (b) the applicant is registered and in good standing with a professional regulatory organization under an Act of another province or territory of Canada to perform an occupation that, in the opinion of the Board of Examiners, is substantially equivalent, in terms of scope of practice and competencies, to that of a provisional licensee.
- (2) A provisional licensee may practise engineering, geology or geophysics only under the supervision and control of
- (a) a professional member,
 - (b) a licensee, other than a provisional licensee, or
 - (c) a registered professional technologist

who has appropriate training and experience in the same area of practice.

- (3) A provisional licensee may not vote in any matter affecting the Association or hold office in the Association.
- (4) Subject to subsection (5), no person may remain in the register of provisional licensees for more than 2 years.
- (5) The Council may, in a particular case, extend the time period in subsection (4) to not more than 4 years where the Council considers it appropriate to do so.

AR 72/2007 s3;346/2009

Division 7 Board of Examiners

Board of Examiners - constitution

15(1) The Board of Examiners consists of

- (a) an executive committee constituted under subsection (5),
 - (b) other members, who must be professional members, and who are appointed by the Council, and
 - (c) any other persons appointed by the Minister under the Act.
- (2) The Board of Examiners shall meet at least twice each year to consider matters of policy, significant changes in procedure, examination results and other matters referred to it by its executive committee or the Council.
- (3) The executive committee shall meet as is necessary to rule on applications for registration.
- (4) The Council shall designate a person as chair of the Board of Examiners and that person shall also serve as chair of the executive committee.
- (5) The executive committee of the Board consists of
- (a) the deans of engineering at universities in Alberta, or persons designated by the deans,
 - (b) a senior professor, who must be a professional engineer, from each of the major engineering disciplines at universities in Alberta,
 - (c) a senior professor, who must be a professional geologist, from each of the departments of geology at universities in Alberta,
 - (d) a senior professor, who must be a professional geophysicist, from each of the departments containing the geophysical discipline at universities in Alberta,
 - (e) other professional members of the Association equal to the number of members specified in clauses (a) to (d), who are not directly associated with any of the universities and are representative of the disciplines of engineering, geology and geophysics,
 - (f) the Registrar of the Association or the Registrar's designate,

- (g) one member of the public appointed by the Minister in accordance with section 30(2) of the Act, and
 - (h) 2 registered professional technologists (engineering) or engineering technologists appointed by the Minister under section 91 of the Act.
- (6) Where the executive committee sits for the purposes of ruling on applications for registration, members appointed under subsection (5)(h) may sit only in respect of applications for registration as a registered professional technologist (engineering).
- (7) The Council shall appoint the persons referred to in subsection (5)(b) to (e).
- (8) The term of appointment for the chair and members of the Board of Examiners who are appointed by the Council is 3 years, and they may be reappointed.
- (9) Where a vacancy occurs in a category of membership referred to in subsection (5)(a) to (f), the Council shall appoint a professional member to the position for the unexpired portion of the term.
- (10) A quorum for a meeting of the Board of Examiners is 1/4 of the members of the Board.
- (11) A quorum for a meeting of the executive committee of the Board of Examiners is 1/4 of the members of the executive committee.

AR 150/99 s15;8/2005

Part 2

Continuing Professional Development Program

Interpretation

15.1 In this Part, “licensee” does not include provisional licensee.

AR 72/2007 s4

Education program established

16(1) The Council establishes the Continuing Professional Development Program of the Association as the compulsory continuing education program for professional members and licensees.

(2) The Practice Review Board shall administer the Continuing Professional Development Program.

Mandatory compliance

17 A professional member or licensee shall comply with the requirements of the Continuing Professional Development Program as it applies to that person’s practice of engineering, geology or geophysics, as the case may be.

Exemption

18(1) Notwithstanding section 17, a professional member or licensee who files with the Association a declaration in writing stating that that person is not actively engaged in the practice of a profession is exempt from the requirements of this Part.

(2) An exemption under this section is only effective for one year from the date the declaration is received by the Association but may be renewed for additional yearly periods.

(3) A professional member or licensee shall not engage in the practice of a profession while an exemption under this section is in effect.

(4) A professional member or licensee who has filed a declaration under subsection (1) must immediately notify the Association in writing if that person intends to resume the practice of the profession.

(5) The Practice Review Board may require a professional member or licensee who has notified the Association under subsection (4) to comply with any conditions that the Board may set prior to allowing that person to resume the practice of the profession.

Written records

19 A professional member or licensee must

- (a) maintain a written record of activities undertaken in accordance with the Continuing Professional Development Program, and
- (b) produce the record under clause (a) on the request of the Practice Review Board.

Striking from register

19.1 The Council may direct the Registrar to strike from the register of professional members or licensees the name of a person who fails to comply with a notice that is served on the professional member or licensee and direct the professional member or licensee to comply with section 19 within 30 days after the date of service of the notice.

AR 37/2003 s3

Proof of compliance

20(1) On the request of the Practice Review Board, a professional member or licensee must satisfy the Board that that person is complying with the requirements of the Continuing Professional Development Program.

(2) Where a professional member or licensee fails to satisfy the Practice Review Board under subsection (1), the Board may

- (a) conduct a review of the practice of that person in accordance with the Act and this Regulation, and
- (b) at any time during a review recommend to the Investigative Committee that the review be conducted by the Investigative Committee under Part 5 of the Act as if the recommendation were a written complaint.

Part 3 Practice Review Board

Interpretation

20.1 In this Part, “licensee” does not include provisional licensee.

AR 72/2007 s5

Constitution

21(1) The Council shall appoint not fewer than 2 professional engineers, one professional geologist and one professional geophysicist as members of the Practice Review Board.

(2) The Council shall designate one of the professional members as chair.

(3) The Registrar or the Registrar’s designate shall serve as Secretary to the Practice Review Board.

(4) The chair and professional members must be appointed for a 3-year term, and may be reappointed.

(5) A quorum of the Practice Review Board is 4 of its members.

(6) Where a vacancy occurs in the Practice Review Board, other than with respect to the member of the public appointed under section 15(1)(b) of the Act, the Council shall appoint a professional member to the position for the unexpired portion of the term.

(7) In appointing professional members to the Practice Review Board under this section, the Council

- (a) shall not appoint a professional member unless the professional member has at least 10 years of experience in the practice of the profession, and
- (b) shall appoint professional members so that the membership will represent a combination of academic qualifications and experience such that the Practice Review Board can effectively review and assess registration requirements and procedures and disciplinary procedures in order to ensure the continuing competency of members of the Association, and advise the Council on those matters.

Items considered

22(1) The Practice Review Board shall meet at least twice each year, and more frequently if the chair considers it necessary, to consider

- (a) items referred to the Board by the Council, the Board of Examiners or the Discipline Committee,
 - (b) reports from the Registrar submitted under subsection (2),
 - (c) items that have come to the attention of the Board from other sources and that reflect a need to review the procedures of the Association with respect to registration, discipline or maintenance of professional competency, and
 - (d) any other items that may be considered by the Board pursuant to the Act.
- (2) The Registrar shall submit at each regular meeting of the Practice Review Board a report setting out
- (a) the number and nature of appeals and complaints relating to rulings of the Board of Examiners, and
 - (b) the number and nature of complaints dealt with under Part 5 of the Act and the disposition of the complaints, with special emphasis on cases reflecting on the competency of members of the Association.

Investigation by Practice Review Board

23(1) If the Practice Review Board undertakes a review of the practice of a professional member, licensee, permit holder or certificate holder, it shall proceed with the review in accordance with this section.

(2) The Board shall appoint a person to conduct an initial review and report to the Board with respect to the substance of the conclusions on which the Board based its decision to commence an investigation.

(3) If, on receipt of the report, the Board decides that further investigation is not warranted, the Board shall discontinue the review and report its decision to the Council, along with any recommendations the Board considers appropriate.

- (4) If, on receipt of the report, the Board decides that investigation of a specific practice is necessary, it shall
- (a) issue notice of investigation to the professional member, licensee, permit holder or certificate holder if it is of the opinion that the matter to be investigated relates to matters other than to unskilled practice of the profession or unprofessional conduct, and proceed with its investigation in the same manner as provided for in a discipline investigation, or
 - (b) lodge an appropriate complaint with the Investigative Committee if it is of the opinion that further investigation may lead to a finding of unskilled practice of the profession or unprofessional conduct.

Report to Council

24 Following each meeting, the Practice Review Board shall report through its chair to the Council and may make any recommendations as to changes in procedures regarding registration, discipline or maintenance of competency that the Board considers appropriate.

Part 4 Council

President and Vice-presidents - election and powers

25(1) The President of the Association must be elected annually by the professional members of the Association, and holds office until a successor is elected.

(2) The President shall act as the presiding officer at meetings of the Council and at meetings of the Association.

(3) The President may vote at meetings of the Council or the Association only in the event of a tied vote.

(4) The 2 Vice-presidents must be elected annually by the professional members of the Association and must be designated as First Vice-president and Second Vice-president on the basis of the number of votes cast for each of them.

(4.1) The First Vice-president is deemed to be the President Elect and must be the sole nominee for the office of President in the succeeding year.

(5) The First Vice-president or, failing the First Vice-president, the Second Vice-president, has all the powers of the President during the absence of the President for any cause.

AR 150/99 s25;37/2003

Election of Council

26(1) The professional members of the Council, other than those mentioned in section 25, must be elected annually by the professional members of the Association.

(2) The term of the members referred to in subsection (1) is 3 years and the elections must be conducted so that as close as possible to 1/3 of the positions come up for election each year.

Vacancy

27(1) Where there is a vacancy in the Council, the remaining members of the Council shall appoint a professional member to fill the vacancy until the next regular election.

(2) Where a vacancy is filled at a regular election, the person elected holds office for the unexpired portion of the term of office of the person being replaced.

Quorum

28 A quorum for meetings of the Council is

- (a) at least one of the President, the 2 Vice-presidents or the immediate Past President, and
- (b) 6 other professional members of the Council.

Executive Committee

29(1) There is hereby established an Executive Committee of the Council consisting of the President, who is the chair, the immediate Past President, the 2 Vice-presidents and the Executive Director of the Association.

(2) The Executive Committee has the delegated authority and power of the Council with respect to any decisions or actions necessary between Council meetings.

Other boards, committees and task forces

30(1) In addition to the Discipline Committee, Investigative Committee, Board of Examiners, Practice Review Board, Appeal Board and Executive Committee, the Council may appoint any other standing or special committees, task forces or boards that it considers necessary to serve the interests of the Association, and shall at the time of the appointment, delegate any authority it considers necessary for the committees, task forces or boards to perform their function.

(2) Except for the Discipline Committee, Investigative Committee, Board of Examiners, Practice Review Board, Appeal Board and Executive Committee, the Council shall determine the terms of reference for all committees, task forces or boards.

Part 5 Code of Ethics

Application and availability

31(1) Professional members, licensees, permit holders, members-in-training, examination candidates and students shall comply with the Code of Ethics in the Schedule to this Regulation.

(2) The Association shall publish interpretations of the rules comprising the Code of Ethics and distribute them to professional members, licensees, permit holders, members-in-training, examination candidates and students.

(3) The Association shall make the Code of Ethics available on request to members of the public.

Part 6 Discipline

Investigative Committee

32(1) There is hereby established an Investigative Committee consisting of professional members and registered professional technologists (engineering) or engineering technologists appointed by the Council and the member of the public appointed under section 33(1).

(2) The Council shall designate one professional member as the chair of the Investigative Committee.

(3) The chair or in the absence of the chair a majority of the members of the Investigative Committee may appoint an acting chair who has all the powers of the chair in the absence of the chair.

Public member

33(1) The Minister shall appoint one member of the public, from a list of persons nominated by the Council, to the Investigative Committee.

(2) The Minister may, after consultation with the Council, revoke the appointment of the member of the public.

(3) The powers, duties and operations of the Investigative Committee are not affected by

- (a) the failure of the Minister to appoint a member of the public,
- (b) the revocation of the appointment of the member of the public,
- (c) the resignation from the Investigative Committee of the member of the public, or
- (d) the absence of the member of the public from a meeting of the Investigative Committee.

(4) The Minister may pay to the member of the public appointed to the Investigative Committee travelling and living expenses incurred by that member for attendance at meetings of the Investigative Committee away from the member's usual place of residence and fees in an amount prescribed by the Minister.

Term of office

34(1) The term of office of each member of the Investigative Committee is 3 years, and members may be reappointed.

(2) Where there is a vacancy in the membership of the Investigative Committee appointed by the Council, the Council may appoint a person to fill the vacancy for the unexpired portion of the term.

(3) Where there is a vacancy in the public membership on the Investigative Committee, the Minister may appoint a person to fill the vacancy for the unexpired portion of the term.

Quorum

35 A quorum of the Investigative Committee consists of the chair or the acting chair and the number of professional members and registered professional technologists (engineering) or engineering technologists determined by the Council.

Discipline Committee

36(1) There is hereby established a Discipline Committee consisting of professional members appointed by the Council and the member of the public appointed under section 37(1).

(2) The Council shall designate one professional member as the chair of the Discipline Committee.

(3) The chair or in the absence of the chair a majority of the members of the Discipline Committee may appoint an acting chair who has all the powers of the chair in the absence of the chair.

Public member

- 37(1)** The Minister shall appoint one member of the public, from a list of persons nominated by the Council, to the Discipline Committee.
- (2) The Minister may, after consultation with the Council, revoke the appointment of the member of the public.
- (3) The powers, duties and operations of the Discipline Committee are not affected by
- (a) the failure of the Minister to appoint a member of the public,
 - (b) the revocation of the appointment of the member of the public,
 - (c) the resignation from the Discipline Committee of the member of the public, or
 - (d) the absence of the member of the public from a meeting of the Discipline Committee.
- (4) The Minister may pay to the member of the public appointed to the Discipline Committee travelling and living expenses incurred by that member for attendance at meetings of the Discipline Committee away from the member's usual place of residence and fees in an amount prescribed by the Minister.

Term of office

- 38(1)** The term of office of each member of the Discipline Committee is 3 years, and members may be reappointed.
- (2) Where there is a vacancy in the professional members on the Discipline Committee, the Council may appoint a person to fill the vacancy for the unexpired portion of the term.
- (3) Where there is a vacancy in the public membership on the Discipline Committee, the Minister may appoint a person to fill the vacancy for the unexpired portion of the term.

Quorum

39 A quorum of the Discipline Committee consists of the chair or the acting chair and the number of professional members determined by the Council.

Appeal Board

- 40(1)** The Council shall appoint professional members, including one Past President of the Association, as members of the Appeal Board.
- (2) The Council shall designate one professional member as the chair of the Appeal Board.
- (3) The chair or in the absence of the chair a majority of the members of the Appeal Board may appoint an acting chair who has all the powers of the chair in the absence of the chair.

Term of office

- 41(1)** The term of office of each member of the Appeal Board appointed under section 40 is 3 years, and members may be reappointed.
- (2) Where there is a vacancy in the membership of the Appeal Board appointed under section 40, the Council may appoint a professional member to fill the vacancy for the unexpired portion of the term.

Quorum

42 A quorum of the Appeal Board consists of the chair or the acting chair and the number of professional members determined by the Council.

Adjournment of investigation if court proceedings commenced

43 A preliminary investigation under Part 5 of the Act may be adjourned if the complaint that gave rise to the investigation is the subject of proceedings in the Provincial Court of Alberta or the Court of Queen's Bench of Alberta.

Assistance in conducting preliminary investigation

44 An investigation panel appointed under section 47 of the Act may employ any technical consultants and legal counsel it considers necessary to conduct a preliminary investigation.

AR 150/99 s44;8/2005

Panel of the Discipline Committee

45(1) A panel of the Discipline Committee must consist of at least 3 members of the Discipline Committee who are professional members.

(2) A member of the Discipline Committee who has been designated to act as a case manager under section 52(2) of the Act in respect of a matter must not sit as part of the panel of the Discipline Committee that is hearing the matter under section 53 of the Act.

(3) A panel of the Discipline Committee has all the powers and authority of the Discipline Committee.

AR 150/99 s45;8/2005

Publication

46 The Discipline Committee or the Appeal Board may direct that reports of disciplinary investigations be published.

Reinstatement of disciplined individuals

47(1) A professional member or licensee whose registration has been cancelled or a permit holder whose permit has been revoked under Part 5 of the Act as a result of the disciplinary proceedings may apply to the Council to be reinstated.

(2) An application under subsection (1) may not be made until at least one year after the date on which the registration was cancelled or the permit was revoked, or from the date on which the Court made its order confirming or varying the decision of the Council.

(3) The Council may establish a Committee of Inquiry to consider the application for reinstatement and make recommendations to the Council.

(4) The Council may require the former professional member, licensee or permit holder to demonstrate by means prescribed by the Council that that person is competent to re-engage in the practice of engineering, geology or geophysics.

(5) Where an application for reinstatement is not approved by the Council, no further application may be made by the former professional member, licensee or permit holder until at least one year after the date the Council ruled on the previous application.

Part 7 Registration of Permit Holders

Interpretation

47.1 In this Part, "licensee" does not include a provisional licensee.

AR 72/2007 s6

Requirements for issue of permit

48(1) The Council may issue to a partnership, corporation or other entity a permit to practice engineering, geology or geophysics in its own name if

- (a) an application is made to the Registrar in the form and containing the information required by the Council,
- (b) the application is accompanied with the fees prescribed by the Council,
- (c) the Council is satisfied that the practice will be carried on under the direct personal supervision and responsibility of a full-time permanent employee or member of the partnership, corporation or other entity who is also a professional member or licensee and who is qualified by education and experience in the field of engineering, geology or geophysics in which the partnership, corporation or other entity intends to engage, and

- (d) the professional member or licensee certifies to the satisfaction of the Council that the partnership, corporation or other entity has in place and will follow a professional management plan that is appropriate to its professional practice.
- (2) A permit expires one year after the date on which it is issued.
- (3) When the Council issues a permit, it shall provide the permit holder with a permit number.
- (4) No person shall use a permit number where the permit to which the number is assigned has been cancelled or suspended.

AR 150/99 s48;37/2003

Seminars

48.1 A professional member or licensee who accepts responsibility under section 48(1)(c) shall every 5 years attend a permit to practice seminar acceptable to the Council.

AR 37/2003 s6

Signing and sealing of documents

49 When the practice of engineering, geology or geophysics is carried on by a partnership, corporation or other entity pursuant to a permit under section 48, all final plans, specifications, reports or documents of a professional nature must

- (a) be signed by and be stamped or sealed with the stamp or seal of
 - (i) the professional member or licensee who prepared them or under whose supervision and control they were prepared, or
 - (ii) in the case of plans, specifications, reports or documents that were prepared by other persons, the professional member or licensee who thoroughly reviewed and accepted professional responsibility for them,
- and
- (b) show the permit number issued to the partnership, corporation or other entity under section 48.

Notification to Association

50(1) A partnership, corporation or other entity practising pursuant to a permit under section 48 shall keep the Association advised of the name of the professional member or licensee referred to in that section.

- (2) The professional member or licensee referred to in section 48 shall forthwith advise the Registrar if the professional member or licensee
 - (a) ceases to be the person accepting responsibility under that section, or
 - (b) is no longer able to provide the certification referred to in section 48(1)(d).

AR 150/99 s50;37/2003

Revocation of permit

51 The Council may revoke a permit issued under section 50 if the permit holder contravenes the Act, this Regulation or a term or condition of the permit.

AR 150/99 s51;8/2005

Name of firm

52 No partnership, corporation or other entity may be incorporated or registered in the Province of Alberta under a name including the words "Engineering", "Geology" or "Geophysics", or variations of those words, unless it holds and continues to hold a valid permit issued under section 48.

Control and use of stamps and seals

53 The permit number issued to a permit holder may only be used by the professional members or licensees referred to in section 48(1)(c).

Waiver of compliance

53.1 The Council may, subject to any terms and conditions it considers appropriate, waive compliance with the requirements of sections 48(1)(d) and 48.1.

AR 37/2003 s8

Part 8 General

Use of stamps and seals issued to members

54(1) A stamp or seal issued to a professional member or licensee must at all times remain under that person's direct control and must be applied by the professional member or licensee or by a person acting under the professional member's or licensee's immediate and direct control to all final plans, specifications, reports or documents of a professional nature

- (a) that were prepared by the professional member or licensee or under the professional member's or licensee's supervision and control, or
- (b) that were prepared by another person in circumstances where the professional member or licensee has thoroughly reviewed them and accepted professional responsibility for them.

(2) No person shall permit a stamp or seal to be physically located in a manner that would allow its use by a person other than the professional member or licensee to whom it was issued.

(3) When a stamp or seal is applied, the professional member or licensee to whom it was issued shall ensure that the stamp or seal is accompanied with that person's signature and the date on which the stamp or seal is applied.

(4) A stamp or seal may be applied to the cover page or final page of reports or documents in a manner that clearly indicates acceptance of professional responsibility for the reports or documents, without being applied to each page.

(5) A professional member or licensee shall not acquire a stamp or seal from any source other than the Registrar.

(6) A professional member or licensee shall only use a stamp or seal while that person is registered pursuant to this Regulation.

(7) Stamps and seals are the property of the Association and a person in possession of a stamp or seal shall surrender it to the Association on demand.

(8) A professional member or licensee may, with the approval of the Registrar, apply a computer generated facsimile of the stamp or seal if that person otherwise meets the requirements of the Act and this Regulation.

(9) In this section, "licensee" does not include a provisional licensee.

AR 150/99 s54;72/2007

Association publications

55 The Association shall issue on a regular basis as directed by the Council the publications known as *The Annual Report* and *The PEGG* for the general information of members on the affairs of the Association and the professions generally.

Publications on professional services

56 The Association may publish, on an annual basis or as directed by the Council, for the information of members and the public, conditions of engagement and surveys of professional fees for particular types of professional engineering, geological and geophysical services.

Publications on professional services

57 The Association may publish a guide to the selection of professional engineers, geologists and geophysicists for consulting services to assist clients in the selection of professionally and legally qualified consultants and consulting firms.

Publications on professional services

58 The Association may publish guides that define for clients the scope of professional services to be expected from a consulting engineer, geologist or geophysicist.

Publications on professional services

59 The Association may issue, on an annual basis or as directed by the Council, publications for the purpose of promoting high standards of professional services and adequate remuneration for those services, and the maintenance and improvement of the competency of members.

Membership register

60 The Association may publish from time to time as directed by the Council, a register, in a format directed by the Council, of professional members, licensees, permit holders, joint firms and restricted practitioners.

Service of notices

61(1) If notice is required to be given to a person under the Act, this Regulation or the bylaws, the notice is sufficiently given if

- (a) it is served personally on the person,
- (b) it is sent by prepaid mail to the person at the latest address provided to the Registrar by the person, or
- (c) where the person has provided to the Registrar an electronic address for the purpose of receiving notices that meets the requirements of the Council, it is sent to the person by electronic transmission to that address.

(1.1) If a notice is served by electronic transmission in accordance with subsection (1), unless the contrary is proved, the service is presumed to be effected on the date on which the notice was transmitted.

(2) If notice is served by mail in accordance with subsection (1), unless the contrary is proved, the service is presumed to be effected

- (a) 7 days from the date of mailing if the document is mailed to an address in Alberta, or
- (b) 14 days from the date of mailing if the document is mailed to an address outside Alberta.

AR 150/99 s61;37/2003;72/2007

Enforcement Review Committee

62(1) There is hereby established an Enforcement Review Committee consisting of not fewer than 9 professional members appointed by the Council.

(2) The Council shall designate one member of the Committee as the chair.

(3) A member of the Committee may be appointed for a term of not more than 3 years and may be reappointed.

(4) A quorum of the Committee is the chair and 3 of its members.

(5) The chair may designate a member of the Committee as vice-chair, and the vice-chair may exercise all the powers of the chair in the absence of the chair.

(6) The Council may delegate to the Committee matters respecting the enforcement of compliance with Part 1 of the Act and this Regulation, subject to the directions of the Council.

AR 150/99 s62;37/2003

Part 9 Registered Engineering Technologists

Definition

63 In this Part, “registered engineering technologist” means a person who was registered as a registered engineering technologist under this Part immediately before the coming into force of the *Engineering, Geological and Geophysical Amendment Act, 2007*.

AR 150/99 s63;281/2009

Transitional

63.1 Subject to the Act and the *ASET Regulation*, a person who was registered as a registered engineering technologist under this Part immediately before the coming into force of the *Engineering, Geological and Geophysical Amendment Act, 2007* continues to be so registered under section 63.2.

AR 281/2009 s3

Register to be maintained

63.2 For the purposes of section 92.1(2) of the Act, the ASET Registrar shall maintain a register for registered engineering technologists.

AR 281/2009 s3

64 to 72 Repealed AR 281/2009 s4.

Part 10 Professional Licensees

73 Repealed AR 281/2009 s6.

Application

74(1) An application for registration as a professional licensee must be submitted to the Registrar and must

- (a) be in a form acceptable to the Board of Examiners, and
- (b) be fully completed and accompanied by
 - (i) all required supporting documentation, and
 - (ii) any fees that are prescribed by the Council.

(2) The Registrar shall refer an application for registration as a professional licensee to the Board of Examiners if the application meets the requirements of subsection (1) and the Registrar is satisfied that the applicant meets the eligibility requirements for registration set out in section 77.

AR 150/99 s74;37/2003;281/2009

Annual fees

75 A person who is entered in a register of professional licensees shall pay any annual fees that are prescribed by the Council.

AR 150/99 s75;37/2003; 281/2009

Non-payment

76 The Council may direct the Registrar to strike the name of a person from a register of professional licensees if the person fails to pay a prescribed fee.

AR 150/99 s76;37/2003;281/2009

Eligibility

77(1) A person who meets the following requirements and applies to the Registrar for registration is entitled to be registered as a professional licensee:

- (a) the applicant is of good character and reputation;
 - (b) the applicant has at least 2 years of post-secondary education acceptable to the Board of Examiners in areas that relate to the practice of engineering, geology or geophysics;
 - (c) the applicant has a knowledge of the Act and regulations under the Act, and general knowledge related to the practice of engineering, geology or geophysics, which has been demonstrated by passing an examination for those purposes that is prescribed by the Board of Examiners;
 - (d) the applicant demonstrates to the Board of Examiners that the applicant has a proficiency in the English language that is sufficient to enable the applicant to responsibly practise the profession of engineering, geology or geophysics;
 - (e) the applicant has obtained at least 6 years of experience in work of an engineering, geological or geophysical nature that is acceptable to the Board of Examiners, at least 2 of which are in the applicant's specific area of professional practice and were completed under the supervision and control of a professional member.
- (2)** Notwithstanding subsection (1), an applicant is entitled to be registered as a professional licensee if
- (a) the applicant is of good character and reputation, and
 - (b) the applicant is registered as a professional licensee or in an equivalent capacity in good standing with a regulated entity in another province that, in the opinion of the Board of Examiners, is equivalent to the Association.

AR 150/99 s77;281/2009

Application of regulations

78 Sections 16, 17, 18, 19, 20, 22, 23, 25, 26, 27, 28, 29, 30, 31, 32, 47, 48, 48.1, 49, 50, 51, 53, 54, 59, 60 and the Schedule apply in respect of professional licensees as if they were professional members.

AR 150/99 s78;37/2003;72/2007;281/2009

Part 11 Repeal and Expiry

Repeal

79 The *General Regulation* (AR 244/81) is repealed.

Expiry

79.1 For the purpose of ensuring that this Regulation is reviewed for ongoing relevancy and necessity, with the option that it may be repressed in its present or an amended form following a review, this Regulation expires on September 30, 2014.

AR 281/2009 s10

80 Repealed AR 37/2003 s15.

Schedule

CODE OF ETHICS

(established pursuant to section 20(1)(k) of the Engineering, Geological and Geophysical Professions Act)

Preamble

Professional engineers, geologists and geophysicists shall recognize that professional ethics is founded upon integrity, competence, dignity and devotion to service. This concept shall guide their conduct at all times.

Rules of Conduct

- 1** Professional engineers, geologists and geophysicists shall, in their areas of practice, hold paramount the health, safety and welfare of the public and have regard for the environment.
- 2** Professional engineers, geologists and geophysicists shall undertake only work that they are competent to perform by virtue of their training and experience.
- 3** Professional engineers, geologists and geophysicists shall conduct themselves with integrity, honesty, fairness and objectivity in their professional activities.
- 4** Professional engineers, geologists and geophysicists shall comply with applicable statutes, regulations and bylaws in their professional practices.
- 5** Professional engineers, geologists and geophysicists shall uphold and enhance the honour, dignity and reputation of their professions and thus the ability of the professions to serve the public interest.

AR 150/99 Sched. ;37/2003;8/2005

THE ENGINEERING, GEOLOGICAL AND
GEOPHYSICAL PROFESSIONS ACT

BY-LAWS

As approved by the Association and incorporating amendments
approved at the Annual General Meetings of
June 1983, June 1987, April 1991,
April 1993, April 1996,
April 1997, April 1998, April 1999, April 2000,
April 2001, April 2002, April 2005,
and consequential changes approved October 2009.

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Adopted by Authority of Section 19 of the Engineering, Geological and Geophysical Professions Act.

Part 1 Head Office, Branches and Districts

Location

- 1(1) The Head Office of the Association shall be located in the City of Edmonton in the Province of Alberta.
- (2) Council may establish such branches and districts as it deems necessary for the benefit of members of the professions concentrated in specific regions of the province. Constitution, structure and operation of the branches and districts shall be subject to the approval of the Council.

Part 2 Election of Council

Nominating Committee

- 2 A nominating committee shall be appointed at the annual meeting to serve for the ensuing year. The Committee shall consist of a minimum of 11 professional members of the Association, 1 of who shall be the retiring president, who shall be the chairman. Council shall fill any vacancies which may occur in this nominating committee.

Nominees

- 3(1) Not less than 150 days prior to the annual meeting the nominating committee shall submit to the Executive Director a list of nominees for Council, which shall include the president-elect as the sole nominee for president, 3 nominees for vice-president, and at least 3 more nominees for Councillors than there are vacancies to be filled on Council.
- (2) Nominees for president or vice-president shall have served at least 1 year on the Council.

Nominees selection

- 4(1) The nominating committee, in selecting nominees, shall give due consideration to the composition of the Council as required by the Act, regulations and by-laws and to the geographical distribution and field of practice of the nominees.
- (2) The written consent of the nominees to act if elected shall be secured and shall accompany the list of nominees submitted to the Executive Director.
- (3) Not less than 120 days prior to the annual meeting the Executive Director shall distribute to each professional member, either directly by mail or via the Association publication known as the PEGG, a list of the nominations made by the nominating committee.

Additional nominations

- 5 Additional nominations for any office or any offices, except the president may be made in writing by any 10 professional members. Such nominations shall reach the Executive Director properly endorsed not later than 90 days prior to the annual meeting and shall be accompanied by the written consent of the nominee or nominees to act if elected.

Conduct of elections

- 6(1) Elections shall be conducted by letter ballot.
- (2) The names of all persons nominated for office shall be placed on the ballot form in groups relating to each office. The number, professional classification and term of Councillors to be elected shall be made clear on the ballot form. Ballot forms shall be mailed to the professional members by the Executive Director not later than 50 days prior to the annual meeting.
- (3) Each professional member is entitled to one vote for one candidate for vice-president, and to vote for as many candidates as there are vacancies to be filled for Council, or for a lesser number.

(4) The poll shall close at noon on the 20th day prior to the annual meeting and no ballots received after that time shall be considered.

Electronic Voting

6.1 Notwithstanding Section 6, a member may vote electronically as prescribed by such terms and conditions established by Council from time to time and such vote shall be received and treated by the Association as having the same force and effect as if sent by letter ballot pursuant to Bylaw 6.

Ballot counting committee

7(1) At least 20 days before the annual meeting the president shall appoint 6 professional members including a chairman to act as a ballot counting committee.

(2) This committee shall meet at a time and place designated by the president, but at least 15 days prior to the annual meeting, and shall receive then the package containing the ballots from the Executive Director.

(3) The envelope containing the ballots shall be opened by a member or members of the ballot counting committee who shall scrutinize and count the votes cast and keep a record thereof.

Counting of ballots

8(1) Of the candidates for the offices of vice-presidents, the one receiving the highest number of votes shall be elected first vice-president and the one receiving the second highest number of votes shall be elected second vice-president.

(2) The candidate elected as first vice-president shall be deemed to be the president-elect and shall be the sole nominee for the president in the succeeding year.

(3) The requisite number of persons who receive the highest number of votes for the office of Councillor shall be elected as Councillors for a term of 3 years. If there are any vacancies in Council to be filled the person or persons receiving the next highest number of votes for the office of Councillor shall be elected as Councillors for the balance of the unexpired term or terms to be filled, and as among them, the person or persons receiving the highest number of votes shall fill any vacancy or vacancies which have the longer unexpired term or terms.

(4) On completion of the counting of the ballots, the chairman of the ballot counting committee shall deliver to the president or the Executive Director the results of the poll, together with the ballots and tally sheets in a separate sealed package.

Results of election

9(1) Prior to the annual meeting, the president or the Executive Director shall inform the candidates in the election of the results of the balloting including the number of votes cast for each candidate.

(2) The results of the election shall be announced at the annual meeting by the chairman of the meeting.

(3) In case of equality of votes for any officer or Councillor, the president or, in the absence of the president, the chairman of the nominating committee shall cast the deciding vote.

Objection and recount

10(1) Any objection to the poll as announced will be valid only if made immediately after the announcement and a proper motion for a recount will then be in order. If such a motion is made and carried the chairman shall appoint a ballot counting committee of not less than 12 professional members who shall forthwith recount all ballots. Candidates may be present or represented at such recount.

(2) On completion of the recount the results shall be communicated in writing to the chairman who shall announce it to the annual meeting immediately. Such recount shall be final and binding.

(3) Following the announcement of the poll or of the recount, as the case may be, the ballots and any tally sheets shall be destroyed.

Failure to comply with procedures

11 In the event of any failure to comply with procedures relating to the election of members of Council, Council shall have the power to take any action it deems necessary to validate the nomination, the counting of the ballots or the election.

Part 3 Meetings of the Council

Council meetings

12(1) Council shall meet at the call of the president or on request in writing to the Executive Director signed by not less than 4 Councillors.

(2) The time and place of Council meetings shall be fixed by the president or by the councillors, whichever called the meeting.

(3) Professional members of the Association shall be informed as to the time and place of Council meetings and may attend meetings of the Council as observers if advance notification of their attendance is given to the Executive Director.

(4) If any member of Council is unable to attend a meeting of Council he shall so inform the Executive Director or the executive secretary prior to the meeting.

(5) If any member of Council absents himself from 3 consecutive meetings of Council without the approval of Council, Council may declare his seat to be vacant.

Expenses

13 Members of Council and, at the discretion of Council, appointed representatives of the Association, members of committees of the Association, members invited for special purposes and the representatives of other organizations shall be paid the reasonable out-of-pocket expense of attending meetings of the Council or of the Association or when travelling on business of the Association.

Honorarium President

13.1 An honorarium shall be paid to the President, or the President's nominee in an amount and under such conditions as may be determined by the Council from time to time.

Part 4 Representatives to and from other Organizations and Groups

Representatives on other organizations

14(1) Council may appoint professional members to represent the Association on the Engineering Faculty Councils and Science Faculty Councils of Universities in Alberta and shall appoint a director of the Canadian Council of Professional Engineers.

(2) Council may appoint a professional member to represent the Association on the Council, governing body, or committees of any other organization or association.

(3) Representatives appointed under the provisions of subsections (1) and (2) hereof, if not members of Council, may be invited by Council to attend meetings of Council and to take part in the proceedings of Council but shall not be entitled to vote on any question.

Representatives from other organizations

15(1) Council may invite any organization or association to appoint a member of its executive as a representative to sit with Council at such meetings of Council as Council may determine.

(2) Any representative appointed pursuant to subsection (1) hereof, if not a member of Council, may be invited by Council to attend meetings of Council and to take part in the proceedings of Council but shall not be entitled to vote on any question.

Part 5 Meetings of the Association

Annual meetings

16(1) An annual meeting of the Association shall be held in every calendar year with the period between such meetings not to exceed 18 months. At each annual meeting the Council shall submit a recommendation as to the date and place of the next annual meeting.

(2) Notice of the annual meeting shall be distributed, either directly by mail or via the Association publication known as the PEGG, to all members at least 90 days in advance of the meeting.

(3) At the annual meeting of the Association a quorum shall consist of 60 professional members.

Agenda

17(1) The following items of business shall be dealt with at the annual meeting:

- (a) minutes of the last annual meeting and of any special meetings held since the last annual meeting;
- (b) business arising from the minutes;
- (c) address of the president,
- (d) reports of representatives of the Association;
- (e) reports of committees;
- (f) auditor's report;
- (g) appointment of auditor;
- (h) new business;
- (i) fixing of the date and place of the next annual meeting;
- (j) announcement of the results of the annual election;
- (k) objection, if any, to the election results as announced;
- (l) appointment of the nominating committee.

(2) Any resolution carried at an annual meeting shall be considered at the next regular meeting of the Council.

Special meetings

18(1) Special meetings of the Association may be held when considered necessary by the Council or upon written request to the Executive Director signed by not less than 30 professional members of the Association. A special meeting summoned pursuant to the written request of the 30 or more professional members shall be held not more than 45 days after the request is received by the Executive Director.

(2) Written notice calling a special meeting of the Association shall be distributed to all members, directly either by mail or via the Association publication known as the PEGG, at least 14 days in advance of the meeting and shall clearly state the object of the meeting, and no other business shall be transacted at the meeting.

(3) At any special meetings of the Association a quorum shall consist of 60 professional members.

(4) Any resolution carried at a special meeting shall be considered at the next regular meeting of the Council.

District meetings

19(1) District meetings of the Association may be held as approved by Council or as directed by the executive of a properly constituted Branch of the Association.

- (2) Notice of a district meeting shall be distributed to all members resident in the district, either directly by mail or via the Association publication known as the PEGG, at least 14 days in advance of the meeting.
- (3) Council shall approve the holding of a district meeting of the Association on a request to the Executive Director signed by not less than 30 professional members of the Association.
- (4) A quorum at a district meeting shall be 10 professional members.
- (5) Any resolution carried at a district meeting shall be considered by Council at its next regular meeting.

Procedures at meetings

- 20**(1) The proceedings at any meeting of the Association and of Council shall be governed by the rules laid down in the latest edition of Robert's Rules of Order, except as these by-laws may otherwise provide.
- (2) Only professional members are entitled to vote at annual, district or special meetings of the Association.

Part 6 Executive Director, Acting Registrar

Responsibilities

- 21** (1) The Executive Director shall be a professional member of the Association and shall post a security bond at the expense of the Association in a sum to be fixed by Council.
- (2) The Executive Director shall be in responsible charge, under Council, of all property of the Association and shall be responsible for the work of all employees of the Association.
- (3) The Executive Director shall be responsible for
 - a) the presentation of business and recording of proceedings at all meetings of the Association and of Council,
 - b) conducting the correspondence of the Association and keeping full records thereof,
 - c) the books and accounts of the Association and ensuring that all monies due the Association are collected and deposited with the funds of the Association,
 - d) the drawing of cheques against the funds of the Association to be signed by the Executive Director and president or alternates approved by the Council,
 - e) providing from time to time such information and reports as may be requested by Council, or which he deems necessary in the interest of the Association, and
 - f) such other functions as are necessary or expedient for the proper administration of the Act, regulations and by-laws, and the affairs of the Association generally.

Acting Registrar

- 22** The Council may appoint an Acting Registrar who has all the powers and can perform all the duties of the Registrar under the Act, the regulations and the by-laws when the Registrar is absent or unable to act, or when there is a vacancy in the office of the Registrar.

Part 7 Finances of the Association

Auditing

- 23**(1) The finances of the Association shall be audited annually as of the 31st day of December.
- (2) The auditors shall be a firm of chartered accountants who shall be appointed annually at the annual meeting.
- (3) The auditors shall submit a written report for the annual meeting, a copy of which shall be sent to members prior to the meeting either directly by mail or via one of the Association publications.

Fees

- 24**(1) The annual fee for a professional member shall be as specified from time to time by Council and shall be payable in advance, on the anniversary date of the member's initial or current registration, subject to such discount, if any, as Council may from time to time direct.
- (2) The registration fee shall consist of an administrative charge as established by Council from time to time plus any other fees payable with the application for registration.
- (3) In the case of new applicants, the annual fee for the following 12 months shall be payable at the time of registration.
- (4) The annual fee for a licensee shall be fixed by Council from time to time, and shall not be less than the annual fee for a professional member.
- (5) (a) The annual fee for a permit holder shall be fixed by Council from time to time and shall be not less than the annual fee for the professional member or licensee assuming direct personal supervision of and responsibility for the practice of the permit holder.
- (b) Council may, in its discretion, on application of a permit holder waive or reduce the annual fee.

**Part 8
Life and Honorary Members****Life Member**

- 25**(1) Council, may confer life membership in the Association upon any professional member who
- (a) has been a professional member for 25 or more years; or has held equivalent registration in a jurisdiction recognized by the Association, and professional membership totalling a minimum of 25 years, of which at least the last 10 years have been with the Association, and
- (b) has retired from the practice of the profession
- (2) Notwithstanding Section 25(1), Council, in its sole discretion, may confer life membership in the Association upon any professional member it deems worthy.
- (3) Subject to Section 25(1), life members retain all of the obligations, duties and privileges of professional membership and pay annual fees as may be specified by Council.

Honorary Life Member

- 26**(1) Council, in its discretion by unanimous vote, may confer Honorary Life Membership in the Association upon any professional member who has rendered significant service to the Association.
- (2) Honorary Life Members retain all of the obligations, duties and privileges of professional membership but are exempted from payment of annual fees.

Honorary Member

- 27**(1) Council, in its discretion by unanimous vote, may confer honorary membership in the Association upon persons who have given eminent service to the profession. There shall at no time be more than 30 honorary members in the Association.
- (2) Honorary membership may be revoked by unanimous vote of the Council for conduct considered detrimental to the profession.
- (3) Honorary members do not have the right to vote on Association affairs, to call themselves professional members or create the impression that they are professional members, or to engage in the practice of engineering, geology or geophysics.
- (4) Honorary members are not required to pay any annual fees.

Part 9 Committees

Procedures and appointments

28(1) Standing Committees, Task Forces, or Boards, appointed by the Council pursuant to the Act and Regulations, shall proceed in accordance with terms of reference and procedures designated by the Council at the time of appointment.

(2) The Chair and members of Standing Committees, Task Forces, and Boards shall be appointed by the Council or if the Council so directs, by the Executive Director.

(3) Members of Standing Committees, Task Forces, and Boards, shall be appointed by the Council or by Chairmen in consultation with the Executive Director with ratification by the Council.

Expenses

29 The Chairs and members of Standing Committees, Task Forces and Boards shall receive reimbursement of expenses incurred in performing their designated functions.

Part 10 Scholarships and Benefit Programs

Program

30(1) The Council in its discretion may establish such scholarship or other educational incentive programs that it considers will serve the interests of the professions of engineering, geology or geophysics and shall decide from time to time the amount of financial support to be provided for such programs.

(2) The Council in its discretion may establish such other types of benefit programs that it considers will advance the welfare of the professions of engineering, geology or geophysics and shall decide from time to time the amount of financial support to be provided for such programs.

Part 11 Register of Members

Content

31(1) The Registrar shall be responsible for maintaining an up-to-date register providing a record of

- (a) professional members,
- (b) licensees,
- (c) permit holders,
- (d) Life Members, Honorary Life Members, and Honorary Members,
- (e) members-in-training,
- (f) examination candidates,
- (g) students,
- (h) joint firms, and
- (i) restricted practitioners, and
- (j) provisional licensees, and
- (k) professional licensees.

(2) The Registrar shall be responsible for entering any memoranda in the register related to cancellations and suspensions and any subsequent modifications to such memoranda and shall advise the next regular meeting of the Council of any memoranda entered or modified.

Mailing addresses

32 Professional members, licensees, permit holders, professional licensees, provisional licensees, Life Members, Honorary Life Members, Honorary Members, members-in-training, examination candidates, students, joint firms and certificate holders shall provide the Registrar with their current mailing addresses and advise the Registrar forthwith in writing of any change in address.

Onus to respond

32.1 Professional members, licensees, permit holders, life members, professional licensees, provisional licensees, members in training, joint firms, or certificate holders, shall respond promptly and appropriately to any duly served communication of a regulatory nature received from the Association that contemplates a reply.

Part 12 Seals, Stamps and Certificates

Seals and stamps

33(1) Seals and stamps issued to professional members shall be in a form prescribed by the Council and shall show the professional category of the professional member as Professional Engineer, Professional Geologist or Professional Geophysicist.

(2) Seals and stamps issued to licensees shall be in a form prescribed by the Council and shall show the professional category of the licensee as Professional Engineer, Professional Geologist or Professional Geophysicist and in addition shall carry the word "licensee",

(3) Stamps issued to permit holders shall be in a form prescribed by the Council and shall show the designation "Permit Holder".

(4) Stamps issued to joint firms shall be in a form prescribed by the Council and shall show the designation "Engineers and Architects" or "Architects and Engineers".

(5) Seals and stamps issued to professional licensees shall be in a form prescribed by the Council and shall show the designation "Professional Licensee (Engineering), (Geological), or (Geophysical)" as the case may be.

Certificates

34(1) The Registrar shall issue to each professional member a certificate in a form prescribed by the Council signed by the president and Registrar showing the date of registration and the professional category in which the member is registered; and upon renewal an annual certificate.

(2) The Registrar shall issue to each licensee a license certificate in a form prescribed by the Council signed by the president and Registrar showing the date of registration and the professional category of the licensee; and upon renewal of the license an annual certificate in the form of a license renewal card.

(3) The Registrar shall issue to each permit holder a certificate in a form prescribed by the Council signed by the president and Registrar showing the permit number assigned to the permit holder and professional category in which the permit holder is entitled to practice; and upon renewal of the permit an annual certificate in the form of a permit renewal card.

(4) The Registrar shall issue to each restricted practitioner a certificate in a form prescribed by the Council signed by the president and Registrar and showing the date of registration and the restricted area of practice in which the certificate holder may engage; and upon renewal of registration as a restricted practitioner, an annual certificate in the form of a restricted practice renewal card.

(5) The Registrar shall issue to each professional licensee a certificate in a form prescribed by the Council, signed by the President and Registrar, and showing the date of registration and the area of practice in which the professional licensee may engage; and upon renewal of registration as a professional licensee an annual certificate in the form of a renewal card.

Surrender of seals, stamps and certificates

35 Professional members, licensees, permit holders, certificate holders, and professional licensees shall surrender to the Registrar, forthwith upon development of any of the following eventualities, any seals, stamps and certificates that have been issued to them:

- (a) temporary withdrawal of the professional member, licensee, permit holder, certificate holder, or professional licensee from practice of the profession for a period estimated to exceed 1 year;
- (b) resignation of the professional member, licensee, permit holder, certificate holder, or professional licensee from the Association;
- (c) the suspension or cancellation of the professional membership, license, permit or certificate.

Part 13

Assessment of Costs for Hearings and Appeals

Content

36 Where the Discipline Committee, Practice Review Board or the Appeal Board orders an investigated person to pay the costs of the hearing, or the costs of the appeal, or both the costs of the hearing and the costs of the appeal, those costs may include all or any of the following costs and expenses:

- (a) any honorarium, payment, or professional fees paid to a person retained to participate in the hearing or appeal;
- (b) costs of any transcripts of evidence taken in the proceedings;
- (c) costs of reproduction of all or any documents including drawings and plans relating to the proceedings;
- (d) witness fees;
- (e) cost of renting rooms, renting recording equipment, or hiring a reporter to take transcript of the evidence;
- (f) fees payable to the solicitor acting on behalf of the Association in the proceedings;
- (g) any other expenses incurred that are attributable to the hearing or an appeal resulting from it.

Part 14

General

Voting by mail

37(1) The Council may direct that a mail vote be conducted on any matter related to the Association.

(2) Announcement of a mail vote giving full details of the matter to be voted upon must be made to all professional members at least 21 days in advance of the vote being taken and if objections to a mail vote are received by the Registrar in writing at least 3 days before the announced date of the vote from at least 30 professional members of the Association the matter shall be held over to a meeting of the Association.

(3) A vote by mail shall be declared valid if at least 10 percent of the professional members respond and the matter shall be declared carried or defeated on the basis of a simple majority of the votes returned.

(4) The results of a mail vote shall be considered by the Council at its next regular meeting.

Electronic Voting

37.1 For purposes of Bylaw 37, a mail vote shall be deemed to include electronic communications within such terms and conditions as may be prescribed from time to time by the Council of the Association and such vote shall be received and treated by the Association as having the same force and effect as if sent by mail under Bylaw 37.

Amendments to By-laws

38(1) Proposed amendments to by-laws shall be submitted to a properly convened meeting of the Association and shall not be effective unless approved by a majority of the professional members present and voting at the meeting, or shall be submitted to the professional members for a mail vote in accordance with section 37.

(2) If the proposed amendments are to be voted on at a meeting of the Association, full details of the proposed amendments shall be disclosed to all professional members at least 14 days in advance of the meeting.

Validation

39 When any act or thing under the provisions of these by-laws directed to be done within a limited time is not so done, or is not properly or effectually done, then anything actually done prior to such omission or improper or ineffectual act shall not be thereby vitiated, but shall remain in full effect and the Council, either prior or subsequent to such act not properly or effectually done or omitted, may extend the time for completing or perfecting such act or thing, which when completed or perfected, shall have the same effect as if done strictly in accordance with the provisions of these by-laws.

Professional Licensees

40 Sections 2, 5, 6, 7, 12(3), 16(3), 18, 19, 20, 37 and 38 of these Bylaws apply to professional licensees (engineering), professional licensees (geological) and professional licensees (geophysical) as if they were professional members.

THE ENGINEERING, GEOLOGICAL AND
GEOPHYSICAL PROFESSIONS ACT

REGULATIONS

ASET REGULATION

Consisting of Alberta Regulation
282/2009 DATED October 15, 2009

(no amdt)

**ALBERTA REGULATION 282/2009
ENGINEERING, GEOLOGICAL AND GEOPHYSICAL PROFESSIONS ACT**

ASET REGULATION

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Schedule

Definitions**1** In this Regulation,

- (a) “Act” means the Engineering, Geological and Geophysical Professions Act;
- (b) “certified technician” means an individual who holds a certificate of registration as a certified technician granted by the ASET Board of Examiners under the Act;
- (c) “certified technologist” means an individual who holds a certificate of registration as a certified engineering technologist, applied science technologist or certified computer information technologist granted by the ASET Board of Examiners under the Act;
- (d) “registered engineering technologist” means an individual who holds a certificate of membership as a registered engineering technologist under Part 9 of the *General Regulation* (AR 150/99) immediately before the coming into force of this Regulation;
- (e) “regulated member” means
 - (i) a certified technician,
 - (ii) a certified technologist, or
 - (iii) except in sections 13, 14 and 15(5) and (8), a registered engineering technologist.

Part 1 Membership in ASET

Division 1 General

Application

2(1) An application for registration must be submitted to the ASET Registrar and must

- (a) be in a form acceptable to the ASET Board of Examiners, and
- (b) be fully completed and accompanied by
 - (i) all required supporting documentation, and
 - (ii) any fees that are prescribed by ASET Council.

(2) The ASET Registrar shall refer an application to the ASET Board of Examiners if the application meets the requirements of subsection (1) and the ASET Registrar is satisfied that the applicant meets the eligibility requirements for one of the categories of membership in ASET.

Annual fees

3 A person who is entered in a record or register under this Part or Part 9 of the *General Regulation* (AR 150/99) shall pay any annual fees that are prescribed by ASET Council pursuant to the ASET bylaws.

Non-payment

4 ASET Council may direct the ASET Registrar to strike the name of a person from a record or register if the person fails to pay a prescribed fee.

Registers and records

5 The ASET Registrar shall keep and maintain records and registers for regulated members and other ASET members.

Division 2 Students

Eligibility

6(1) A person who meets the following requirements and applies to the ASET Registrar for registration is entitled to be enrolled as a student:

- (a) the applicant is of good character and reputation;
- (b) the applicant
 - (i) is enrolled as a full-time student in an applied science, information or engineering technology program that is recognized by the ASET Board of Examiners, or
 - (ii) is a regulated member who returns to full-time education in an applied science, information or engineering technology program that is recognized by the ASET Board of Examiners.

(2) The ASET Board of Examiners may establish what part, if any, of a program referred to in subsection (1) an applicant must have successfully completed in order to be enrolled as a student.

Striking from record

7 ASET Council may direct the ASET Registrar to strike the name of a student from the record of students

- (a) if ASET Council considers that the student has engaged in behaviour that constitutes unprofessional conduct,
- (b) if the student ceases to be enrolled in a program referred to in section 6(1)(b), or
- (c) if the registration was entered in error in the register.

Division 3 Examination Candidates

Eligibility

8 A person who meets the following requirements and applies to the ASET Registrar for registration is entitled to be admitted as an examination candidate:

- (a) the applicant is of good character and reputation;
- (b) the applicant is a graduate of
 - (i) an applied science, information or engineering technology program that is recognized by the ASET Board of Examiners, or
 - (ii) a related academic program that is recognized by the ASET Board of Examiners,

but the ASET Board of Examiners has required the applicant to either complete educational upgrading, examinations or other assessment activities for the purpose of correcting a perceived academic deficiency or acquire additional experience in work of an applied science, information or engineering technology nature.

Striking from record

9(1) ASET Council may direct the ASET Registrar to strike the name of an examination candidate from the record

- (a) if ASET Council considers that the examination candidate has engaged in behaviour that constitutes unprofessional conduct,
- (b) if, in the opinion of the ASET Board of Examiners, the examination candidate fails to make satisfactory progress toward registration as a regulated member, or
- (c) if the registration was entered in error in the register.

(2) Subject to subsection (3), no person may remain on the record of examination candidates for more than 5 years.

- (3) The ASET Registrar may extend the period referred to in subsection (2) to not more than a total of 7 years.
- (4) A person whose name is struck from the record is no longer eligible to proceed with the educational upgrading, examinations or other assessment activities.

Division 4 Members-in-Training

Definition

10 In this Division, “member-in-training” means a technician-in-training or a technologist-in-training.

Eligibility

11(1) A person who meets the following requirements and applies to the ASET Registrar for registration is entitled to be admitted as a member-in-training:

- (a) the applicant is of good character and reputation;
- (b) the applicant is
 - (i) a graduate of an applied science, information or engineering technology program that is recognized by the ASET Board of Examiners, but does not have the work experience required for registration as a regulated member, or
 - (ii) is a member-in-training or the equivalent of a member-in-training in good standing with a regulated entity in another province that is in the opinion of the ASET Board of Examiners equivalent to ASET.

(2) Notwithstanding subsection (1), a person may not be admitted as a member-in-training if at the time of application the person is qualified to be registered as a regulated member.

Striking from record

12(1) ASET Council may direct the ASET Registrar to strike the name of a member-in-training from the record of members-in-training

- (a) if ASET Council considers that the member-in-training has engaged in behaviour that constitutes unprofessional conduct,
 - (b) if, in the opinion of the ASET Board of Examiners, the member-in-training fails to make satisfactory progress toward registration as a regulated member, or
 - (c) if the registration was entered in error in the register.
- (2) Subject to subsection (3), no person may remain on the record of members-in-training for more than 4 years after graduation.
- (3) The ASET Registrar may extend the period referred to in subsection (2).

Division 5 Regulated Members

Eligibility

13(1) A person who meets the following requirements and applies to the ASET Registrar for registration is entitled to be registered as a regulated member:

- (a) the applicant is a Canadian citizen or is lawfully entitled to work in Canada;
- (b) the applicant is of good character and reputation;
- (c) the applicant has a knowledge of the Act and the regulations under the Act, and general knowledge related to the practice of applied science, information or engineering technology, which has been demonstrated by passing an examination that is prescribed by the ASET Board of Examiners;

- (d) the applicant demonstrates to the ASET Board of Examiners that the applicant has a proficiency in the English language that is sufficient to enable the applicant to responsibly practise the profession of applied science, information or engineering technology;
- (e) the applicant meets one of the following requirements:
 - (i) the applicant has obtained at least 2 years of experience in work of an applied science, information or engineering technology nature that is acceptable to the ASET Board of Examiners;
 - (ii) the applicant is admitted as an examination candidate and
 - (A) has completed the educational upgrading, examinations or other assessment activities referred to in section 8(b), and
 - (B) has obtained at least 2 years of experience in work of an applied science, information or engineering technology nature that is acceptable to the ASET Board of Examiners;
 - (iii) the applicant has, in the opinion of the ASET Board of Examiners, through a combination of academic qualification and experience demonstrated the competence required for registration as a regulated member.
- (2) Notwithstanding subsection (1), an applicant is entitled to be registered as a regulated member if
 - (a) the applicant is of good character and reputation, and
 - (b) the applicant is a regulated member or the equivalent of a regulated member in good standing with a regulated entity in another province that is in the opinion of the ASET Board of Examiners equivalent to ASET.

Designations

14(1) A person who meets the requirements for registration under section 13 and is entitled to be registered as a regulated member shall be granted one of the following designations as determined by the ASET Board of Examiners:

- (a) certified technician;
 - (b) certified engineering technologist;
 - (c) applied science technologist;
 - (d) certified computer information technologist.
- (2) Only those regulated members who are granted one of the designations as set out in subsection (1), and whose registration has not been cancelled or suspended, may use such designation or the following abbreviations:
- (a) C. Tech;
 - (b) C.E.T.;
 - (c) A.Sc.T.;
 - (d) C.C.I.T.

**Division 6
ASET Board of Examiners**

ASET Board of Examiners constitution

- 15(1)** ASET Council shall appoint no more than 20 regulated members to the ASET Board of Examiners.
- (2) The term of appointment for members of the Board of Examiners is 3 years, and they may be reappointed.
 - (3) ASET Council shall appoint a Chair and a Vice-Chair of the Board of Examiners.
 - (4) The term of office for the Chair and Vice-Chair is 3 years and they may be reappointed.

- (5) The ASET Board of Examiners shall meet as is necessary to rule on applications for registration as a regulated member in accordance with section 93.1 of the Act.
- (6) A quorum of the ASET Board of Examiners is one quarter of its members.
- (7) The ASET Board of Examiners may appoint individuals from academic institutions and industry to a Panel of Examiners to advise the Board of Examiners on the academic qualifications of applicants.
- (8) In addition to considering applications for registration as a regulated member, the ASET Board of Examiners shall meet as necessary to consider matters of policy, significant changes in procedure and other matters referred to it by ASET Council.
- (9) The ASET Board of Examiners and the Panel of Examiners have the power to consult with any persons or organizations in order to properly assess the work experience and academic qualifications of applicants.

Part 2 Continuing Education Program and Practice Reviews

Division 1 Continuing Professional Development Program

Education program established

16(1) ASET Council establishes the Continuing Professional Development Program of ASET as the compulsory continuing education program for regulated members.

- (2) The ASET Practice Review Board shall administer the Continuing Professional Development Program.
- (3) The Continuing Professional Development Program comprises
 - (a) self-assessment by regulated members of their individual professional development needs,
 - (b) the preparation by regulated members of a continuing professional development plan,
 - (c) self-directed professional development activities,
 - (d) the ability of the ASET Practice Review Board to require that regulated members demonstrate compliance with the Continuing Professional Development Program, and
 - (e) practice visits in accordance with section 21(2)(a).
- (4) ASET Council shall make rules governing the operation of the Continuing Professional Development Program, including, without limitation, the following:
 - (a) the format of the Continuing Professional Development Plan;
 - (b) the approval of programs, courses and other learning activities that may be completed as part of the self-directed continuing professional development;
 - (c) declarations by regulated members that they have developed a Continuing Professional Development Plan and declarations with respect to the professional development activities that have been undertaken in accordance with the plan;
 - (d) audits of regulated members to ensure that Continuing Professional Development Plans have been prepared and that the professional development activities are being undertaken in accordance with the Continuing Professional Development Plan;
 - (e) the written records that must be maintained by regulated members with respect to their Continuing Professional Development Plan and activities undertaken in accordance with that plan.
- (5) ASET Council may determine when the Continuing Professional Development Program comes into effect for regulated members.

Mandatory compliance

17 A regulated member shall comply with the requirements of the Continuing Professional Development Program as it applies to that person's profession of applied science, information technology or engineering technology.

Exemption

18(1) Notwithstanding section 17, a regulated member who files with ASET a declaration in writing stating that the person is not actively engaged in the practice of the profession set out in section 17 is exempt from the requirements of this Part.

(2) An exemption under this section is effective only for one year from the date the declaration is received by ASET, but may be renewed for additional yearly periods.

(3) A regulated member shall not engage in the practice of a profession while an exemption under this section is in effect.

(4) A regulated member who has filed a declaration under subsection (1) shall immediately notify ASET in writing if that person intends to resume the practice of the profession.

(5) The ASET Practice Review Board may require a regulated member who has notified ASET under subsection (4) to comply with any conditions that the Board may set prior to allowing that person to resume the practice of the profession.

Written records

19(1) In accordance with the rules established by ASET Council, regulated members shall develop and maintain written records of their Continuing Professional Development Plan and professional development activities.

(2) Regulated members shall produce the records under subsection (1) on the request of the ASET Practice Review Board.

Striking from register

20 ASET Council may direct the ASET Registrar to strike from the register of regulated members the name of a person who fails to comply with a request that is served on the regulated member under section 19(2).

Proof of compliance

21(1) On the request of the ASET Practice Review Board, a regulated member shall satisfy the Board that that person is complying with the requirements of the Continuing Professional Development Program.

- (2)** Where a regulated member fails to satisfy the ASET Practice Review Board under subsection (1), the Board may
- (a)** conduct a review of the practice of that person in accordance with the Act and this Regulation, including practice visits, and
 - (b)** at any time during a review recommend to the ASET Investigative Committee that the review be conducted by the ASET Investigative Committee under Part 8, Division 5 of the Act as if the recommendation were a written complaint.

Division 2 ASET Practice Review Board

ASET Practice Review Board constitution

22(1) ASET Council shall appoint not fewer than 4 regulated members as members of the ASET Practice Review Board.

(2) ASET Council shall designate one of the regulated members as chair.

(3) The ASET Registrar or the ASET Registrar's designate shall serve as Secretary to the ASET Practice Review Board.

(4) The chair and regulated members must be appointed for a 3-year term, and may be reappointed.

(5) A quorum of the Practice Review Board is a majority of its members.

(6) Where a vacancy occurs in the ASET Practice Review Board, ASET Council shall appoint a regulated member to the position for the unexpired portion of the term.

- (7) In appointing regulated members to the ASET Practice Review Board under this section, ASET Council
- (a) shall not appoint a regulated member unless the regulated member has at least 5 years of experience in the practice of the professions of applied science, information technology or engineering technology, and
 - (b) shall appoint regulated members so that the membership will represent a combination of academic qualifications and experience such that the ASET Practice Review Board can effectively review and assess registration requirements and procedures and disciplinary procedures in order to ensure the continuing competency of members of ASET, and advise ASET Council on those matters.

Items considered

23(1) The ASET Practice Review Board shall meet at least twice each year, and more frequently if the chair considers it necessary, to consider

- (a) items referred to the ASET Practice Review Board by ASET Council, the ASET Board of Examiners or the ASET Discipline Committee,
 - (b) reports from the ASET Registrar submitted under subsection (2),
 - (c) items that have come to the attention of the ASET Practice Review Board from other sources and that reflect a need to review the procedures of ASET with respect to registration, discipline or maintenance of professional competency, and
 - (d) any other items that may be considered by the ASET Practice Review Board pursuant to the Act.
- (2) The ASET Registrar shall submit at each regular meeting of the ASET Practice Review Board a report setting out
- (a) the number and nature of appeals and complaints relating to rulings of the ASET Board of Examiners, and
 - (b) the number and nature of complaints dealt with under Part 8, Division 5 of the Act and the disposition of the complaints, with special emphasis on cases reflecting on the competency of members of ASET.

Investigation by ASET Practice Review Board

24(1) If the ASET Practice Review Board undertakes a review of the practice of a regulated member or permit holder, it shall proceed with the review in accordance with this section.

- (2) The ASET Practice Review Board shall appoint a person to conduct an initial review and report to the ASET Practice Review Board with respect to the substance of the conclusions on which the ASET Practice Review Board based its decision to commence an investigation.
- (3) If, on receipt of the report, the ASET Practice Review Board decides that further investigation is not warranted, it shall discontinue the review and report its decision to ASET Council, along with any recommendations the ASET Practice Review Board considers appropriate.
- (4) If, on receipt of the report, the ASET Practice Review Board decides that investigation of a specific practice is necessary, it shall
- (a) issue notice of investigation to the regulated member or permit holder if it is of the opinion that the matter to be investigated relates to matters other than to unskilled practice of the profession or unprofessional conduct, and proceed with its investigation in the same manner as provided for in a discipline investigation, or
 - (b) lodge an appropriate complaint with the ASET Investigative Committee if it is of the opinion that further investigation may lead to a finding of unskilled practice of the profession or unprofessional conduct.
- (5) For the purposes of conducting an investigation under this section, any or all of the members of the ASET Practice Review Board may, in order to ensure that continuing competence requirements are met, do one or more of the following:
- (a) subject to subsection (6), at any reasonable time and on having given notice, conduct a practice visit by entering and inspecting any place where the regulated member works in the profession of applied science, information technology or engineering technology;

- (b) interview a regulated member about the member's work in the profession of applied science, information technology or engineering technology;
- (c) observe the regulated member working in the profession of applied science, information technology or engineering technology;
- (d) interview or survey clients, co-workers, the regulated member's employer or the regulated member about the regulated member's work in the profession of applied science, information technology or engineering technology;
- (e) review documents and examine substances and things that
 - (i) are owned by or under the control of the regulated member, and
 - (ii) are related to the work in the profession of applied science, information technology or engineering technology by the regulated member;
- (f) assess the safety and condition of equipment and technology used by the regulated member.

(6) No member of the ASET Practice Review Board may enter a private dwelling place or any part of a place that is designed to be used and is being used as a permanent or temporary private dwelling place except with the consent of the occupant of the dwelling place.

Report to ASET Council

25 Following each meeting, the ASET Practice Review Board shall report through its chair to ASET Council and may make any recommendations as to changes in procedures regarding registration, discipline or maintenance of competency that the Board considers appropriate.

Part 3 ASET Council

President and Vice-presidents — election and powers

26(1) The President of ASET must be elected annually by the members of ASET in accordance with the bylaws and holds office until a successor is elected.

(2) The President shall act as the presiding officer at meetings of ASET Council and at meetings of ASET.

(3) The President may vote at meetings of ASET Council or ASET only in the event of a tied vote.

(4) The 2 Vice-presidents must be elected annually by the members of ASET in accordance with the bylaws and hold office until a successor is elected.

(5) The First Vice-president or, failing the First Vice-president, the Second Vice-president, has all the powers of the President during the absence of the President for any cause.

Quorum

27(1) A quorum for meetings of ASET Council is a majority of its members.

Other boards, committees and task forces

28(1) In addition to the ASET Discipline Committee, ASET Investigative Committee, ASET Board of Examiners, ASET Practice Review Board, ASET Appeal Board and Executive Committee, ASET Council may appoint any other standing or special committees, task forces or boards that it considers necessary to serve the interests of ASET, and shall at the time of the appointment, delegate any authority it considers necessary for the committees, task forces or boards to perform their function.

(2) Except for the ASET Discipline Committee, ASET Investigative Committee, ASET Board of Examiners, ASET Practice Review Board, ASET Appeal Board and Executive Committee, ASET Council shall determine the terms of reference for all committees, task forces or boards.

Part 4 Code of Ethics

Application and availability

- 29(1)** Regulated members shall comply with the Code of Ethics in the Schedule to this Regulation.
- (2) ASET may publish interpretations of the rules comprising the Code of Ethics and distribute them to regulated members.
- (3) ASET shall make the Code of Ethics available on request to members of the public.

Part 5 Discipline

Aware of unprofessional conduct

30 Despite not receiving a complaint under section 43 of the Act, but subject to section 43(3) of the Act, if the ASET Registrar has reasonable grounds to believe that the conduct of a regulated member or former member constitutes unprofessional conduct or unskilled practice, the ASET Registrar may treat the information as a complaint and act on it under section 43 of the Act.

Division 1 ASET Investigative Committee

ASET Investigative Committee

- 31(1)** ASET Council shall appoint at least 3 regulated members to the ASET Investigative Committee.
- (2) ASET Council shall designate one regulated member as the chair of the ASET Investigative Committee.
- (3) The chair or, in the absence of the chair, a majority of the members of the ASET Investigative Committee may appoint an acting chair who has all the powers of the chair in the absence of the chair.

Public member

- 32(1)** The Minister shall appoint one member of the public, from a list of persons nominated by the Council, to the ASET Investigative Committee.
- (2) The Minister may, after consultation with the Council, revoke the appointment of the member of the public.
- (3) The powers, duties and operations of the ASET Investigative Committee are not affected by
- (a) the failure of the Minister to appoint a member of the public,
 - (b) the revocation of the appointment of the member of the public,
 - (c) the resignation from the ASET Investigative Committee of the member of the public, or
 - (d) the absence of the member of the public from a meeting of the ASET Investigative Committee.
- (4) The Minister may pay to the member of the public appointed to the ASET Investigative Committee travelling and living expenses incurred by that member for attendance at meetings of the ASET Investigative Committee away from the member's usual place of residence and fees in an amount prescribed by the Minister.

Term of office

- 33(1)** The term of office of each member of the ASET Investigative Committee is 3 years, and members may be reappointed.
- (2) Where there is a vacancy in the membership of the ASET Investigative Committee appointed by ASET Council, ASET Council may appoint a person to fill the vacancy for the unexpired portion of the term.

(3) Where there is a vacancy in the public membership on the ASET Investigative Committee, the Minister may appoint a person to fill the vacancy for the unexpired portion of the term.

Quorum

34 A quorum of the ASET Investigative Committee consists of a majority of its members.

Adjournment of investigation if court proceedings commenced

35 A preliminary investigation under Part 5 of the Act may be adjourned if the complaint that gave rise to the investigation is the subject of judicial proceedings.

Assistance in conducting preliminary investigation

36 An investigation panel appointed under section 47 of the Act may employ any technical consultants and legal counsel it considers necessary to conduct a preliminary investigation.

Division 2 ASET Discipline Committee

ASET Discipline Committee

37(1) ASET Council shall appoint at least 3 regulated members to the ASET Discipline Committee.

(2) ASET Council shall designate a regulated member as the chair of the ASET Discipline Committee.

(3) The chair or, in the absence of the chair, a majority of the members of the ASET Discipline Committee may appoint an acting chair who has all the powers of the chair in the absence of the chair.

Public member

38(1) The Minister shall appoint one member of the public, from a list of persons nominated by ASET Council, to the ASET Discipline Committee.

(2) The Minister may, after consultation with ASET Council, revoke the appointment of the member of the public.

(3) The powers, duties and operations of the ASET Discipline Committee are not affected by

- (a) the failure of the Minister to appoint a member of the public,
- (b) the revocation of the appointment of the member of the public,
- (c) the resignation from the ASET Discipline Committee of the member of the public, or
- (d) the absence of the member of the public from a meeting of the ASET Discipline Committee.

(4) The Minister may pay to the member of the public appointed to the ASET Discipline Committee travelling and living expenses incurred by that member for attendance at meetings of the ASET Discipline Committee away from the member's usual place of residence and fees in an amount prescribed by the Minister.

Term of office

39(1) The term of office of each member of the ASET Discipline Committee is 3 years, and members may be reappointed.

(2) Where there is a vacancy in the regulated members on the ASET Discipline Committee, ASET Council may appoint a person to fill the vacancy for the unexpired portion of the term.

(3) Where there is a vacancy in the public membership on the ASET Discipline Committee, the Minister may appoint a person to fill the vacancy for the unexpired portion of the term.

Quorum

40 A quorum of the ASET Discipline Committee consists of a majority of its members.

Panel of the ASET Discipline Committee

41(1) A panel of the ASET Discipline Committee must consist of at least 3 members of the ASET Discipline Committee.

(2) A member of the ASET Discipline Committee who has been designated to act as a case manager under sections 52(1) and 94.4 of the Act in respect of a matter must not sit as part of the panel of the ASET Discipline Committee that is hearing the matter under section 53 of the Act.

(3) A panel of the ASET Discipline Committee has all the powers and authority of the ASET Discipline Committee.

Right to counsel

42(1) The ASET Discipline Committee may be advised by counsel at a hearing before the ASET Discipline Committee.

(2) If the ASET Discipline Committee is advised by counsel acting on behalf of the ASET Discipline Committee at a hearing, that counsel may not lead or present evidence or argument at the hearing on behalf of ASET nor be the counsel of the ASET Registrar.

Division 3 ASET Appeal Board

ASET Appeal Board

43(1) ASET Council shall appoint at least 3 regulated members as members of the ASET Appeal Board.

(2) ASET Council shall designate one regulated member as the chair of the ASET Appeal Board.

(3) The chair or, in the absence of the chair, a majority of the members of the ASET Appeal Board may appoint an acting chair who has all the powers of the chair in the absence of the chair.

Term of office

44(1) The term of office of each member of the ASET Appeal Board appointed is 3 years, and members may be reappointed.

(2) Where there is a vacancy in the membership of the ASET Appeal Board appointed by ASET Council, ASET Council may appoint a regulated member to fill the vacancy for the unexpired portion of the term.

Quorum

45 A quorum of the ASET Appeal Board consists of a majority of its members.

Right to counsel

46(1) The ASET Appeal Board may be advised by counsel at an appeal hearing before the ASET Appeal Board.

(2) If the ASET Appeal Board is advised by counsel acting on behalf of the ASET Appeal Board at an appeal hearing, that counsel may not lead or present evidence or argument at the appeal hearing on behalf of the Investigative Committee nor be the counsel of the ASET Registrar or the Investigative Committee.

Division 4 General Matters

Publication

47 The ASET Discipline Committee or the ASET Appeal Board may direct that reports or summaries of disciplinary decisions, including the regulated member's personal information, be published in any manner it deems appropriate.

Reinstatement of disciplined individuals

48(1) A regulated member whose registration has been cancelled or a permit holder whose permit has been revoked under Part 5 of the Act as a result of the disciplinary proceedings may apply to ASET Council to be reinstated.

(2) An application under subsection (1) may not be made until at least one year after the date on which the registration was cancelled or the permit was revoked, or from the date on which the Court made its order confirming or varying the decision of ASET Council.

(3) ASET Council may establish an ASET Committee of Inquiry to consider the application for reinstatement and make recommendations to ASET Council.

(4) ASET Council may require the former regulated member or permit holder to demonstrate by means prescribed by ASET Council that that person is competent to re-engage in the practice of applied science, information or engineering technology.

(5) Where an application for reinstatement is not approved by ASET Council, no further application may be made by the former regulated member or permit holder until at least one year after the date ASET Council ruled on the previous application.

Part 6 General

Use of stamps and seals issued to members

49(1) A stamp or seal issued to a regulated member must at all times remain under that person's direct control and must be applied by the regulated member or by a person acting under the regulated member's immediate and direct control to all final plans, specifications, reports or documents of a professional nature

- (a) that were prepared by the regulated member or under the regulated member's supervision and control, or
- (b) that were prepared by another person in circumstances where the regulated member has thoroughly reviewed them and accepted professional responsibility for them.

(2) No person shall permit a stamp or seal to be physically located in a manner that would allow its use by a person other than the regulated member to whom it was issued.

(3) When a stamp or seal is applied, the regulated member to whom it was issued shall ensure that the stamp or seal is accompanied with that person's signature and the date on which the stamp or seal is applied.

(4) A stamp or seal may be applied to the cover page or final page of reports or documents in a manner that clearly indicates acceptance of professional responsibility for the reports or documents, without being applied to each page.

(5) A regulated member shall not acquire a stamp or seal from any source other than the ASET Registrar.

(6) A regulated member shall only use a stamp or seal while that person is registered pursuant to this Regulation.

(7) Stamps and seals are the property of ASET and a person in possession of a stamp or seal shall surrender it to ASET on demand.

(8) A regulated member may, with the approval of the ASET Registrar, apply a computer-generated facsimile of the stamp or seal if that person otherwise meets the requirements of the Act and this Regulation.

Publications on professional services

50(1) ASET may publish, on an annual basis or as directed by ASET Council, for the information of members and the public,

- (a) conditions of engagement and surveys of professional fees for particular types of applied science, information or engineering technology services,
- (b) a guide to the selection of applied science, information or engineering technology members for consulting services to assist clients in the selection of professionally and legally qualified consultants and consulting firms,
- (c) guides that define for clients the scope of professional services to be expected from regulated members, and
- (d) publications for the purpose of promoting high standards of professional services and adequate remuneration for those services, and the maintenance and improvement of the competency of members.

Membership register

51 ASET may publish, from time to time as directed by ASET Council, a register, in a format directed by ASET Council, of regulated members and permit holders.

Service of notices

52(1) If notice is required to be given to a person under the Act, this Regulation or the ASET bylaws, the notice is sufficiently given if

- (a) it is served personally on the person,
- (b) it is sent by prepaid mail to the person at the latest address provided to the ASET Registrar by the person, or
- (c) where the person has provided to the ASET Registrar an electronic address for the purpose of receiving notices that meets the requirements of ASET Council, it is sent to the person by electronic transmission to that address.

(2) If notice is served personally in accordance with subsection (1), unless the contrary is proved, the service is presumed to be effected

- (a) if it is served personally on that person, or
- (b) sent to that person by registered mail or courier at the address last shown for that person on the records of ASET.

(3) If personal service or service by prepaid mail under subsection (1) is not reasonably possible, the service is presumed to be effected by publishing the document or notice at least twice, not more than a week apart, in a local newspaper circulated at or near the latest address provided to the ASET Registrar by the person.

(4) If notice is served by mail in accordance with subsection (1), unless the contrary is proved, the service is presumed to be effected

- (a) 7 days from the date of mailing if the document is mailed to an address in Alberta, or
- (b) 14 days from the date of mailing if the document is mailed to an address outside Alberta.

(5) If a notice is served by electronic transmission in accordance with subsection (1), unless the contrary is proved, the service is presumed to be effected on the date on which the notice was transmitted.

Expiry

53 For the purpose of ensuring that this Regulation is reviewed for ongoing relevancy and necessity, with the option that it may be repassed in its present or an amended form following a review, this Regulation expires on September 30, 2014.

Coming into force

54 This Regulation comes into force on the coming into force of the *Engineering, Geological and Geophysical Professions Amendment Act, 2007*.

Schedule**Code of Ethics
(established pursuant to section 87.3(1)(j)
of the Engineering, Geological and
Geophysical Professions Act)****1** Regulated members shall

- (a) hold paramount the safety, health and welfare of the public, the protection of the environment and the promotion of health and safety within the workplace,
- (b) undertake and accept responsibility for professional assignments only when qualified by training and experience,
- (c) provide an opinion on a professional subject only when it is founded upon adequate knowledge and honest conviction,
- (d) act with integrity towards clients or employers, maintain confidentiality and avoid a conflict of interest, but where such conflict arises fully disclose the circumstances without delay to the employer or client,
- (e) uphold the principle of appropriate and adequate compensation for the performance of their work,
- (f) keep informed to maintain proficiency and competence, to advance the body of knowledge within their discipline and further opportunities for the professional development of their associates,
- (g) conduct themselves with fairness, honesty, courtesy and good faith towards clients, colleagues and others, give credit where it is due and accept, as well as give, honest and fair professional comment,
- (h) present clearly to employers and clients the possible consequences if professional decisions or judgments are overruled or disregarded,
- (i) report to the appropriate agencies any hazardous, illegal or unethical professional decisions or practices by other members or others, and
- (j) promote public knowledge and appreciation of applied science, information and engineering technology and protect ASET from misrepresentation and misunderstanding.

THE ENGINEERING, GEOLOGICAL AND
GEOPHYSICAL PROFESSIONS ACT

REGULATIONS

PROFESSIONAL TECHNOLOGISTS REGULATION

Consisting of Alberta Regulation
283/2009 DATED October 15, 2009

(no amdt)

**ALBERTA REGULATION 283/2009
ENGINEERING, GEOLOGICAL AND GEOPHYSICAL PROFESSIONS ACT**

PROFESSIONAL TECHNOLOGISTS REGULATION

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Schedule

Definition

1 In this Regulation, “Act” means the *Engineering, Geological and Geophysical Professions Act*.

Part 1 Membership as a Professional Technologist

Division 1 General

Application

2(1) An application for registration as a professional technologist must be submitted to the ASET Registrar and must

- (a) be in a form acceptable to the Joint Board of Examiners, and
- (b) be fully completed and accompanied by
 - (i) all required supporting documentation, and
 - (ii) any fees that are prescribed by ASET Council pursuant to the ASET bylaws.

(2) The ASET Registrar shall refer an application to the Joint Board of Examiners if the application meets the requirements of subsection (1) and the ASET Registrar is satisfied that the applicant meets the eligibility requirements for registration as a professional technologist.

Annual fees

3 A person who is entered in a record or register under this Part shall pay any annual fees that are prescribed by ASET Council pursuant to the ASET bylaws.

Registers and records

4 The ASET Registrar shall keep and maintain records and a register of professional technologists, which shall be made available to the Registrar of the Association on request.

Division 2 Professional Technologists

Eligibility

5(1) A person who meets the following requirements and applies to the ASET Registrar for registration is entitled to be registered as a professional technologist:

- (a) the applicant is of good character and reputation;
- (b) the applicant holds a certificate of registration as a certified engineering technologist with ASET;
- (c) the applicant has a knowledge of the Act and the regulations under the Act, and general knowledge related to the proposed scope of practice of engineering, geology or geophysics, which has been demonstrated by passing an examination for those purposes that is prescribed by the Joint Board of Examiners;
- (d) the applicant demonstrates to the Joint Board of Examiners that the applicant has a proficiency in the English language that is sufficient to enable the applicant to responsibly practice the profession of engineering, geology or geophysics within the prescribed scope of practice;
- (e) the applicant has at least 2 years of post-secondary education acceptable to the Joint Board of Examiners in areas that relate to engineering, geology or geophysics;
- (f) the applicant has obtained at least 6 years of experience in areas that relate to engineering, geology or geophysics that is acceptable to the Joint Board of Examiners, at least 2 years of which are in the applicant’s proposed area and scope of practice and were completed under the supervision and control of a professional member;
- (g) the applicant meets any other requirements established by the Joint Board of Examiners.

- (2) Notwithstanding subsection (1), an applicant is entitled to be registered as a professional technologist if the applicant
- (a) is of good character and reputation, and
 - (b) is a professional technologist who, in respect of another province,
 - (i) is eligible to engage in the practice of engineering, geology or geophysics within the scope of practice specified by the Joint Board of Examiners, and
 - (ii) is a member in good standing with a regulated entity in that other province that, in the opinion of the Joint Board of Examiners, is equivalent to ASET.

Designations

6(1) A person who meets the requirements for registration in section 5 and is entitled to be registered as a professional technologist shall be granted one or more of the following designations as determined by the Joint Board of Examiners:

- (a) professional technologist;
- (b) professional technologist (engineering);
- (c) professional technologist (geological);
- (d) professional technologist (geophysical).

(2) Only those persons who are granted one or more of the designations as set out in subsection (1), and whose registration has not been cancelled or suspended under Part 5 of the Act, may use such designation or the following abbreviations:

- (a) P.Tech;
- (b) P.Tech (eng.);
- (c) P.Tech (geol.);
- (d) P.Tech (geoph.).

Division 3 Joint Board of Examiners

Items considered

7(1) The Joint Board of Examiners shall meet as is necessary to rule on applications for registration in accordance with section 90.3 of the Act.

(2) In addition to considering applications for registration as a professional technologist, the Joint Board of Examiners shall meet as necessary to consider matters of policy, significant changes in procedure, examination results and any matters referred to it by any member of the Joint Board of Examiners or the Joint Councils Committee.

Joint Board of Examiners authority

8 The Joint Board of Examiners has the power to consult with any persons or organizations in order to properly assess the academic qualifications of applicants.

Part 2

Continuing Education Program and Practice Reviews

Division 1

Continuing Professional Development Program

Education program established

9(1) The Continuing Professional Development Program of ASET is established as a compulsory continuing education program for professional technologists.

(2) The Joint Practice Review Board shall administer the Continuing Professional Development Program.

(3) The Continuing Professional Development Program comprises

- (a) self-assessment by professional technologists of their individual professional development needs,
- (b) the preparation by professional technologists of a continuing professional development plan,
- (c) self-directed professional development activities,
- (d) the ability of the Joint Practice Review Board to require that professional technologists demonstrate compliance with the Continuing Professional Development Program, and
- (e) practice visits in accordance with section 14(2)(a).

(4) ASET Council shall make rules governing the operation of the Continuing Professional Development Program, including, without limitation, the following:

- (a) the format of the Continuing Professional Development Plan;
- (b) the approval of programs, courses and other learning activities that may be completed as part of the self-directed continuing professional development;
- (c) declarations by professional technologists that they have developed a Continuing Professional Development Plan and declarations with respect to the professional development activities that have been undertaken in accordance with the plan;
- (d) audits of professional technologists to ensure that Continuing Professional Development Plans have been prepared and that the professional development activities are being undertaken in accordance with the Continuing Professional Development Plan;
- (e) the written records that must be maintained by professional technologists with respect to their Continuing Professional Development Plan and activities undertaken in accordance with that plan.

(5) ASET Council may determine when the Continuing Professional Development Program comes into effect for professional technologists.

Mandatory compliance

10 A professional technologist shall comply with the requirements of the Continuing Professional Development Program as it applies to that person's practice.

Exemption

11(1) Notwithstanding section 10, a professional technologist who files with ASET a declaration in writing stating that the person is not actively engaged in the practice of the profession set out in section 10 is exempt from the requirements of this Part.

(2) An exemption under this section is effective only for one year from the date the declaration is received by ASET, but may be renewed for additional yearly periods.

(3) A professional technologist shall not engage in the practice of a profession while an exemption under this section is in effect.

(4) A professional technologist who has filed a declaration under subsection (1) shall immediately notify ASET in writing if that person intends to resume the practice of the profession.

(5) The Joint Practice Review Board may require a professional technologist who has notified ASET under subsection (4) to comply with any conditions that the Board may set prior to allowing that person to resume the practice of the profession.

Written records

12(1) In accordance with the rules established by ASET Council, professional technologists shall develop and maintain written records of their Continuing Professional Development Plan and professional development activities.

(2) Professional technologists shall produce the records under subsection (1) on the request of the Joint Practice Review Board.

Striking from register

13 ASET Council may direct the ASET Registrar to strike from the register of professional technologists the name of a person who fails to comply with a request that is served on the professional technologist under section 12(2).

Proof of compliance

14(1) On the request of the Joint Practice Review Board, a professional technologist shall satisfy the Board that that person is complying with the requirements of the Continuing Professional Development Program.

(2) Where a professional technologist fails to satisfy the Joint Practice Review Board under subsection (1), the Board may

- (a) conduct a review of the practice of that person in accordance with the Act and this Regulation, including practice visits, and
- (b) at any time during a review recommend to the Joint Investigative Committee that the review be conducted by the Joint Investigative Committee under Part 8 Division 5 of the Act as if the recommendation were a written complaint.

Division 2 Joint Practice Review Board

Items considered

15(1) The Joint Practice Review Board shall meet at least twice each year, and more frequently if the chair considers it necessary, to consider

- (a) items referred to the Joint Practice Review Board by the Joint Councils Committee or the Joint Discipline Committee,
- (b) reports from the ASET Registrar submitted under subsection (2),
- (c) items that have come to the attention of the Joint Practice Review Board from other sources relating to the registration, discipline or maintenance of professional competency of professional technologists, and
- (d) any other items that may be considered by the Joint Practice Review Board pursuant to the Act.

(2) The ASET Registrar shall submit at each regular meeting of the Joint Practice Review Board a report setting out

- (a) the number and nature of appeals and complaints relating to rulings of the Joint Board of Examiners, and
- (b) the number and nature of complaints dealt with by the Joint Discipline Committee and the disposition of the complaints, with special emphasis on cases reflecting on the competency of professional technologists.

Investigation by Joint Practice Review Board

16(1) If the Joint Practice Review Board undertakes a review of the practice of a professional technologist or permit holder, it shall proceed with the review in accordance with this section.

- (2) The Joint Practice Review Board shall appoint a person to conduct an initial review and report to the Joint Practice Review Board with respect to the substance of the conclusions on which the Joint Practice Review Board based its decision to commence an investigation.
- (3) If, on receipt of the report, the Joint Practice Review Board decides that further investigation is not warranted, it shall discontinue the review and report its decision to the Joint Councils Committee, along with any recommendations the Joint Practice Review Board considers appropriate.
- (4) If, on receipt of the report, the Joint Practice Review Board decides that investigation of a specific practice is necessary, it shall
- (a) issue notice of investigation to the professional technologist or permit holder if it is of the opinion that the matter to be investigated relates to matters other than to unskilled practice of the profession or unprofessional conduct, and proceed with its investigation in the same manner as provided for in a discipline investigation, or
 - (b) lodge a complaint with the Joint Investigative Committee if it is of the opinion that further investigation may lead to a finding of unskilled practice of the profession or unprofessional conduct.
- (5) For the purposes of conducting an investigation under this section, any or all of the members of the Joint Practice Review Board may, in order to ensure that continuing competence requirements are met, do one or more of the following:
- (a) subject to subsection (6), at any reasonable time and on having given notice, conduct a practice visit by entering and inspecting any place where the professional technologist works in the practice of engineering, geology or geophysics;
 - (b) interview a professional technologist about the member's work in the profession of engineering, geology or geophysics;
 - (c) observe the professional technologist working in the profession of engineering, geology or geophysics;
 - (d) interview or survey clients, co-workers, the professional technologist's employer or the professional technologist about the professional technologist's work in the profession of engineering, geology or geophysics;
 - (e) review documents and examine substances and things that
 - (i) are owned by or under the control of the professional technologist, and
 - (ii) are related to the work in the profession of engineering, geology or geophysics by the professional technologist;
 - (f) assess the safety and condition of equipment and technology used by the professional technologist.
- (6) No member of the Joint Practice Review Board may enter a private dwelling place or any part of a place that is designed to be used and is being used as a permanent or temporary private dwelling place except with the consent of the occupant of the dwelling place.

Report to Joint Councils Committee

17 Following each meeting, the Joint Practice Review Board shall report through its chair to the Joint Councils Committee and may make any recommendations as to changes in procedures regarding registration, discipline or maintenance of competency that the Board considers appropriate.

Part 3 Code of Ethics

Application and availability

18(1) Professional technologists shall comply with the Code of Ethics in the Schedule to this Regulation.

(2) ASET may publish interpretations of the rules comprising the Code of Ethics and distribute them to professional technologists and permit holders.

(3) ASET shall make the Code of Ethics available on request to members of the public.

Part 4 Discipline

Aware of unprofessional conduct

19 Despite not receiving a complaint under section 43 of the Act, but subject to section 43(3) of the Act, if the ASET Registrar has reasonable grounds to believe that the conduct of a professional technologist or former member constitutes unprofessional conduct or unskilled practice, the ASET Registrar may treat the information as a complaint and act on it under section 43 of the Act.

Adjournment of investigation if court proceedings commenced

20 A preliminary investigation under Part 5 of the Act may be adjourned if the complaint that gave rise to the investigation is the subject of judicial proceedings.

Assistance in conducting preliminary investigation

21 An investigation panel appointed under section 47 of the Act may employ any technical consultants and legal counsel it considers necessary to conduct a preliminary investigation.

Non-participation of case manager in hearing

22 A member of the Joint Discipline Committee who has been designated to act as a case manager under section 52(2) of the Act in respect of a matter must not participate as part of the quorum of the Joint Discipline Committee that is hearing the matter under section 53 of the Act.

Right to counsel

23(1) The Joint Discipline Committee may be advised by counsel at a hearing before the Joint Discipline Committee.

(2) If the Joint Discipline Committee is advised by counsel acting on behalf of the Joint Discipline Committee at a hearing, that counsel may not lead or present evidence or argument at the hearing on behalf of ASET nor be the counsel of the ASET Registrar.

Right to counsel

24(1) The Joint Appeal Board may be advised by counsel at an appeal hearing before the Joint Appeal Board.

(2) If the Joint Appeal Board is advised by counsel acting on behalf of the Joint Appeal Board at an appeal hearing, that counsel may not lead or present evidence or argument at the appeal hearing on behalf of the Joint Investigative Committee nor be the counsel of the Registrar, the ASET Registrar or the Joint Investigative Committee.

Publication

25 The Joint Discipline Committee or the Joint Appeal Board may direct that reports or summaries of disciplinary decisions, including the professional technologist's personal information, be published in any manner it deems appropriate.

Reinstatement of disciplined individuals

26(1) A professional technologist whose registration has been cancelled or a permit holder whose permit has been revoked under Part 5 of the Act as a result of the disciplinary proceedings may apply to the Joint Councils Committee to be reinstated.

(2) An application under subsection (1) may not be made until at least one year after the date on which the registration was cancelled or the permit was revoked, or from the date on which the Court made its order confirming or varying the decision of the Joint Councils Committee.

(3) The Joint Councils Committee may establish a Committee of Inquiry to consider the application for reinstatement and make recommendations to the Joint Councils Committee.

(4) The Joint Councils Committee may require the former professional technologist or permit holder to demonstrate by means prescribed by the Joint Councils Committee that that person is competent to re-engage in the practice of engineering, geology or geophysics.

(5) Where an application for reinstatement is not approved by the Joint Councils Committee, no further application may be made by the former professional technologist or permit holder until at least one year after the date the Joint Councils Committee ruled on the previous application.

Part 5 Registration of Permit Holders

Requirements for issue of permit

27(1) ASET Council may issue to a partnership, corporation or other entity a permit to practice engineering, geology or geophysics in its own name within the scope of practice of those professional technologists who are responsible for the practice of the ASET permit holder if

- (a) an application is made to the ASET Registrar in the form and containing the information required by ASET Council,
- (b) the application is accompanied with the fees prescribed by ASET Council pursuant to the ASET bylaws,
- (c) ASET Council is satisfied that the practice will be carried on under the direct personal supervision and responsibility of a full-time permanent employee or member of the partnership, corporation or other entity who is also a professional technologist and who is qualified by education and experience in the field of engineering, geology or geophysics in which the partnership, corporation or other entity intends to engage, and
- (d) the professional technologist certifies to the satisfaction of ASET Council that the partnership, corporation or other entity has in place and will follow a professional management plan that is appropriate to the professional technologist's professional practice.

(2) A permit expires one year after the date on which it is issued.

(3) When ASET Council issues a permit, it shall provide the permit holder with a permit number.

(4) The permit shall include a statement that the permit holder is entitled to engage in the practice of engineering, geology or geophysics within the scope of practice of those professional technologists who are responsible for the ASET permit holder.

(5) No person shall use a permit number where the permit to which the number is assigned has been cancelled or suspended.

(6) A professional technologist who accepts responsibility under subsection (1)(c) shall every five years attend a permit to practice seminar acceptable to ASET Council.

Signing and sealing of documents

28 When the practice of engineering, geology or geophysics is carried on by a partnership, corporation or other entity pursuant to a permit under section 27, all final plans, specifications, reports or documents of a professional nature must

- (a) be signed by and stamped or sealed with the stamp or seal of
 - (i) the professional technologist who prepared them or under whose supervision and control they were prepared, or
 - (ii) in the case of plans, specifications, reports or documents that were prepared by other persons, the professional technologist who thoroughly reviewed and accepted professional responsibility for them,

and

- (b) show the permit number issued to the partnership, corporation or other entity under section 27.

Notification to ASET

29(1) A partnership, corporation or other entity practising pursuant to a permit under section 27 shall keep ASET advised of the name of the professional technologist referred to in that section.

(2) The professional technologist referred to in section 27 shall forthwith advise the ASET Registrar if the professional technologist

- (a) ceases to be the person accepting responsibility under that section, or
- (b) is no longer able to provide the certification referred to in section 27(1)(d).

Revocation of permit

30 The Joint Councils Committee may revoke a permit issued under section 27 if the permit holder contravenes the Act, this Regulation or a term or condition of the permit.

Name of firm

31 No partnership, corporation or other entity may be incorporated or registered in the province of Alberta under a name including the words "Engineering", "Geology" or "Geophysics", or variations of those words, unless it holds and continues to hold a valid permit issued under section 27.

Use of permit holder

32(1) The permit number issued to a permit holder may only be used by the professional technologist referred to in section 27(1)(c).

(2) ASET Council may, subject to any terms and conditions it considers appropriate, waive compliance with the requirements of section 27(1)(d) and (5).

Part 6 General

Seals and stamps

33(1) Seals and stamps issued to professional technologists must be in a form prescribed by ASET Council and shall show the professional category of the professional technologist as Engineering, Geology or Geophysics.

(2) Stamps issued to permit holders must be in a form prescribed by ASET Council and shall show the designation "Permit Holder".

Use of stamps and seals issued to members

34(1) A stamp or seal issued to a professional technologist must at all times remain under that person's direct control and must be applied by the professional technologist or by a person acting under the professional technologist's immediate and direct control to all final plans, specifications, reports or documents of a professional nature

- (a) that were prepared by the professional technologist or under the professional technologist's supervision and control, or
- (b) that were prepared by another person in circumstances where the professional technologist has thoroughly reviewed them and accepted professional responsibility for them.

(2) No person shall permit a stamp or seal to be physically located in a manner that would allow its use by a person other than the professional technologist to whom it was issued.

(3) When a stamp or seal is applied, the professional technologist to whom it was issued shall ensure that the stamp or seal is accompanied with that person's signature and the date on which the stamp or seal is applied.

(4) A stamp or seal may be applied to the cover page or final page of reports or documents in a manner that clearly indicates acceptance of professional responsibility for the reports or documents, without being applied to each page.

(5) A professional technologist shall not acquire a stamp or seal from any source other than the ASET Registrar.

(6) A professional technologist shall only use a stamp or seal while that person is registered pursuant to this Regulation.

(7) Stamps and seals are the property of ASET and a person in possession of a stamp or seal shall surrender it to ASET on demand.

(8) A professional technologist may, with the approval of the ASET Registrar, apply a computer-generated facsimile of the stamp or seal if that person otherwise meets the requirements of the Act and this Regulation.

Service of notices

35(1) If notice is required to be given to a person under the Act, this Regulation or the ASET bylaws, the notice is sufficiently given if

- (a) it is served personally on the person,
 - (b) it is sent by prepaid mail to the person at the latest address provided to the ASET Registrar by the person, or
 - (c) where the person has provided to the ASET Registrar an electronic address for the purpose of receiving notices that meets the requirements of ASET Council, it is sent to the person by electronic transmission to that address.
- (2) If notice is served personally in accordance with subsection (1), unless the contrary is proved, the service is presumed to be effected
- (a) if it is served personally on that person, or
 - (b) sent to that person by registered mail or courier at the address last shown for that person on the records of ASET.
- (3) If personal service or service by prepaid mail under subsection (1) is not reasonably possible, the service is presumed to be effected by publishing the document or notice at least twice, not more than a week apart, in a local newspaper circulated at or near the latest address provided to the ASET Registrar by the person.
- (4) If notice is served by mail in accordance with subsection (1), unless the contrary is proved, the service is presumed to be effected
- (a) 7 days from the date of mailing if the document is mailed to an address in Alberta, or
 - (b) 14 days from the date of mailing if the document is mailed to an address outside Alberta.
- (5) If a notice is served by electronic transmission in accordance with subsection (1), unless the contrary is proved, the service is presumed to be effected on the date on which the notice was transmitted.

Providing information

36 Professional technologists must notify the ASET Registrar immediately if there is a change to the name of the professional technologist, or of a change of address or other contact information that is required to be submitted when applying for registration or renewal of an annual certificate.

Expiry

37 For the purpose of ensuring that this Regulation is reviewed for ongoing relevancy and necessity, with the option that it may be repassed in its present or an amended form following a review, this Regulation expires on September 30, 2014.

Coming into force

38 This Regulation comes into force on the coming into force of the *Engineering, Geological and Geophysical Professions Amendment Act, 2007*.

Schedule

Code of Ethics

**(established pursuant to section 88.5(1)(h)
of the Engineering, Geological and
Geophysical Professions Act)**

1 Professional technologists shall

- (a) hold paramount the safety, health and welfare of the public, the protection of the environment and the promotion of health and safety within the workplace,
- (b) undertake and accept responsibility for professional assignments only when qualified by training and experience,
- (c) provide an opinion on a professional subject only when it is founded upon adequate knowledge and honest conviction,
- (d) act with integrity towards clients or employers, maintain confidentiality and avoid a conflict of interest, but where such conflict arises fully disclose the circumstances without delay to the employer or client,
- (e) uphold the principle of appropriate and adequate compensation for the performance of their work,
- (f) keep informed to maintain proficiency and competence, to advance the body of knowledge within their discipline and further opportunities for the professional development of their associates,
- (g) conduct themselves with fairness, honesty, courtesy and good faith towards clients, colleagues and others, give credit where it is due and accept, as well as give, honest and fair professional comment,
- (h) present clearly to employers and clients the possible consequences if professional decisions or judgments are overruled or disregarded,
- (i) report to the appropriate agencies any hazardous, illegal or unethical professional decisions or practices by other members or others, and
- (j) promote public knowledge and appreciation of applied science, information and engineering technology and protect ASET from misrepresentation and misunderstanding.

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